



# INDEPENDENT AUDIT NO. 2 – AUDIT REPORT

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THE CHILDREN'S HOSPITAL AT WESTMEAD MULTI-  
STOREY CARPARK PROJECT – SSD-10434896

NOVEMBER 2022

## Authorisation

|                         |   |                                      |   |
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**Project No.:** 642

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## EXECUTIVE SUMMARY

Health Infrastructure NSW (HINSW) is responsible for delivering The Children's Hospital at Westmead Multi-storey Carpark Project (the Project). The Project includes the construction of a new multi-storey carpark (MSCP) accommodating both staff and visitor car parking, located on Redbank Road and Labyrinth Way, Westmead, on site of The Lodge. The Project is part of the Stage 2 Redevelopment of The Children's Hospital at Westmead. Consent for the Project, State Significant Development (SSD) 10434896, was granted on 15 September 2021, subject to a number of Conditions of Consent (conditions).

The scope of the Project includes demolition of existing structures (including The Lodge) to facilitate construction of a new MSCP will comprise 8 car parking storeys (equivalent to the height of 5 storeys of the hospital), totalling 1,003 car parking spaces. This will also involve realignment of Redbank Road with vehicular access connection to MSCP. The Project is being constructed in stages as per the approved Staging Report.

PricewaterhouseCoopers (PwC) has been appointed as the client representative on behalf of HINSW. Ford Civil is the principal contractor delivering the Stage 1 Early Works, with Kane construction being the principal contractor the remainder of works. The Principal Certifying Authority (the Certifier) is Blackett, Maguire & Goldsmith Pty Ltd (BM+G).

Conditions C39 to C44 of Schedule 2 of SSD 10434896 set out the requirements for undertaking Independent Audits. The conditions give effect to the Department of Planning and Environment (the Department) 2020 document entitled *Independent Audit Guideline Post Approval Requirements* (IAPAR). The IAPAR sets out the scope, methodology and reporting requirements for the Independent Audit.

The objective of this Independent Audit is to satisfy SSD 10434896 Schedule 2, condition C40, which states:

*Independent Audits of the development must be conducted and carried out in accordance with the Independent Audit Post Approval Requirements.*

This Independent Audit sought to verify compliance with the relevant conditions and assess the effectiveness of environmental management on the Project using the scope, methodology and reporting requirements from the IAPAR.

This Audit Report presents the findings from the second Independent Audit for construction, covering the period from May to November 2022 (the 'audit period'). Works undertaken during this period included remediation, earthworks, foundation works, road adjustments and utilities.

The overall outcome of the Independent Audit was positive. All compliance records were organised and available at the time of the site inspection and interviews with Project personnel. Relevant environmental and compliance monitoring records were being collected and reported as required to provide verification of compliance with statutory requirements and the broader Project environmental requirements.

In summary:

- With respect to the status of the previously open findings from the first Independent Audit three (3) findings remained open. These relate to the website and the Pre-Construction Compliance Report
- With respect to the second Independent Audit:
  - There were 145 conditions assessed.
  - Two (2) non-compliances were identified. These relate to notification to the Certifier of a review, and tree protection.
  - Two (2) observations were identified. These relate to content of the Kane CEMP and Sub-plans.

Detailed findings are presented in Section 3, along with actions proposed or undertaken by the Project team to address the findings.

The Auditor would like to thank the auditees from HINSW, PwC, Ford Civil and Kane for their high level of organisation, cooperation, and assistance during the Independent Audit.

## 1. INTRODUCTION

### 1.1 Project overview

Health Infrastructure NSW (HINSW) is responsible for delivering The Children's Hospital at Westmead Multi-storey Carpark Project (the Project). The Project includes the construction of a new multi-storey carpark (MSCP) accommodating both staff and visitor car parking, located on Redbank Road and Labyrinth Way, Westmead, on site of The Lodge. The Project is part of the Stage 2 Redevelopment of The Children's Hospital at Westmead.

The scope of the Project involves the following activities:

- Demolition of existing structures including The Lodge, existing at grade parking and part of the road and footpath along Redbank Road
- Construction of a new MSCP, comprising 8 car parking storeys, facilitating 996 car parking spaces for staff and visitors
- Establishing vehicular access via Redbank Road and egress via Labyrinth Way
- Realignment of Redbank Road
- Tree removal and associated landscape work
- Opportunity for an ancillary retail kiosk and associated public amenities (subject to commercial viability assessment).

A map of the Project site is provided in Figure 1, and drawing of the proposed site plan is included in Figure 2.

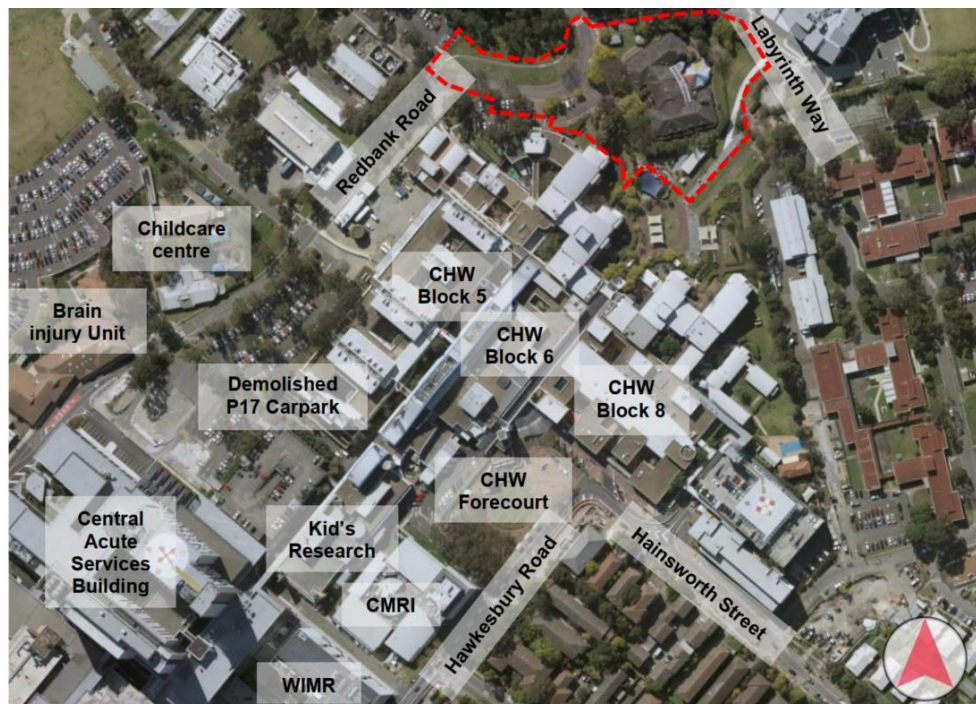


Figure 1: The Project site, indicated by the red dashed line (Source: Environmental Impact Statement (SSD-10434896) Multi-Storey Carpark, The Children's Hospital at Westmead, Architectus Australia, dated 13 April 2021)



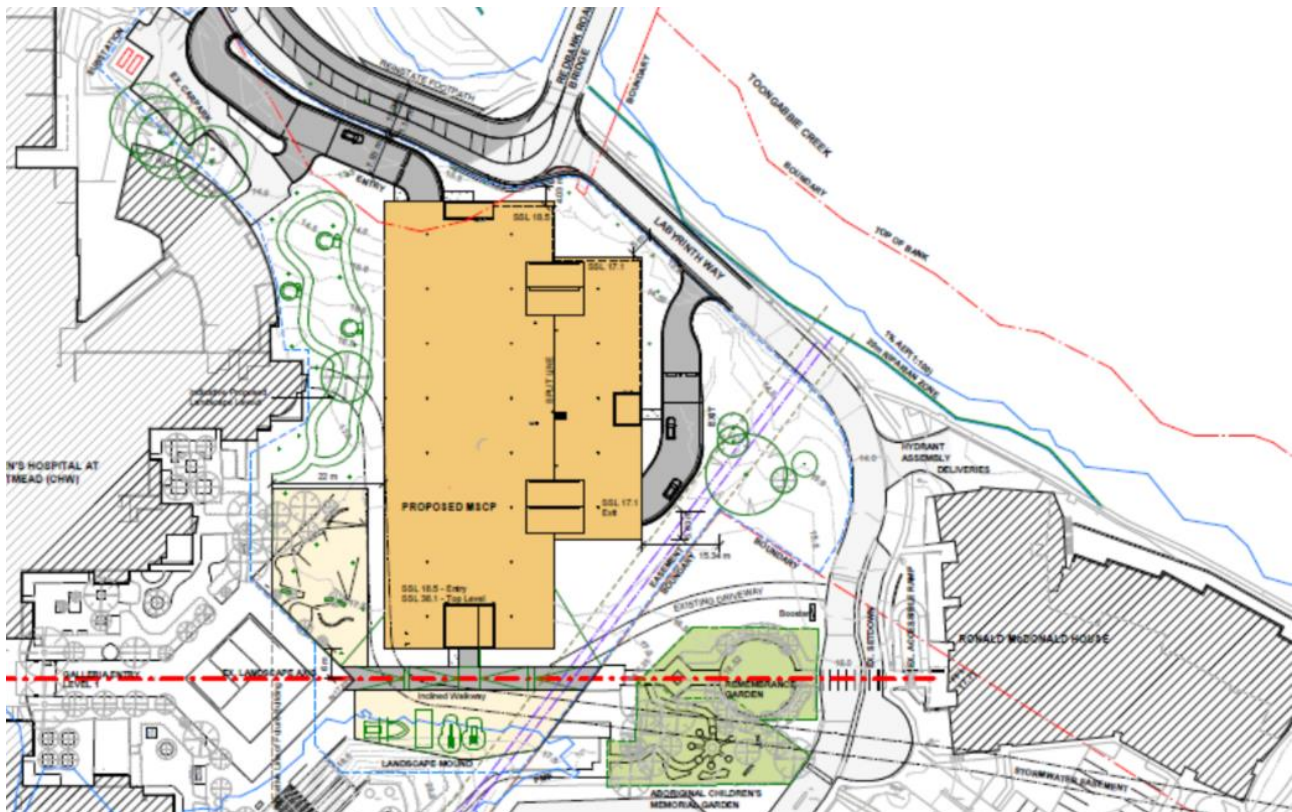


Figure 2: Proposed site plan for works under SSD-10434896 (Source: Response to Submissions Report (SSD-10434896) Multi-Storey Carpark, The Children's Hospital at Westmead, Architectus Australia, 23 July 2021)

Consent for the Project, State Significant Development (SSD) 10434896, was granted on 15 September 2021, subject to a number of Conditions of Consent (conditions). The Project is being constructed in stages as per the approved Staging Report. The construction stages are as follows:

- Stage 1 – Early Works
  - Demolition of existing structures including The Lodge, existing at grade parking and part of the road and footpath along Redbank Road
  - Existing playground equipment and a shade structure located south of the site are to be removed and relocated as exempt development (i.e.: not subject to SSD approval)
  - Earthworks, remediation and inground structure and infrastructure
  - Redbank Road Realignment.
- Stage 2 (comprising two phases) – Main Works
  - Piling and footings, and remediation
  - Site Validation
  - Construction of the MSCP
  - Facade finished and Services fit out



- External works – landscaping, access treatments (including Fire Brigade Access)
- Completion and Handover.

PricewaterhouseCoopers (PwC) has been appointed as the client representative on behalf of HINSW. Ford Civil is the principal contractor delivering the Stage 1 Early Works. Kane is the principal contractor delivering the balance of works. The Principal Certifying Authority (the Certifier) is Blackett, Maguire & Goldsmith Pty Ltd (BM+G).

Notification of commencement of works and construction was provided to the Department on 10 February 2022.

Works undertaken since the first Independent Audit included remediation, earthworks, foundation and structural works, road adjustments and utilities.

## 1.2 Approval requirements

Conditions C39 to C44 of Schedule 2 of SSD 10434896 set out the requirements for undertaking Independent Audits. The conditions give effect to the Department of Planning and Environment (the Department) 2020 document entitled *Independent Audit Guideline Post Approval Requirements* (IAPAR). The IAPAR sets out the scope, methodology and reporting requirements for the Independent Audit.

## 1.3 The audit team

In accordance with Section 3.1 of the IAPAR, Independent Auditors must be suitably qualified, experienced, and independent of the Project, and appointed by the Planning Secretary.

The Audit Team comprises:

- Derek Low (Auditor Lead): Master of Environmental Engineering Management, Exemplar Global Certified Lead Environmental Auditor (Certificate No. 114283)

Approval of the Audit Team was provided by the Department on 7 December 2021. The letter is presented in Appendix B.

## 1.4 The audit objectives

The objective of this Independent Audit is to satisfy SSD 10434896 Schedule 2, condition C40, which states:

*Independent Audits of the development must be conducted and carried out in accordance with the Independent Audit Post Approval Requirements.*

This Independent Audit sought to verify compliance with the relevant conditions and assess the effectiveness of environmental management on the Project using the scope, methodology and reporting requirements from the IAPAR.

## 1.5 Audit scope

This (second) Independent Audit relates to the Project works between May and November 2022.

The scope of the Independent Audit comprises:

- an assessment of compliance with:
  - all conditions of consent applicable to the phase of the development that is being audited
  - all post approval and compliance documents prepared to satisfy the conditions of consent, including an assessment of the implementation of Environmental Management Plans and Sub-plans; and
  - all environmental licences and approvals applicable to the development excluding environment protection licences issued under the Protection of the Environment Operations Act 1997.
- a review of the environmental performance of the development, including but not necessarily limited to, an assessment of:
  - actual impacts compared to predicted impacts documented in the environmental impact assessment
  - the physical extent of the development in comparison with the approved boundary
  - incidents, non-compliances and complaints that occurred or were made during the audit period
  - the performance of the development having regard to agency policy and any particular environmental issues identified through consultation carried out when developing the scope of the audit; and
  - feedback received from the Department, and other agencies and stakeholders, including the community or Community Consultative Committee, on the environmental performance of the project during the audit period
- a review of the status of implementation of previous Independent Audit findings, recommendations and actions (if any)
- a high-level assessment of whether Environmental Management Plans and Sub-plans are adequate; and
- any other matters considered relevant by the auditor or the Department, taking into account relevant regulatory requirements and legislation, knowledge of the development's past performance and comparison to industry best practices.

## 2. AUDIT METHODOLOGY

### 2.1 Audit process

The Independent Audit was conducted in a manner consistent with AS/NZS ISO 19011.2019 – Guidelines for Auditing Management Systems and the methodology set out in the Department’s IAPAR. An overview of the audit activities, as specified in AS/NZS ISO 19011, is presented in Figure 3.

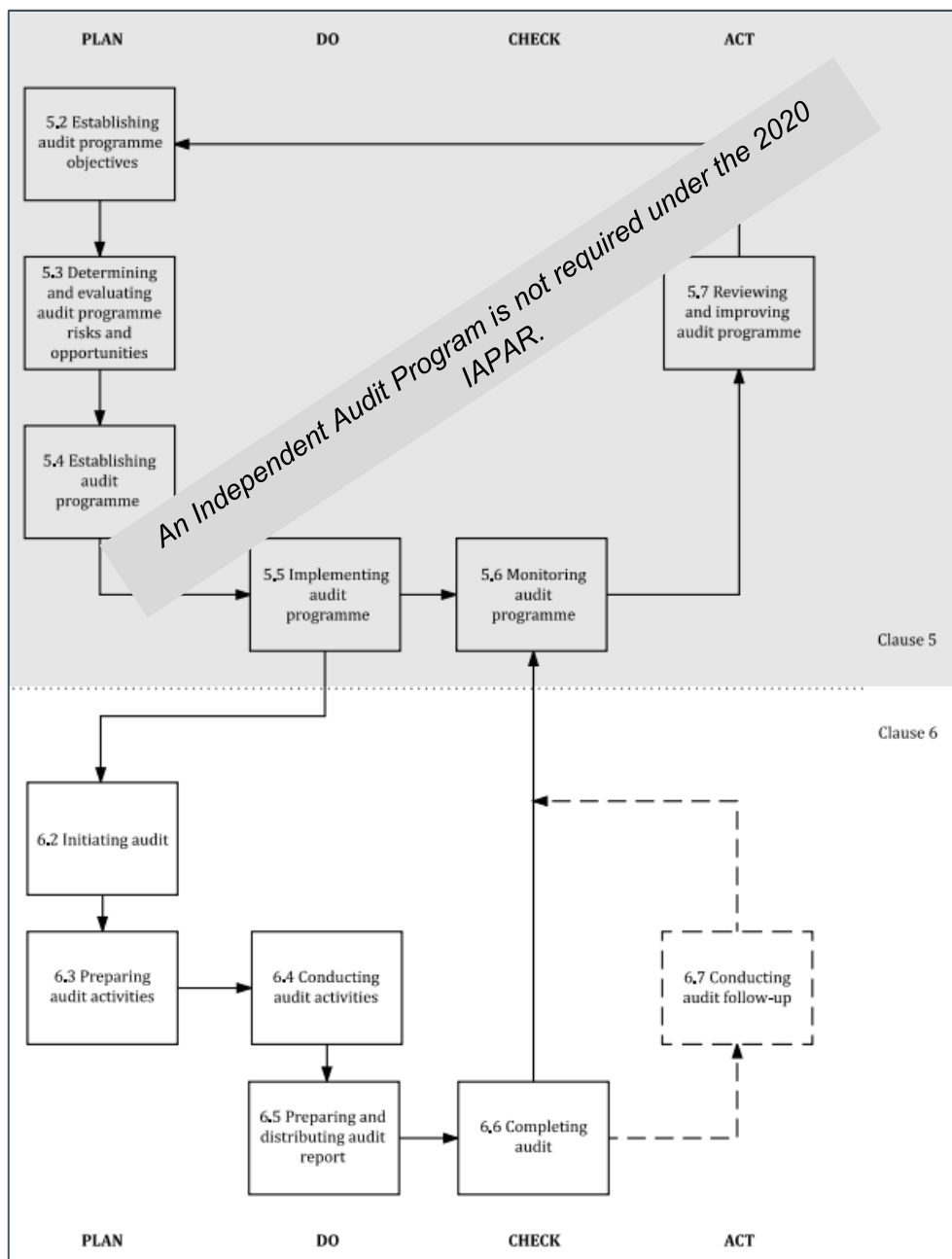


Figure 3: Audit activities overview (modified from AS/NZS ISO 19011). Subclause numbering refers to the relevant subclauses in the Standard.

## 2.2 Audit process detail

### 2.2.1 Audit initiation and scope development

Prior to the commencement of the audit the following tasks were completed:

- Establish initial contact with the Auditee.
- Confirm the audit team.
- Confirm the audit purpose, scope and criteria.

On 10 October 2022, WolfPeak consulted with the Department to obtain their input into the scope of the Independent Audit in accordance with Section 3.2 of the IAPAR. The consultation records are presented in Appendix C. A summary of the issues raised are identified in Table 1.

*Table 1: Key issues and areas of focus raised during consultation*

| Stakeholder                            | Issues and Focus   | How Addressed   |
|--|--|---|
| Department of Planning and Environment | Please ensure the audit is conducted in accordance with Condition C39 to C44 of the Development Consent SSD-10434896, which requires the audit to be carried out in accordance with the Independent Audit Post Approval Requirements.<br><br>Consistent with Section 3.3 of those requirements, please ensure: | This audit has been conducted in accordance with the IAPAR. |
|  | <ul style="list-style-type: none"> <li>• The environmental performance of the development is assessed, including but not limited to actual versus predicted impacts</li> </ul>   | Refer sections 3.8 and 3.9                                  |
|  | <ul style="list-style-type: none"> <li>• A high level assessment of the environmental management plans is included</li> </ul>  | Refer Section 3.3   |
|  | <ul style="list-style-type: none"> <li>• Matters considered relevant to this audit:</li> </ul>   | -   |
|  | <ul style="list-style-type: none"> <li>◦ Communication of compliance obligations to relevant contractors</li> </ul>  | Refer Section 3.5   |
|  | <ul style="list-style-type: none"> <li>◦ Management of construction traffic and noise</li> </ul>   | Refer Section 3.5   |
|  | <ul style="list-style-type: none"> <li>◦ Provision of required information on the project website</li> </ul>   | Refer Section 3.5   |
|  | <ul style="list-style-type: none"> <li>◦ Ongoing consultation with affected community</li> </ul>   | Refer Section 3.5   |
|  | <ul style="list-style-type: none"> <li>◦ Notification of incidents and non-compliances to the Department.</li> </ul>   | Refer Section 3.5   |

### 2.2.2 Preparing audit activities

The Auditor performed a document review, prepared an audit plan, and prepared work documents (audit checklists) and distributed to the Project team in preparation for the Independent Audit.

The primary documents reviewed prior to and after the site visit are as follows:

- *Environmental Impact Statement (SSD-10434896) Multi-Storey Carpark, The Children's Hospital at Westmead, Architectus Australia, dated 13 April 2021 (the EIS)*
- *Response to Submissions Report (SSD-10434896) Multi-Storey Carpark, The Children's Hospital at Westmead, Architectus Australia, 23 July 2021 (the RtS)*
- *Development Consent SSD-10434896, The Children's Hospital at Westmead Multi-storey Carpark, 15 September 2021*
- *Project Environmental Management Plan (CEMP) – Westmead Children's Hospital Stage 2 Redevelopment Enabling Works, Ford Civil, 4 May 2022*
- *Construction Traffic and Pedestrian Management Sub-Plan (CTPMSP) – Westmead Children's Hospital Stage 2 Redevelopment Enabling Works, Ford Civil, 31 March 2022*
- *Construction Noise and Vibration Management Sub-Plan (CNVMSP) – Westmead Children's Hospital Stage 2 Redevelopment Enabling Works, Ford Civil, 28 March 2022*
- *Construction Waste Management Sub-Plan (CWMSP) – Westmead Children's Hospital Stage 2 Redevelopment Enabling Works, Ford Civil, 17 February 2022*
- *Construction Soil and Water Management Sub-Plan (CSWMSP) – Westmead Children's Hospital Stage 2 Redevelopment Enabling Works, Ford Civil, 24 June 2022*
- *Construction Flood Emergency Response Sub-plan (FERSP) – Westmead Children's Hospital Stage 2 Redevelopment Enabling Works, Ford Civil, 24 June 2022*
- *Environmental Management Plan, Children's Hospital Westmead Multi-storey Carpark, Kane, 5 August 2022 (Kane CEMP), including the CSWMSP*
- *The Children's Hospital at Westmead Redevelopment - Stage 2 Multistorey Car Park Construction Traffic and Pedestrian Management Sub-Plan, TTPS, 13 July 2022 (Kane CTPMSP)*
- *Westmead Children's Hospital MSCP Construction Noise and Vibration Sub-Plan, Acoustic Logic, 18 March 2022 (Kane CNVMSP)*
- *Waste Management Plan Children's Hospital Westmead Stage 2 – Multi Storey Car Park, Kane, 05 August 2022 (Kane CWMSP)*
- *Crown Certificate, CRO 22006, Blackett MaGuire and Goldsmith, 9 March 2022 (covers Stage 1 of the MSCP)*
- *Crown Certificate, CRO 22052, Blackett MaGuire and Goldsmith, 1 June 2022 (covers Stage 1 of Kane's MSCP works comprising in ground works, remediation, MSCP structure excluding façade and roof)*

### 2.2.3 Site personnel involvement

The on-site audit activities took place on 2 November 2022. The following personnel took part in the audit:

- Hossein Bidgoli, Health Infrastructure NSW
- Tom Morgan, PwC, Project Manager

- Danny Khal, Ford Civil
- Caitlyn Butchart, Ford Civil.

#### **2.2.4 Meetings**

Opening and closing meetings were held with the Auditor and Project personnel.

During the opening meeting, held on site on 2 November 2022, the objectives and scope of the Independent Audit, the resources required and methodology to be applied were discussed.

At the closing meeting, also held on site on 2 November 2022, preliminary audit findings were presented, preliminary recommendations (as appropriate) were made, timing for finalisation of the audit report and any post-audit actions were confirmed.

#### **2.2.5 Interviews**

The Auditor conducted interviews during the site inspection with key personnel involved in Project delivery, including those with responsibility for environmental management, to assist with verifying the compliance status of the development. All other communication was conducted remotely, which included detailed request for information and auditee responses to the request.

#### **2.2.6 Site inspection**

The on-site audit activities took place on 2 November 2022. The on-site audit activities included an inspection of the site and work activities. Photos are presented in Appendix D.

#### **2.2.7 Document review**

The Independent Audit included investigation and review of Project files, records and documentation that acts as evidence of compliance (or otherwise) with a compliance requirement. The documents sighted are included within Appendix A.

#### **2.2.8 Generating audit findings**

Independent Audit findings were based on verifiable evidence. The evidence included:

- relevant records, documents and reports
- interviews of relevant site personnel
- photographs
- figures and plans; and
- site inspections of relevant locations, activities and processes.

#### **2.2.9 Compliance evaluation**

The Auditor determined the compliance status of each compliance requirement in the Audit Table, using the descriptors from Table 2 of the IAPAR, as listed in Table 2Table , below:



Table 2: Compliance descriptors from Table 2 of the IAPAR

| Status               | Description  |
|----------------------|--|
| <b>Compliant</b>     | The Auditor has collected sufficient verifiable evidence to demonstrate that all elements of the requirement have been complied with within the scope of the audit.      |
| <b>Non-compliant</b> | The Auditor has determined that one or more specific elements of the conditions or requirements have not been complied with within the scope of the audit.               |
| <b>Not Triggered</b> | A requirement has an activation or timing trigger that has not been met at the time when the audit is undertaken, therefore an assessment of compliance is not relevant. |

Observations and notes may also be made to provide context, identify opportunities for improvement or highlight positive initiatives.

## 2.2.10 Evaluation of post approval documentation

The Auditor assessed whether post approval documents:

- have been developed in accordance with the CoCs and all other environmental licences and approvals applicable to the Project (if any) and their content is adequate.
- have been implemented in accordance with the CoCs and all other environmental licences and approvals applicable to the Project (if any).

The adequacy of post approval documents was determined on the basis of whether:

- there are any non-compliances resulting from the implementation of the document.
- whether there are any opportunities for improvement.

## 2.2.11 Completing the audit

The Independent Audit Report was distributed to the proponent to check factual matters and for input into actions in response to findings (where relevant). The Auditor retained the right to make findings or recommendations based on the facts presented.

### 3. AUDIT FINDINGS

#### 3.1 Approvals and documents audited and evidence sighted

The documents audited comprised all the conditions from Schedule 2 of SSD-10434896 applicable to the works being undertaken, and selected mitigation measures and commitments from the following plans:

- CEMP
- CTPMSP
- CNVMSP
- CWMSMSP
- CSWMSP.

The evidence sighted against each requirement is detailed within Appendix A.

#### 3.2 Non-compliance, Observations and Actions

This section, including Tables 3 and 4, presents the status of previously open findings, and the non-compliance and observations from the Independent Audit. Actions in response to each of the findings are also presented. Detailed findings against each requirement are presented in Appendix A. In summary:

- With respect to the status of the previously open findings from the first Independent Audit three (3) findings remained open. These relate to the website and the Pre-Construction Compliance Report
- With respect to the second Independent Audit:
  - There were 145 conditions assessed.
  - Two (2) non-compliances were identified. These relate to notification to the Certifier of a review, and tree protection.
  - Two (2) observations were identified. These relate to content of the Kane CEMP and Sub-plans.

Table 3: Status of previously open findings from the first Independent Audit

| Item            | Ref. | Type           | Details of item  | Recommended or completed actions  | By whom and by when          | Status  |
|-----------------|------|----------------|--|---|------------------------------|---|
| 10434896_IA1_06 | A24  | Non-compliance | <p><i>Requirement: At least 48 hours before the commencement of construction until the completion of all works under this consent, or such other time as agreed by the Planning Secretary, the Applicant must:</i></p> <ul style="list-style-type: none"> <li>a) <i>make the following information and documents (as they are obtained or approved) publicly available on its website:</i> <ul style="list-style-type: none"> <li>i. <i>the documents referred to in condition A2 of this consent;</i></li> <li>ii. <i>all current statutory approvals for the development;</i></li> <li>iii. <i>all approved strategies, plans and programs required under the conditions of this consent;</i></li> <li>iv. <i>regular reporting on the environmental performance of the development in accordance with the reporting arrangements in any plans or programs approved under the conditions of this consent;</i></li> <li>v. <i>a comprehensive summary of the monitoring results of the development, reported in accordance with the specifications in any conditions of this consent, or any approved plans and programs;</i></li> <li>vi. <i>a summary of the current stage and progress of the development;</i></li> <li>vii. <i>contact details to enquire about the development or to make a complaint;</i></li> <li>viii. <i>a complaints register, updated monthly;</i></li> <li>ix. <i>audit reports prepared as part of any independent audit of the development and the Applicant's response to the recommendations in any audit report;</i></li> <li>x. <i>any other matter required by the Planning Secretary; and</i></li> </ul> </li> <li>b) <i>keep such information up to date, to the satisfaction of the Planning Secretary and publicly available for 12 months after the commencement of operations.</i></li> </ul> <p><b>Non-compliance: The website does not contain the information listed in this condition.</b></p> | The Project website is to be updated to include those documents detailed in A24. Documents should be easy to access.  | HINSW<br>31/07/2022          | <p>OPEN</p> <p>Much of the information has now been uploaded. However, it was observed at the second audit that three pages across two websites are being used to present the listed information. Each does not direct the reader to the other pages (via link or other method) that contain the information. Further, the monitoring results are not up to date as is required by A24a)v) and A24b).</p> <p>It is understood that the project team will review establishing a direct link from one website to another listed where the documents are.</p> <p>The project team are in the process of updating the website monitoring results to date.</p> |
| 10434896_IA1_07 | A33  | Non-compliance | <p><i>Requirement: No later than 48 hours prior to the commencement of construction, a Compliance Monitoring and Reporting Schedule prepared in accordance with the Compliance Reporting Post Approval Requirements, as amended by condition A34, must be submitted to the Planning Secretary and the Certifier.</i></p> <p><b>Non-compliance: From the evidence provided, it is not clear that a copy of the Compliance Reporting Schedule has been submitted to the Department.</b></p>  | The Project's Compliance Reporting Schedule is to be submitted to the Department.   | HINSW<br>As soon as possible | <p>OPEN</p> <p>It is understood that the project team are in the process of submitting the compliance reporting schedule to the Department.</p>   |
| 10434896_IA1_08 | A35  | Non-compliance | <p><i>Requirement: Compliance Reports of the project must be carried out in accordance with the Compliance Reporting Post Approval Requirements.</i></p> <p><b>Non-compliance: From the evidence provided, it appears that the Pre-construction Compliance Report was not accompanied with a declaration in accordance with Appendix D of the Compliance Reporting Post Approval Requirements. Further the Pre-construction Compliance Report does not reference any evidence to support claims of compliance (as is required under the Department's Compliance Reporting Post Approval Requirements. The Auditor notes that the Department has not provided any comments on the Report, in its response dated 23/05/22.</b></p>   | The Pre-construction Compliance Report should be updated to include references to the evidence used to support claims of compliance and to include a declaration signed by HINSW. | HINSW<br>As soon as possible | <p>PARTIALLY CLOSED</p> <p>The updated Compliance Report refers to supporting evidence. The declaration had yet to be signed at the time of the second audit.</p> <p>It is understood that the project team are in the process of submitting the pre-construction compliance report with signed declaration to the Department.</p>  |
| 10434896_IA1_09 | A37  | Non-compliance | <p><i>Requirement: The Applicant must make each Compliance Report publicly available 60 days after submitting it to the Planning Secretary.</i></p> <p><b>Non-compliance: The website does not contain the Pre-Construction Compliance Report as is required by this condition.</b></p>  | The Project website is to be updated to include those documents detailed in A24 and A37. Documents should be easy to access.  | HINSW<br>As soon as possible | <p>CLOSED</p> <p>The website contains the Report.</p>   |

Table 4: Findings from the second Independent Audit

| Item            | Ref. | Type           | Details of item   | Recommended or completed actions  | By whom and by when                                     | Status |
|-----------------|------|----------------|---|---|---|--------|
| 10434896_IA2_01 | A31  | Non-compliance | <p>Requirement: <i>Within three months of:</i></p> <ul style="list-style-type: none"> <li>a) <i>the submission of a compliance report under condition A36;</i></li> <li>b) <i>the submission of an incident report under condition A27;</i></li> <li>c) <i>the submission of an Independent Audit under condition C40 or C41;</i></li> <li>d) <i>the approval of any modification of the conditions of this consent; or</i></li> <li>e) <i>the issue of a direction of the Planning Secretary under condition A2 which requires a review,</i></li> </ul> <p><i>the strategies, plans and programs required under this consent must be reviewed, and the Planning Secretary and the Certifier must be notified in writing that a review is being carried out.</i></p> <p><b>Non-compliance: Whilst there was evidence of a review having been conducted and notified to the Department, there was no evidence that the review was notified to the Certifier, as required by this condition.</b></p>  | Notification to the Certifier was completed prior to the finalisation of this Report  | Ford Civil  | CLOSED |
| 10434896_IA2_02 | B13  | Observation    | <p>Requirement: <i>The Construction Noise and Vibration Management Sub-Plan must address, but not be limited to, the following:</i></p> <ul style="list-style-type: none"> <li>a) <i>be prepared by a suitably qualified and experienced noise expert;</i></li> <li>b) <i>describe procedures for achieving the noise management levels in EPA's Interim Construction Noise Guideline (DECC, 2009);</i></li> <li>c) <i>describe the measures to be implemented to manage high noise generating works such as piling, in close proximity to sensitive receivers;</i></li> <li>d) <i>include strategies that have been developed with the community for managing high noise generating works;</i></li> <li>e) <i>describe the community consultation undertaken to develop the strategies in condition B13(d);</i></li> <li>f) <i>include a complaints management system that would be implemented for the duration of the construction; and</i></li> <li>g) <i>include a program to monitor and report on the impacts and environmental performance of the development and the effectiveness of the implemented management measures in accordance with the requirements of condition B13.</i></li> </ul> <p><b>Observation: Whilst still yet to be implemented (as Ford remains Principal Contractor until their works are complete), the Kane CNVMSP cross referencing needs to be checked as some references are incorrect. Further, the Kane CNVMSP does not describe the community consultation undertaken to develop the strategies as required by B13(e). It is understood that the strategies for managing high noise generating works are in-line with the strategies outlined by Ford within their CNVMSP, which references community consultation undertaken. The auditee notes that, prior to commencing as the principle contractor, Kane's CNVMSP will be issued to the relevant surrounding stakeholders for review.</b></p> | Update the Kane CNVMSP to describe the community consultation undertaken to develop the strategies in condition B13(d), once completed. | Kane<br><br>Prior to Kane becoming Principal Contractor | OPEN   |
| 10434896_IA2_03 | B14  | Observation    | <p>Requirement: <i>The Construction Waste Management Sub-Plan (CWMSP) must address, but not be limited to, the procedures for the management of waste including the following:</i></p> <ul style="list-style-type: none"> <li>a) <i>the recording of quantities, classification (for materials to be removed) and validation (for materials to remain) of each type of waste generated during construction and proposed use;</i></li> <li>b) <i>information regarding the management of asbestos; and</i></li> <li>c) <i>information regarding the recycling and disposal locations.</i></li> </ul>   | Update the Kane CWMSP to set out the procedure for management of asbestos.  | Kane<br><br>Prior to Kane becoming Principal Contractor | OPEN   |

| Item            | Ref. | Type           | Details of item  | Recommended or completed actions   | By whom and by when | Status |
|-----------------|------|----------------|--|--|---------------------|--------|
|                 |      |                | <p><b>Observation:</b> Whilst still yet to be implemented (as Ford remains Principal Contractor until their works are complete) and acknowledging that Ford is supposed to clear the site of asbestos prior to handing over to Kane, the Kane CWMSP refers the reader to the CEMP for details on the management of asbestos.</p> <p>The auditee notes that <i>'the CEMP includes the unexpected finds protocol for contamination and associated communications procedure (Attachment 8), which includes the procedure for workers who come into contact with unexpected potential hazards including (but not limited to) asbestos. The Asbestos Management Plan by JBS&amp;G includes the procedures for management of asbestos.'</i></p> <p>The auditor acknowledges this approach, however notes that the condition requires the CWMSP to include information regarding the management of asbestos. The CWMSP does not currently contain this information.</p>   |  |                     |        |
| 10434896_IA2_04 | C19  | Non-compliance | <p>Requirement: <i>For the duration of the construction works:</i></p> <p>a) <i>all trees on the site that are not approved for removal must be suitably protected during construction as per the recommendations of the Arboricultural Impact Assessment, prepared by Tree Management Strategies, dated 20.01.2020; and</i></p> <p>b) <i>if access to the area within any protective barrier is required during the works, it must be carried out under the supervision of a qualified arborist. Alternative tree protection measures must be installed, as required. The removal of tree protection measures, following completion of the works, must be carried out under the supervision of a qualified arborist and must avoid both direct mechanical injury to the structure of the tree and soil compaction within the canopy or the limit of the former protective fencing, whichever is the greater.</i></p> <p><b>Non-compliance:</b> At the time of the audit site inspection, several small trees on the northwest boundary were not protected and had materials stored with the tree protection zone. No damage to the trees was observed. All other trees were suitably protected.</p> | <p>Materials were immediately removed and tree protection reinstated.</p> <p>Site team was tool boxed and reminded of the requirements as per this condition on 04/11/22.</p> <p>The project team has raised the non-compliance with the Department on 20/11/22.</p> | Ford Civil          | CLOSED |

### 3.3 Adequacy of Environmental Management Plans, sub-plans and post approval documents

The adequacy of post approval documents must be determined on the basis of whether:

- there are any non-compliances resulting from the implementation of the document, and
- there are any opportunities for improvement.

A review was conducted of the following documents:

- *Project Environmental Management Plan (CEMP) – Westmead Children’s Hospital Stage 2 Redevelopment Enabling Works, Ford Civil, 4 May 2022*
- *Construction Traffic and Pedestrian Management Sub-Plan (CTPMSP) – Westmead Children’s Hospital Stage 2 Redevelopment Enabling Works, Ford Civil, 31 March 2022*
- *Construction Noise and Vibration Management Sub-Plan (CNVMSP) – Westmead Children’s Hospital Stage 2 Redevelopment Enabling Works, Ford Civil, 28 March 2022*
- *Construction Waste Management Sub-Plan (CWMSP) – Westmead Children’s Hospital Stage 2 Redevelopment Enabling Works, Ford Civil, 17 February 2022*
- *Construction Soil and Water Management Sub-Plan (CSWMSP) – Westmead Children’s Hospital Stage 2 Redevelopment Enabling Works, Ford Civil, 24 June 2022*
- *Construction Flood Emergency Response Sub-plan (FERSP) – Westmead Children’s Hospital Stage 2 Redevelopment Enabling Works, Ford Civil, 24 June 2022*
- *Environmental Management Plan, Children’s Hospital Westmead Multi-storey Carpark, Kane, 5 August 2022 (Kane CEMP), including the CSWMSP*
- *The Children’s Hospital at Westmead Redevelopment - Stage 2 Multistorey Car Park Construction Traffic and Pedestrian Management Sub-Plan, TTPS, 13 July 2022 (Kane CTPMSP)*
- *Westmead Children’s Hospital MSCP Construction Noise and Vibration Sub-Plan, Acoustic Logic, 18 March 2022 (Kane CNVMSP)*
- *Waste Management Plan Children’s Hospital Westmead Stage 2 – Multi Storey Car Park, Kane, 05 August 2022 (Kane CWMSP).*

Refer to the first Independent Audit for findings on the content of the Ford Civil CEMP and Sub-plans. The plans had some minor deficiencies but were considered to be generally adequate for the works being undertaken. The Auditor considers to still be the case for the current audit period.

The Auditor notes that Kane has yet to assume control of the site and continues to work under the Ford Civil CEMP and Sub-plans. Nevertheless in reviewing the Kane CEMP and Sub-plans it was observed that:

- the Kane CNVMSP cross referencing needs to be checked as some references are incorrect. Further, the Kane CNVMSP does not describe the community consultation undertaken to develop the strategies as required by B13(e).



- the Kane CWMSP is required to detail information on asbestos management as is required by B14. However the CWMSP refers the reader to the CEMP for details on the management of asbestos. The CEMP does not contain this information.

### 3.4 Summary of notices from agencies

To the Auditors knowledge no formal notices were issued by the Department during the audit period.

### 3.5 Other matters considered relevant by the Auditor or DPE

Other than the matters identified in Section 3.2, there were no other matters considered relevant by the Auditor. During consultation, the Department requested several matters be focused on. Where these are not already addressed elsewhere in this Report they are addressed below.

#### *Communication of compliance obligations to relevant contractors*

As noted in A25, both Ford and Kane provided sufficient evidence to demonstrate that employees, contractors (and their sub-contractors) are made aware of, and are instructed to comply with, the conditions of this consent relevant to activities they carry out in respect of the development. Personnel interviewed during the audit were aware of their obligations.

#### *Management of construction traffic and noise*

As noted in C4 – C8, C10 and C12 – C15 the auditees provided sufficient evidence to demonstrate that the CTPMSP was being implemented, that traffic impacts were not beyond those anticipated in the EIS, and that noise impacts were not inconsistent with that predicted in the EIS.

Noise monitoring is continuous and is occurring within the buildings of adjacent receivers. Noise Management Level exceedances were investigated in concert with the receivers and, for each, were determined by Ford and PwC to not be related to construction on the Project.

It is noted that no complaints relating to traffic or noise (or any other matter) were received during the audit period.

#### *Provision of required information on the project website*

As noted in A24, at the first Independent Audit the website did not contain the information required by the condition. Much of the information has now been uploaded. However, it was observed at the second audit that three pages across two websites are being used to present the listed information. Each does not direct the reader to the other pages (via link or other method) that contain the information. Further, the monitoring results are not up to date as is required by A24a)v) and A24b).

#### *Ongoing consultation with affected community*

Throughout the audit evidence was provided which demonstrated that the surrounding community (i.e.: the hospital works and patrons) were regularly consulted via the relevant hospital / health administrators. This was evidenced via meeting minutes, WhatsApp messages, project updates and the like. The Auditor is of the view that consultation is adequate.

### *Notification of incidents and non-compliances to the Department.*

As noted in A26 – A30, the auditees were not aware of any non-compliances or incidents having occurred during the audit period.

## **3.6 Complaints**

A complaints register is being maintained for the Project. No complaints have been received during the audit period.

## **3.7 Incidents**

The Project has not identified any reportable incidents during the audit period.

## **3.8 Actual versus predicted impacts**

Predicted outcomes associated with the construction of the Project are described in Chapter 6 of the *Environmental Impact Statement (SSD-10434896) Multi-Storey Carpark, The Children's Hospital at Westmead*, Architectus Australia, dated 13 April 2021 (the EIS), and in Chapter 4 of the *Response to Submissions Report (SSD-10434896) Multi-Storey Carpark, The Children's Hospital at Westmead*, Architectus Australia, 23 July 2021 (the RtS).

The EIS and RtS included a range of studies and predictions that relied on observation, measurement and modelling of the existing environments and potential outcomes arising from the Project. Full assessment of the accuracy of these predictions would also require a significant number of studies involving measurement and modelling using actual data points as inputs. Other than the construction requirements specified in the conditions and mitigation measures, to the Auditor's knowledge there are no requirements to undertake such studies and doing so does not form part of this Independent Audit. Any such comparison is qualitative only.

Construction commenced on 10 February 2022. Works completed during the current audit period included remediation, earthworks, roadworks, foundations and some structural work, and utilities.

The Auditor considered the associated impacts that could occur from these activities in particular dust, noise, vibration, erosion and sedimentation, exposure to hazardous materials, lack of access and traffic.

Ford have demonstrated that their CEMP has been effectively implemented. Monitoring is ongoing, with dust and asbestos in air results sighted met the adopted criteria. Exceedances in the applicable noise and vibration criteria were investigated and determined by Ford and PwC to not be related to construction. Erosion and sediment controls appeared consistent with the erosion and sediment control plan. There have been no complaints received during the audit period. This, along with the good degree of compliance, and lack of incidents indicates that the environmental impacts are mostly minor or negligible and qualitatively well within that predicted by the EIS and RtS.

## **3.9 Key strengths and environmental performance**

The overall outcome of this audit indicated that compliance was proactively tracked by the key project personnel. The following strengths were demonstrated in managing compliance against the SSD conditions:

- Remediation procedure on site were being independently monitored and reported, with controls noted as being conformant with the RAP

- Records from relevant environmental and compliance monitoring, such as routine site inspections, were presented to demonstrate compliance
- There were no environmental issues raised during the site inspection. The following mitigating measures were observed:
  - Site notice was installed at the site entry
  - Boundary screening were installed and maintained around the perimeter of the project site
  - Chemical storage area and spill kits were available onsite at point of use
  - No construction heavy vehicles parked outside the construction boundary
  - Water was available for use, ensuring that ground was free from dust
  - No dust and no mud tracking were observed.

## 4. CONCLUSIONS

This Audit Report is the second Independent Audit for the construction period, covering the period from May to November 2022.

The overall outcome of the Independent Audit was positive. Compliance records were organised and available at the time of the site inspection and interviews with Project personnel from PwC, Ford Civil and Kane.

Relevant environmental and compliance monitoring records were being collected and reported as required to provide verification of compliance to statutory requirements and the broader Project environmental requirements. In summary:

- With respect to the status of the previously open findings from the first Independent Audit three (3) findings remained open. These relate to the website and the Pre-Construction Compliance Report
- With respect to the second Independent Audit:
  - There were 145 conditions assessed.
  - Two (2) non-compliances were identified. These relate to notification to the Certifier of a review, and tree protection.
  - Two (2) observations were identified. These relate to content of the Kane CEMP and Sub-plans.

Detailed findings are presented in Section 3, along with actions proposed or undertaken by the Project team to address the findings.

The Auditor would like to thank the auditees from HINSW, PwC, Kane and Ford Civil for their high level of organisation, cooperation, and assistance during the Independent Audit.

## 5. LIMITATIONS

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## **APPENDIX A – SSD-10434896 CONDITIONS OF CONSENT**



| Unique ID   | Compliance requirement  | Evidence collected  | Independent Audit findings and recommendations  | Compliance Status |  |         |     |              |      |                      |   |                      |            |                      |   |                        |            |                      |   |                                 |            |                      |   |                         |            |                      |   |   |            |                      |   |                         |            |                      |   |                           |            |                      |   |                              |            |                      |   |                       |            |                      |   |                       |            |                      |   |                     |            |                      |   |                     |            |                      |   |              |            |                      |   |                                |            |   |  |  |  |         |     |              |      |                       |   |                              |            |   |  |           |
|---|---|---|---|-------------------|--|---------|-----|--------------|------|----------------------|---|----------------------|------------|----------------------|---|------------------------|------------|----------------------|---|---------------------------------|------------|----------------------|---|-------------------------|------------|----------------------|---|---|------------|----------------------|---|-------------------------|------------|----------------------|---|---------------------------|------------|----------------------|---|------------------------------|------------|----------------------|---|-----------------------|------------|----------------------|---|-----------------------|------------|----------------------|---|---------------------|------------|----------------------|---|---------------------|------------|----------------------|---|--------------|------------|----------------------|---|--------------------------------|------------|---|--|--|--|---------|-----|--------------|------|-----------------------|---|------------------------------|------------|---|--|-----------|
| Part A Administrative conditions                                    |   |   |   |                   |  |         |     |              |      |                      |   |                      |            |                      |   |                        |            |                      |   |                                 |            |                      |   |                         |            |                      |   |   |            |                      |   |                         |            |                      |   |                           |            |                      |   |                              |            |                      |   |                       |            |                      |   |                       |            |                      |   |                     |            |                      |   |                     |            |                      |   |              |            |                      |   |                                |            |   |  |  |  |         |     |              |      |                       |   |                              |            |   |  |           |
| Obligation to Minimise Harm to the Environment                      |   |   |   |                   |  |         |     |              |      |                      |   |                      |            |                      |   |                        |            |                      |   |                                 |            |                      |   |                         |            |                      |   |   |            |                      |   |                         |            |                      |   |                           |            |                      |   |                              |            |                      |   |                       |            |                      |   |                       |            |                      |   |                     |            |                      |   |                     |            |                      |   |              |            |                      |   |                                |            |   |  |  |  |         |     |              |      |                       |   |                              |            |   |  |           |
| A1  | In addition to meeting the specific performance measures and criteria in this consent, all reasonable and feasible measures must be implemented to prevent, and, if prevention is not reasonable and feasible, minimise any material harm to the environment that may result from the construction and operation of the development.  | Evidence referred to elsewhere in this Audit Table  | Feasible and reasonable measures were observed to be implemented for the construction at the time of the audit, noting however the non-compliances and observations referred to in this audit table.    | Compliant         |  |         |     |              |      |                      |   |                      |            |                      |   |                        |            |                      |   |                                 |            |                      |   |                         |            |                      |   |   |            |                      |   |                         |            |                      |   |                           |            |                      |   |                              |            |                      |   |                       |            |                      |   |                       |            |                      |   |                     |            |                      |   |                     |            |                      |   |              |            |                      |   |                                |            |   |  |  |  |         |     |              |      |                       |   |                              |            |   |  |           |
| Terms of Consent  |   |   |   |                   |  |         |     |              |      |                      |   |                      |            |                      |   |                        |            |                      |   |                                 |            |                      |   |                         |            |                      |   |   |            |                      |   |                         |            |                      |   |                           |            |                      |   |                              |            |                      |   |                       |            |                      |   |                       |            |                      |   |                     |            |                      |   |                     |            |                      |   |              |            |                      |   |                                |            |   |  |  |  |         |     |              |      |                       |   |                              |            |   |  |           |
| A2  | <div>The development may only be carried out:<div><div>a) in compliance with the conditions of this consent;</div><div>b) in accordance with all written directions of the Planning Secretary;</div><div>c) generally in accordance with the EIS and the Response to Submissions; and</div><div>d) in accordance with the approved plans in the table below:</div></div><table><tr><th colspan="4">Architectural drawings prepared by <i>Billard Leece Partnership</i></th></tr><tr><th>Dwg No.</th><th>Rev</th><th>Name of Plan</th><th>Date</th></tr><tr><td>CHW-AR-DG- MCP-DA007</td><td>C</td><td>SITE PLAN – EXISTING</td><td>21.12.2020</td></tr><tr><td>CHW-AR-DG- MCP-DA008</td><td>D</td><td>SITE PLAN – DEMOLITION</td><td>22.06.2021</td></tr><tr><td>CHW-AR-DG- MCP-DA009</td><td>D</td><td>SITE PLAN – PROPOSED ROOF LEVEL</td><td>22.06.2021</td></tr><tr><td>CHW-AR-DG- MCP-DA031</td><td>F</td><td>PARKING LEVEL – P1 PLAN</td><td>22.06.2021</td></tr><tr><td>CHW-AR-DG- MCP-DA032</td><td>F</td><td>PARKING LEVEL – TYPICAL PLAN LEVELS P2 – P7</td><td>22.06.2021</td></tr><tr><td>CHW-AR-DG- MCP-DA038</td><td>E</td><td>PARKING LEVEL – P8 PLAN</td><td>22.06.2021</td></tr><tr><td>CHW-AR-DG- MCP-DA039</td><td>D</td><td>PARKING LEVEL – ROOF PLAN</td><td>22.06.2021</td></tr><tr><td>CHW-AR-DG- MCP-DA012</td><td>D</td><td>SITE SECTIONS AND ELEVATIONS</td><td>22.06.2021</td></tr><tr><td>CHW-AR-DG- MCP-DA040</td><td>J</td><td>ELEVATIONS – SHEET 01</td><td>23.07.2021</td></tr><tr><td>CHW-AR-DG- MCP-DA041</td><td>H</td><td>ELEVATIONS – SHEET 02</td><td>23.07.2021</td></tr><tr><td>CHW-AR-DG- MCP-DA050</td><td>G</td><td>SECTIONS – SHEET 01</td><td>23.07.2021</td></tr><tr><td>CHW-AR-DG- MCP-DA051</td><td>G</td><td>SECTIONS – SHEET 02</td><td>23.07.2021</td></tr><tr><td>CHW-AR-DG- MCP-DA060</td><td>C</td><td>FAÇADE TYPES</td><td>22.06.2021</td></tr><tr><td>CHW-AR-SC- MCP-DA092</td><td>C</td><td>SCHEDULE – MATERIAL AND LEGEND</td><td>22.06.2021</td></tr><tr><th colspan="4">Landscape drawings prepared by <i>MCGREGOR COXALL</i></th></tr><tr><th>Dwg No.</th><th>Rev</th><th>Name of Plan</th><th>Date</th></tr><tr><td>CHW-LD-DG- MSCP-SD101</td><td>7</td><td>SCHEMATIC DESIGN – MSCP PLAN</td><td>15.06.2021</td></tr></table></div> | Architectural drawings prepared by <i>Billard Leece Partnership</i>   |   |                   |  | Dwg No. | Rev | Name of Plan | Date | CHW-AR-DG- MCP-DA007 | C | SITE PLAN – EXISTING | 21.12.2020 | CHW-AR-DG- MCP-DA008 | D | SITE PLAN – DEMOLITION | 22.06.2021 | CHW-AR-DG- MCP-DA009 | D | SITE PLAN – PROPOSED ROOF LEVEL | 22.06.2021 | CHW-AR-DG- MCP-DA031 | F | PARKING LEVEL – P1 PLAN | 22.06.2021 | CHW-AR-DG- MCP-DA032 | F | PARKING LEVEL – TYPICAL PLAN LEVELS P2 – P7 | 22.06.2021 | CHW-AR-DG- MCP-DA038 | E | PARKING LEVEL – P8 PLAN | 22.06.2021 | CHW-AR-DG- MCP-DA039 | D | PARKING LEVEL – ROOF PLAN | 22.06.2021 | CHW-AR-DG- MCP-DA012 | D | SITE SECTIONS AND ELEVATIONS | 22.06.2021 | CHW-AR-DG- MCP-DA040 | J | ELEVATIONS – SHEET 01 | 23.07.2021 | CHW-AR-DG- MCP-DA041 | H | ELEVATIONS – SHEET 02 | 23.07.2021 | CHW-AR-DG- MCP-DA050 | G | SECTIONS – SHEET 01 | 23.07.2021 | CHW-AR-DG- MCP-DA051 | G | SECTIONS – SHEET 02 | 23.07.2021 | CHW-AR-DG- MCP-DA060 | C | FAÇADE TYPES | 22.06.2021 | CHW-AR-SC- MCP-DA092 | C | SCHEDULE – MATERIAL AND LEGEND | 22.06.2021 | Landscape drawings prepared by <i>MCGREGOR COXALL</i> |  |  |  | Dwg No. | Rev | Name of Plan | Date | CHW-LD-DG- MSCP-SD101 | 7 | SCHEMATIC DESIGN – MSCP PLAN | 15.06.2021 | <div>Evidence referred to elsewhere in this audit table</div> <div>Interview with auditees, 04/05/22</div> <div>Development Consent, SSD-10434896, 15/09/2021</div> <div>Environmental Impact Statement (SSD-10434896) Multi-Storey Carpark, The Children’s Hospital at Westmead, Architectus Australia, 13/04/21</div> <div>Response to Submissions Report (SSD-10434896) Multi-Storey Carpark, The Children’s Hospital at Westmead, Architectus Australia, 23/0721</div> <div>Crown Certificate, CRO 22006, Blackett MaGuire and Goldsmith, 09/03/22 (covers Stage 1 of the MSCP)</div> <div>Crown Certificate, CRO 22052, Blackett MaGuire and Goldsmith, 01/06/22 (covers Stage 1 of Kane’s MSCP works comprising in ground works, remediation, MSCP structure excluding façade and roof)</div> | <div>Whilst some non-compliances were identified, these were not substantial in nature and were not significant in number. Other than these few events, compliance is being achieved in all other respects and, on this basis, the Auditor does not consider it appropriate to assign a non-compliance with this condition.</div> <div>Development was observed to be carried out generally in accordance with the EIS, RtS and additional information provided in support of the application.</div> <div>The Certifier has verified that the works to date are consistent with the approved design.</div> | Compliant |
| Architectural drawings prepared by <i>Billard Leece Partnership</i> |   |   |   |                   |  |         |     |              |      |                      |   |                      |            |                      |   |                        |            |                      |   |                                 |            |                      |   |                         |            |                      |   |   |            |                      |   |                         |            |                      |   |                           |            |                      |   |                              |            |                      |   |                       |            |                      |   |                       |            |                      |   |                     |            |                      |   |                     |            |                      |   |              |            |                      |   |                                |            |   |  |  |  |         |     |              |      |                       |   |                              |            |   |  |           |
| Dwg No.   | Rev   | Name of Plan  | Date  |                   |  |         |     |              |      |                      |   |                      |            |                      |   |                        |            |                      |   |                                 |            |                      |   |                         |            |                      |   |   |            |                      |   |                         |            |                      |   |                           |            |                      |   |                              |            |                      |   |                       |            |                      |   |                       |            |                      |   |                     |            |                      |   |                     |            |                      |   |              |            |                      |   |                                |            |   |  |  |  |         |     |              |      |                       |   |                              |            |   |  |           |
| CHW-AR-DG- MCP-DA007  | C   | SITE PLAN – EXISTING  | 21.12.2020  |                   |  |         |     |              |      |                      |   |                      |            |                      |   |                        |            |                      |   |                                 |            |                      |   |                         |            |                      |   |   |            |                      |   |                         |            |                      |   |                           |            |                      |   |                              |            |                      |   |                       |            |                      |   |                       |            |                      |   |                     |            |                      |   |                     |            |                      |   |              |            |                      |   |                                |            |   |  |  |  |         |     |              |      |                       |   |                              |            |   |  |           |
| CHW-AR-DG- MCP-DA008  | D   | SITE PLAN – DEMOLITION  | 22.06.2021  |                   |  |         |     |              |      |                      |   |                      |            |                      |   |                        |            |                      |   |                                 |            |                      |   |                         |            |                      |   |   |            |                      |   |                         |            |                      |   |                           |            |                      |   |                              |            |                      |   |                       |            |                      |   |                       |            |                      |   |                     |            |                      |   |                     |            |                      |   |              |            |                      |   |                                |            |   |  |  |  |         |     |              |      |                       |   |                              |            |   |  |           |
| CHW-AR-DG- MCP-DA009  | D   | SITE PLAN – PROPOSED ROOF LEVEL   | 22.06.2021  |                   |  |         |     |              |      |                      |   |                      |            |                      |   |                        |            |                      |   |                                 |            |                      |   |                         |            |                      |   |   |            |                      |   |                         |            |                      |   |                           |            |                      |   |                              |            |                      |   |                       |            |                      |   |                       |            |                      |   |                     |            |                      |   |                     |            |                      |   |              |            |                      |   |                                |            |   |  |  |  |         |     |              |      |                       |   |                              |            |   |  |           |
| CHW-AR-DG- MCP-DA031  | F   | PARKING LEVEL – P1 PLAN   | 22.06.2021  |                   |  |         |     |              |      |                      |   |                      |            |                      |   |                        |            |                      |   |                                 |            |                      |   |                         |            |                      |   |   |            |                      |   |                         |            |                      |   |                           |            |                      |   |                              |            |                      |   |                       |            |                      |   |                       |            |                      |   |                     |            |                      |   |                     |            |                      |   |              |            |                      |   |                                |            |   |  |  |  |         |     |              |      |                       |   |                              |            |   |  |           |
| CHW-AR-DG- MCP-DA032  | F   | PARKING LEVEL – TYPICAL PLAN LEVELS P2 – P7   | 22.06.2021  |                   |  |         |     |              |      |                      |   |                      |            |                      |   |                        |            |                      |   |                                 |            |                      |   |                         |            |                      |   |   |            |                      |   |                         |            |                      |   |                           |            |                      |   |                              |            |                      |   |                       |            |                      |   |                       |            |                      |   |                     |            |                      |   |                     |            |                      |   |              |            |                      |   |                                |            |   |  |  |  |         |     |              |      |                       |   |                              |            |   |  |           |
| CHW-AR-DG- MCP-DA038  | E   | PARKING LEVEL – P8 PLAN   | 22.06.2021  |                   |  |         |     |              |      |                      |   |                      |            |                      |   |                        |            |                      |   |                                 |            |                      |   |                         |            |                      |   |   |            |                      |   |                         |            |                      |   |                           |            |                      |   |                              |            |                      |   |                       |            |                      |   |                       |            |                      |   |                     |            |                      |   |                     |            |                      |   |              |            |                      |   |                                |            |   |  |  |  |         |     |              |      |                       |   |                              |            |   |  |           |
| CHW-AR-DG- MCP-DA039  | D   | PARKING LEVEL – ROOF PLAN   | 22.06.2021  |                   |  |         |     |              |      |                      |   |                      |            |                      |   |                        |            |                      |   |                                 |            |                      |   |                         |            |                      |   |   |            |                      |   |                         |            |                      |   |                           |            |                      |   |                              |            |                      |   |                       |            |                      |   |                       |            |                      |   |                     |            |                      |   |                     |            |                      |   |              |            |                      |   |                                |            |   |  |  |  |         |     |              |      |                       |   |                              |            |   |  |           |
| CHW-AR-DG- MCP-DA012  | D   | SITE SECTIONS AND ELEVATIONS  | 22.06.2021  |                   |  |         |     |              |      |                      |   |                      |            |                      |   |                        |            |                      |   |                                 |            |                      |   |                         |            |                      |   |   |            |                      |   |                         |            |                      |   |                           |            |                      |   |                              |            |                      |   |                       |            |                      |   |                       |            |                      |   |                     |            |                      |   |                     |            |                      |   |              |            |                      |   |                                |            |   |  |  |  |         |     |              |      |                       |   |                              |            |   |  |           |
| CHW-AR-DG- MCP-DA040  | J   | ELEVATIONS – SHEET 01   | 23.07.2021  |                   |  |         |     |              |      |                      |   |                      |            |                      |   |                        |            |                      |   |                                 |            |                      |   |                         |            |                      |   |   |            |                      |   |                         |            |                      |   |                           |            |                      |   |                              |            |                      |   |                       |            |                      |   |                       |            |                      |   |                     |            |                      |   |                     |            |                      |   |              |            |                      |   |                                |            |   |  |  |  |         |     |              |      |                       |   |                              |            |   |  |           |
| CHW-AR-DG- MCP-DA041  | H   | ELEVATIONS – SHEET 02   | 23.07.2021  |                   |  |         |     |              |      |                      |   |                      |            |                      |   |                        |            |                      |   |                                 |            |                      |   |                         |            |                      |   |   |            |                      |   |                         |            |                      |   |                           |            |                      |   |                              |            |                      |   |                       |            |                      |   |                       |            |                      |   |                     |            |                      |   |                     |            |                      |   |              |            |                      |   |                                |            |   |  |  |  |         |     |              |      |                       |   |                              |            |   |  |           |
| CHW-AR-DG- MCP-DA050  | G   | SECTIONS – SHEET 01   | 23.07.2021  |                   |  |         |     |              |      |                      |   |                      |            |                      |   |                        |            |                      |   |                                 |            |                      |   |                         |            |                      |   |   |            |                      |   |                         |            |                      |   |                           |            |                      |   |                              |            |                      |   |                       |            |                      |   |                       |            |                      |   |                     |            |                      |   |                     |            |                      |   |              |            |                      |   |                                |            |   |  |  |  |         |     |              |      |                       |   |                              |            |   |  |           |
| CHW-AR-DG- MCP-DA051  | G   | SECTIONS – SHEET 02   | 23.07.2021  |                   |  |         |     |              |      |                      |   |                      |            |                      |   |                        |            |                      |   |                                 |            |                      |   |                         |            |                      |   |   |            |                      |   |                         |            |                      |   |                           |            |                      |   |                              |            |                      |   |                       |            |                      |   |                       |            |                      |   |                     |            |                      |   |                     |            |                      |   |              |            |                      |   |                                |            |   |  |  |  |         |     |              |      |                       |   |                              |            |   |  |           |
| CHW-AR-DG- MCP-DA060  | C   | FAÇADE TYPES  | 22.06.2021  |                   |  |         |     |              |      |                      |   |                      |            |                      |   |                        |            |                      |   |                                 |            |                      |   |                         |            |                      |   |   |            |                      |   |                         |            |                      |   |                           |            |                      |   |                              |            |                      |   |                       |            |                      |   |                       |            |                      |   |                     |            |                      |   |                     |            |                      |   |              |            |                      |   |                                |            |   |  |  |  |         |     |              |      |                       |   |                              |            |   |  |           |
| CHW-AR-SC- MCP-DA092  | C   | SCHEDULE – MATERIAL AND LEGEND  | 22.06.2021  |                   |  |         |     |              |      |                      |   |                      |            |                      |   |                        |            |                      |   |                                 |            |                      |   |                         |            |                      |   |   |            |                      |   |                         |            |                      |   |                           |            |                      |   |                              |            |                      |   |                       |            |                      |   |                       |            |                      |   |                     |            |                      |   |                     |            |                      |   |              |            |                      |   |                                |            |   |  |  |  |         |     |              |      |                       |   |                              |            |   |  |           |
| Landscape drawings prepared by <i>MCGREGOR COXALL</i>               |   |   |   |                   |  |         |     |              |      |                      |   |                      |            |                      |   |                        |            |                      |   |                                 |            |                      |   |                         |            |                      |   |   |            |                      |   |                         |            |                      |   |                           |            |                      |   |                              |            |                      |   |                       |            |                      |   |                       |            |                      |   |                     |            |                      |   |                     |            |                      |   |              |            |                      |   |                                |            |   |  |  |  |         |     |              |      |                       |   |                              |            |   |  |           |
| Dwg No.   | Rev   | Name of Plan  | Date  |                   |  |         |     |              |      |                      |   |                      |            |                      |   |                        |            |                      |   |                                 |            |                      |   |                         |            |                      |   |   |            |                      |   |                         |            |                      |   |                           |            |                      |   |                              |            |                      |   |                       |            |                      |   |                       |            |                      |   |                     |            |                      |   |                     |            |                      |   |              |            |                      |   |                                |            |   |  |  |  |         |     |              |      |                       |   |                              |            |   |  |           |
| CHW-LD-DG- MSCP-SD101   | 7   | SCHEMATIC DESIGN – MSCP PLAN  | 15.06.2021  |                   |  |         |     |              |      |                      |   |                      |            |                      |   |                        |            |                      |   |                                 |            |                      |   |                         |            |                      |   |   |            |                      |   |                         |            |                      |   |                           |            |                      |   |                              |            |                      |   |                       |            |                      |   |                       |            |                      |   |                     |            |                      |   |                     |            |                      |   |              |            |                      |   |                                |            |   |  |  |  |         |     |              |      |                       |   |                              |            |   |  |           |
| A3  | <div>Consistent with the requirements in this consent, the Planning Secretary may make written directions to the Applicant in relation to:</div> <div><div>a) the content of any strategy, study, system, plan, program, review, audit, notification, report or correspondence submitted under or otherwise made in relation to this consent, including those that are required to be, and have been, approved by the Planning Secretary;</div><div>b) any reports, reviews or audits commissioned by the Planning Secretary regarding compliance with this approval; and</div><div>c) the implementation of any actions or measures contained in any such document referred to in (a) above.</div></div>   | <div>Interview with auditees 02/11/22</div> <div>DPE comments on CEMP and sub-plans for MSCP (RFI 37653997), 04/04/22</div> <div>DPE RFI on Staging Report, 07/06/22</div> <div>DPE RFI on the CEMP and Sub-plans, 17/08/22</div> | <div>The Department provided comments on the Kane CEMP and Sub-plans and the lates revision of the Staging Report. In both instances the auditee updated the documents to satisfy the Department.</div> | Compliant         |  |         |     |              |      |                      |   |                      |            |                      |   |                        |            |                      |   |                                 |            |                      |   |                         |            |                      |   |   |            |                      |   |                         |            |                      |   |                           |            |                      |   |                              |            |                      |   |                       |            |                      |   |                       |            |                      |   |                     |            |                      |   |                     |            |                      |   |              |            |                      |   |                                |            |   |  |  |  |         |     |              |      |                       |   |                              |            |   |  |           |

| Unique ID                              | Compliance requirement  | Evidence collected  | Independent Audit findings and recommendations  | Compliance Status |
|--|---|---|---|-------------------|
|  |   | Letter DPE to HINSW 28/06/22 (approval of Revision 4 Staging Report).<br><br>Letter DPE to HINSW 10/08/22 (acceptance of revised CEMP and Sub-plans)  |   |                   |
| A4                                     | The conditions of this consent and directions of the Planning Secretary prevail to the extent of any inconsistency, ambiguity or conflict between them and a document listed in condition A2(c). In the event of an inconsistency, ambiguity or conflict between any of the documents listed in condition A2(c), the most recent document prevails to the extent of the inconsistency, ambiguity or conflict.   | -   | Noted. This audit assesses compliance with the current conditions. No conflicts identified.   | Not Triggered     |
| <b>Limit of Consent</b>                |   |   |   |                   |
| A5                                     | This consent lapses five years after the date of consent unless work is physically commenced.   | Site inspection 02/11/22<br><br>Letter to DPE notifying construction commencement, Ford Civil, 08/02/22   | Letter sent by Ford Civil to the Planning Secretary notifying commencement of Stage 1 – Early Works on 10/02/22. This was the actual date of commencement.  | Compliant         |
| <b>Prescribed Conditions</b>           |   |   |   |                   |
| A6                                     | The Applicant must comply with all relevant prescribed conditions of development consent under Part 6, Division 8A of the EP&A Regulation.  | Part 6, Division 8A of the EP&A Regulation<br><br>Crown Certificate, CRO 22006, Blackett MaGuire and Goldsmith, 09/03/22 (covers Stage 1 of the MSCP)<br><br>Crown Certificate, CRO 22052, Blackett MaGuire and Goldsmith, 01/06/22 (covers Stage 1 of Kane's MSCP works comprising in ground works, remediation, MSCP structure excluding façade and roof) | Part 6, Division 8A of the EP&A relates to prescribed conditions for:<br><ul style="list-style-type: none"><li>• Compliance with the BCA (Crown Certificate received for current works)</li><li>• Erection of signs (not relevant)</li><li>• Residential building work (not relevant)</li><li>• Entertainment venues (not relevant)</li><li>• Signage for max number of persons in venues (not relevant for construction)</li><li>• Shoring and adjoining properties (not relevant – no shoring or adjoining properties).</li></ul> | Compliant         |
| <b>Planning Secretary as Moderator</b> |   |   |   |                   |
| A7                                     | In the event of a dispute between the Applicant and a public authority, in relation to an applicable requirement in this approval or relevant matter relating to the Development, either party may refer the matter to the Planning Secretary for resolution. The Planning Secretary's resolution of the matter must be binding on the parties.   | Interview with auditees 02/11/22  | The auditees are not aware of any disputes.   | Not Triggered     |
| <b>Evidence of Consultation</b>        |   |   |   |                   |
| A8                                     | Where conditions of this consent require consultation with an identified party, the Applicant must:<br><ul style="list-style-type: none"><li>a) consult with the relevant party prior to submitting the subject document for information or approval; and</li><li>b) provide details of the consultation undertaken including:<ul style="list-style-type: none"><li>i. the outcome of that consultation, matters resolved and unresolved; and</li><li>ii. details of any disagreement remaining between the party consulted and the Applicant and how the Applicant has addressed the matters not resolved.</li></ul></li></ul> | Refer to evidence sighted in relation to B5, B6, B12, and B15<br><br>Interview with auditees 02/11/22   | Evidence shows that the consultation was completed in accordance with the applicable requirements. Records were retained. The auditor is not aware of any outstanding disagreements.  | Compliant         |

| Unique ID  | Compliance requirement   | Evidence collected  | Independent Audit findings and recommendations   | Compliance Status |
|--|--|---|--|-------------------|
| <b>Staging</b>   |  |   |  |                   |
| A9   | The project may be constructed and operated in stages. Where compliance with conditions is required to be staged due to staged construction or operation, a Staging Report (for either or both construction and operation as the case may be) must be prepared and submitted to the satisfaction of the Planning Secretary. The Staging Report must be submitted to the Planning Secretary no later than one month before the commencement of construction of the first of the proposed stages of construction (or if only staged operation is proposed, one month before the commencement of operation of the first of the proposed stages of operation).   | Staging Report – Multi-Storey Carpark (SSD-10434896), NGH Consulting, 17/06/22<br><br>Staging Report approval letter from DPE to HINSW, dated 28/06/22<br><br>Letter to DPE notifying construction commencement, Ford Civil, 08/02/22<br><br>Email DPE to HINSW, 20/01/22                     | A Staging Report has been prepared for the Project, which was approved by the Planning Secretary on 18/03/22, then again in June 2022.<br><br>Non-compliance from the first audit: The auditor was unable to determine from the evidence provided whether the Staging Report was submitted >1 month before commencement of construction. Based on the evidence provided at the second audit, it is apparent that the Staging Report was submitted <1 month prior to construction. The latest update to the Staging Report was reviewed and approved prior to any changes to staging. | Compliant         |
| A10  | A Staging Report prepared in accordance with condition A9 must:<br>a) if staged construction is proposed, set out how the construction of the whole of the project will be staged, including details of work and other activities to be carried out in each stage and the general timing of when construction of each stage will commence and finish;<br>b) if staged operation is proposed, set out how the operation of the whole of the project will be staged, including details of work and other activities to be carried out in each stage and the general timing of when operation of each stage will commence and finish (if relevant);<br>c) specify how compliance with conditions will be achieved across and between each of the stages of the project; and<br>d) set out mechanisms for managing any cumulative impacts arising from the proposed staging.   | Staging Report – Multi-Storey Carpark (SSD-10434896), NGH Consulting, 17/06/22<br><br>Staging Report approval letter from DPE to HINSW, dated 28/06/22<br><br>Letter DPE to HINSW 28/06/22 (approval of Revision 4 Staging Report).   | The Project Staging Report addresses the requirements of A10 and was approved by the Planning Secretary on 18 March 2022, then again in June 2022.   | Compliant         |
| A11  | Where a Staging Report is required, the project must be staged in accordance with the Staging Report, as approved by the Planning Secretary.   | Staging Report – Multi-Storey Carpark (SSD-10434896), NGH Consulting, 17/06/22  | Works are being conducted in accordance with the Staging Report, with the Project currently delivering the Stage 1 – Early Works. Compliance monitoring is ongoing.  | Compliant         |
| A12  | Where construction or operation is being staged in accordance with a Staging Report, the terms of this consent that apply or are relevant to the works or activities to be carried out in a specific stage must be complied with at the relevant time for that stage as identified in the Staging Report.  | Staging Report – Multi-Storey Carpark (SSD-10434896), NGH Consulting, 17/06/22  | Appendix A of the approved Staging Report sets out sets out which conditions have been deemed applicable to each stage of works.   | Compliant         |
| <b>Staging, Combining and Updating Strategies, Plans or Programs</b> |  |   |  |                   |
| A13  | The Applicant may:<br>a) prepare and submit any strategy, plan (including management plan, architectural or design plan) or program required by this consent on a staged basis (if a clear description is provided as to the specific stage and scope of the development to which the strategy, plan (including management plan, architectural or design plan) or program applies, the relationship of the stage to any future stages and the trigger for updating the strategy, plan (including management plan, architectural or design plan) or program);<br>b) combine any strategy, plan (including management plan, architectural or design plan), or program required by this consent (if a clear relationship is demonstrated between the strategies, plans (including management plan, architectural or design plan) or programs that are proposed to be combined); and<br>c) update any strategy, plan (including management plan, architectural or design plan), or program required by this consent (to ensure the strategies, plans (including management plan, architectural or design plan), or programs required under this consent are updated on a regular basis and incorporate additional measures or amendments to improve the environmental performance of the development). | Staging Report – Multi-Storey Carpark (SSD-10434896), NGH Consulting, 17/06/22<br><br>Staging Report approval letter from DPE to HINSW, dated 28/06/22<br><br>CEMP and Sub-Plans (B11, B12, B13, B14, and B15)<br><br>Letter DPE to HINSW 10/08/22 (acceptance of revised CEMP and Sub-plans) | The CEMP and sub-plans etc cover the entirety of Stage 1, split between Ford and Kane, consistent with the Staging Report. The auditees have not relied on this condition.   | Not Triggered     |
| A14  | Any strategy, plan or program prepared in accordance with condition A13, where previously approved by the Planning Secretary under this consent, must be submitted to the satisfaction of the Planning Secretary.  | Staging Report – Multi-Storey Carpark (SSD-10434896), NGH Consulting, 17/06/22<br><br>Staging Report approval letter from DPE to HINSW, dated 28/06/22  | The CEMP and sub-plans etc cover the entirety of Stage 1, split between Ford and Kane, consistent with the Staging Report. The auditees have not relied on this condition.<br><br>Nevertheless DPE accepted updates to the CEMP and Sub-plans, despite not having any role in approving them.  | Not Triggered     |

| Unique ID                          | Compliance requirement  | Evidence collected  | Independent Audit findings and recommendations   | Compliance Status |
|------------------------------------|---|---|--|-------------------|
|                                    |   | CEMP and Sub-Plans (B11, B12, B13, B14, and B15)<br><br>Letter DPE to HINSW 10/08/22 (acceptance of revised CEMP and Sub-plans)   |  |                   |
| A15                                | If the Planning Secretary agrees, a strategy, plan (including management plan, architectural or design plan), or program may be staged or updated without consultation being undertaken with all parties required to be consulted in the relevant condition in this consent.  | Staging Report – Multi-Storey Carpark (SSD-10434896), NGH Consulting, 17/06/22<br><br>Staging Report approval letter from DPE to HINSW, dated 28/06/22<br><br>CEMP and Sub-Plans (B11, B12, B13, B14, and B15)<br><br>Letter DPE to HINSW 10/08/22 (acceptance of revised CEMP and Sub-plans)   | No agreements have been made with the Planning Secretary to update a strategy, plan, or program in a staged manner or without consulting with required parties.<br><br>Nevertheless DPE accepted updates to the CEMP and Sub-plans, despite not having any role in approving them. | Not Triggered     |
| A16                                | Updated strategies, plans (including management plan, architectural or design plan), or programs supersede the previous versions of them and must be implemented in accordance with the condition that requires the strategy, plan, program or drawing.   | Staging Report – Multi-Storey Carpark (SSD-10434896), NGH Consulting, 17/06/22<br><br>Staging Report approval letter from DPE to HINSW, dated 28/06/22<br><br>CEMP and Sub-Plans (B11, B12, B13, B14, and B15)<br><br>Letter DPE to HINSW 10/08/22 (acceptance of revised CEMP and Sub-plans)   | The CEMP and sub-plans etc cover the entirety of Stage 1, consistent with the Staging Report. The auditees have not relied on this condition.<br><br>Nevertheless DPE accepted updates to the CEMP and Sub-plans, despite not having any role in approving them.                   | Not Triggered     |
| <b>Structural Adequacy</b>         |   |   |  |                   |
| A17                                | All new buildings and structures, and any alterations or additions to existing buildings and structures, that are part of the development, must be constructed in accordance with the relevant requirements of the BCA.<br><br><i>Note: Part 8 of the EP&amp;A Regulation sets out the requirements for the certification of the development.</i> | Design Certificate, Arup, 03/02/22 (structural design certificate)<br><br>Crown Certificate, CRO 22006, Blackett MaGuire and Goldsmith, 09/03/22 (covers Stage 1 of the MSCP)<br><br>Structural Design Certificate, Dunnings, 07/04/22 for Kane<br><br>Crown Certificate, CRO 22052, Blackett MaGuire and Goldsmith, 01/06/22 (covers Stage 1 of Kane's MSCP works comprising in ground works, remediation, MSCP structure excluding façade and roof) | The structural designers confirmed structures comply with the BCA. This was verified by the Certifier.   | Compliant         |
| <b>External Walls and Cladding</b> |   |   |  |                   |
| A18                                | The external walls of all buildings including additions to existing buildings must comply with the relevant requirements of the BCA.  | Staging Report – Multi-Storey Carpark (SSD-10434896), NGH Consulting, 17/06/22<br><br>Staging Report approval letter from DPE to HINSW, dated 28/06/22  | Condition not applicable to Stage 1 – Early Works or for Stage 1 of Kane's Works (Stage 2) , as per approved Staging Report.   | Not Triggered     |



| Unique ID                          | Compliance requirement  | Evidence collected  | Independent Audit findings and recommendations   | Compliance Status |
|------------------------------------|---|---|--|-------------------|
| <b>External Materials</b>          |   |   |  |                   |
| A19                                | <p>The external colours, materials and finishes of the buildings must be consistent with the approved plans referenced in Condition A2. Any minor changes to the colour and finish of approved external materials may be approved by the Certifier provided:</p> <ul style="list-style-type: none"> <li>a) the alternative colour/material is of a similar tone/shade and finish to the approved external colours/building materials;</li> <li>b) the quality and durability of any alternative material is the same standard as the approved external building materials; and</li> <li>c) a copy of any approved changes to the external colours and/or building materials is provided to the Planning Secretary for information.</li> </ul>   | <p>Staging Report – Multi-Storey Carpark (SSD-10434896), NGH Consulting, 17/06/22</p> <p>Staging Report approval letter from DPE to HINSW, dated 28/06/22</p>   | Condition not applicable to Stage 1 – Early Works or for Stage 1 of Kane's Works (Stage 2) , as per approved Staging Report.   | Not Triggered     |
| <b>Site Contamination</b>          |   |   |  |                   |
| A20                                | Remediation approved as part of this development consent must be carried out in accordance with the <i>Remediation Action Plan</i> (RAP), dated 9 February 2021, prepared by JBS&G, or any updated RAP, prepared by a Certified Contaminated Land Consultant.   | <p>Site inspection 02/11/22</p> <p>Weekly Audit Summary, JBS&amp;G, 04/05/22 – 03/09/22</p>   | Each week the contaminated lands consultant who prepared the RAP provide a summary on how the project is tracking against the requirements of the RAP (including material movements, PPE, site controls, asbestos finds, air quality and air monitoring results etc). JBS&G confirmed the RAP was being implemented with only 2 x minor deficiencies identified during the audit period (one relating to delineation of exclusion zones and one relating to use of PPE).   | Compliant         |
| <b>Applicability of Guidelines</b> |   |   |  |                   |
| A21                                | References in the conditions of this consent to any guideline, protocol, Australian Standard or policy are to such guidelines, protocols, Standards or policies in the form they are in as at the date of this consent.   | CEMP and Sub-Plans (B11, B12, B13, B14, and B15)  | Noted. The project plans appear to reference the current versions of guidelines, protocols, Standards or policies.   | Compliant         |
| A22                                | Consistent with the conditions of this consent and without altering any limits or criteria in this consent, the Planning Secretary may, when issuing directions under this consent in respect of ongoing monitoring and management obligations, require compliance with an updated or revised version of such a guideline, protocol, Standard or policy, or a replacement of them.  | <p>Interview with auditees 02/11/22</p> <p>Letter DPE to HINSW 10/08/22 (acceptance of revised CEMP and Sub-plans)</p>  | It is understood that the Department requested updates to the latest revisions of the CEMP and sub-plans. The auditees addressed these comments and on 10/08/22 the Department accepted the documents.   | Compliant         |
| <b>Applicability of Guidelines</b> |   |   |  |                   |
| A23                                | <p>Any condition of this consent that requires the carrying out of monitoring or an environmental audit, whether directly or by way of a plan, strategy or program, is taken to be a condition requiring monitoring or an environmental audit under Division 9.4 of Part 9 of the EP&amp;A Act. This includes conditions in respect of incident notification, reporting and response, non- compliance notification, Site audit report and independent auditing.</p> <p><i>Note: For the purposes of this condition, as set out in the EP&amp;A Act, "monitoring" is monitoring of the development to provide data on compliance with the consent or on the environmental impact of the development, and an "environmental audit" is a periodic or particular documented evaluation of the development to provide information on compliance with the consent or the environmental management or impact of the development.</i></p> | <p>Asbestos in air monitoring, SafeWork Environments, (various records through Feb to July 2022)</p> <p>PM10 dust monitoring results, AE Smith (Feb to Oct 2022)</p> <p>Westmead PSB and MSCP noise and vibration monitoring reports, Arup, Feb to July 2022</p>  | <p>The relevant section of the EPAA relates to (among other things) the need to be accurate, true (not misleading), properly conducted (approved methodology, calibrated etc) and with records retained.</p> <p>Dust monitoring reports indicate that monitoring was conducted in accordance with the NEPM using dust tracks.</p> <p>Noise monitoring reports indicate that monitoring was conducted in accordance with AS1055.</p> <p>Vibration monitoring reports indicate that the EPA assessing vibration guide has been implemented. instrument and patient comfort specific criteria has been adopted.</p> <p>This Independent Audit has been conducted in accordance with the Department's IAPAR and ISO 19011.</p> | Compliant         |
| <b>Access to Information</b>       |   |   |  |                   |
| A24                                | <p>At least 48 hours before the commencement of construction until the completion of all works under this consent, or such other time as agreed by the Planning Secretary, the Applicant must:</p> <ul style="list-style-type: none"> <li>a) make the following information and documents (as they are obtained or approved) publicly available on its website: <ul style="list-style-type: none"> <li>i. the documents referred to in condition A2 of this consent;</li> </ul> </li> </ul>   | <p><a href="https://westmeadkidsredevelopment.health.nsw.gov.au/projects/new-car-park-1">https://westmeadkidsredevelopment.health.nsw.gov.au/projects/new-car-park-1</a></p> <p><a href="https://westmeadkidsredevelopment.health.nsw.gov.au/news/site-works">https://westmeadkidsredevelopment.health.nsw.gov.au/news/site-works</a></p> | <b>Non-compliance carried over from the first Independent Audit: It was noted at the first Independent Audit that the website did not contain the information listed in this condition. Much of the information has now been uploaded. However, three pages across two websites are being used to present the listed information. Each does not direct the reader to the other pages (via link or other method) that</b>   | Non-compliant     |

| Unique ID  | Compliance requirement   | Evidence collected   | Independent Audit findings and recommendations  | Compliance Status |
|--|--|--|---|-------------------|
|  | <ul style="list-style-type: none"> <li>ii. all current statutory approvals for the development;</li> <li>iii. all approved strategies, plans and programs required under the conditions of this consent;</li> <li>iv. regular reporting on the environmental performance of the development in accordance with the reporting arrangements in any plans or programs approved under the conditions of this consent;</li> <li>v. a comprehensive summary of the monitoring results of the development, reported in accordance with the specifications in any conditions of this consent, or any approved plans and programs;</li> <li>vi. a summary of the current stage and progress of the development;</li> <li>vii. contact details to enquire about the development or to make a complaint;</li> <li>viii. a complaints register, updated monthly;</li> <li>ix. audit reports prepared as part of any independent audit of the development and the Applicant's response to the recommendations in any audit report;</li> <li>x. any other matter required by the Planning Secretary; and</li> </ul> <p>b) keep such information up to date, to the satisfaction of the Planning Secretary and publicly available for 12 months after the commencement of operations.</p> | <a href="https://www.hinfra.health.nsw.gov.au/projects/project-search/the-children%E2%80%99s-hospital-at-westmead-%E2%80%93-stage-2-rede">https://www.hinfra.health.nsw.gov.au/projects/project-search/the-children%E2%80%99s-hospital-at-westmead-%E2%80%93-stage-2-rede</a>  | <p><b>contain the information. Further, the monitoring results are not up to date as is required by A24a)v) and A24b).</b></p>  |                   |
| <b>Compliance</b>                                    |  |  |   |                   |
| A25  | The Applicant must ensure that all of its employees, contractors (and their sub-contractors) are made aware of, and are instructed to comply with, the conditions of this consent relevant to activities they carry out in respect of the development.   | <p>Project induction, Ford Civil, 24/08/22</p> <p>Ford Subcontractor onboarding checklist (Alora, J&amp;E, San macros, Everything Concrete).</p> <p>Pre-start / toolbox talk records, 14/10/22</p> <p>Email Kane to subcontractors, 12/08/22 (issue of current CEMP and sub-plans to Kane subcontractors).</p> <p>DMG subcontract (signed) J0779 (subcontractor includes CEMP and sub-plans).</p> <p>Induction register 21/10/22</p> | <p>The requirements of the Project were communicated to the workforce as relevant to their roles through the subcontractor agreements, inductions and training.</p> <p>Sighted induction material, that included, but not to, site rules, consultation and training, hazards and risks including dust, odour, noise and vibration, access, hours of works, tools and equipment, hazardous substances and asbestos, water management, wet weather, traffic and access, incident management, emergencies, and the environmental control plans. 639 people inducted up to 21/10/22.</p> <p>Contractual requirements were included in attachments including, but not limited to the CEMP.</p> <p>Sighted pre-starts which includes requirements around dust, noise, wet weather etc and risks relevant to the works being undertaken.</p> | Compliant         |
| <b>Incident Notification, Reporting and Response</b> |  |  |   |                   |
| A26  | The Planning Secretary must be notified through the major projects portal immediately after the Applicant becomes aware of an incident. The notification must identify the development (including the development application number and the name of the development if it has one), and set out the location and nature of the incident.  | <p>Interview with auditees 02/11/22</p> <p>Incident Register, current to 21/10/22</p>  | The incident register was sighted. There were no reportable incidents (as defined by the consent) during the audit period.  | Not Triggered     |
| A27  | Subsequent notification must be given and reports submitted in accordance with the requirements set out in <b>Appendix 1</b> .   | <p>Interview with auditees 02/11/22</p> <p>Incident Register, current to 21/10/22</p>  | The incident register was sighted. There were no reportable incidents (as defined by the consent) during the audit period.  | Not Triggered     |
| <b>Non-Compliance Notification</b>                   |  |  |   |                   |
| A28  | The Planning Secretary must be notified through the major projects portal within seven days after the Applicant becomes aware of any non-compliance. The Certifier must also notify the Planning Secretary through the major projects portal within seven days after they identify any non-compliance.   | Interview with auditees 02/11/22   | The auditees have not identified any non-compliances during the audit period.   | Not Triggered     |
| A29  | The notification must identify the development and the application number for it, set out the condition of consent that the development is non-compliant with, the way in which it does not comply and the   | Interview with auditees 02/11/22   | The auditees have not identified any non-compliances during the audit period.   | Not Triggered     |



| Unique ID   | Compliance requirement   | Evidence collected   | Independent Audit findings and recommendations  | Compliance Status |
|---|--|--|---|-------------------|
|   | reasons for the non-compliance (if known) and what actions have been, or will be, undertaken to address the non-compliance.  |  |   |                   |
| A30   | A non-compliance which has been notified as an incident does not need to also be notified as a non-compliance.   | Interview with auditees 02/11/22   | The auditees have not identified any non-compliances or incidents during the audit period.  | Not Triggered     |
| <b>Revision of Strategies, Plans and Programs</b> |  |  |   |                   |
| A31   | <p>Within three months of:</p> <ul style="list-style-type: none"> <li>a) the submission of a compliance report under condition A36;</li> <li>b) the submission of an incident report under condition A27;</li> <li>c) the submission of an Independent Audit under condition C40 or C41;</li> <li>d) the approval of any modification of the conditions of this consent; or</li> <li>e) the issue of a direction of the Planning Secretary under condition A2 which requires a review,</li> </ul> <p>the strategies, plans and programs required under this consent must be reviewed, and the Planning Secretary and the Certifier must be notified in writing that a review is being carried out.</p>   | <p>Interview with auditees 02/11/22</p> <p>Site inspection 02/11/22</p> <p>Independent Audit No 1, WolfPeak, 01/07/22</p> <p>DPE post approval portal lodgement 25/07/22 (update of Ford Civil plans and submission to DPE)</p> <p>Letter DPE to HINSW 10/08/22 (acceptance of revised CEMP and Sub-plans)</p> <p>Review table of CEMP and sub-plans, Ford (no date)</p>               | <p>The only triggering event was the submission of the first Independent Audit. The CEMP and sub-plans were reviewed and updated and the updated documents were submitted within 3 months of submission of the first audit. Further, the revised CEMP and Sub-plans were considered adequate by the Department.</p> <p><b>Non-compliance: Whilst there was evidence of a review having been conducted and notified to the Department, there was no evidence that the review was notified to the Certifier, as required by this condition.</b></p> | Non-compliant     |
| A32   | <p>If necessary to either improve the environmental performance of the development, cater for a modification or comply with a direction, the strategies, plans, programs or drawings required under this consent must be revised, to the satisfaction of the Planning Secretary or Certifier (where previously approved by the Certifier). Where revisions are required, the revised document must be submitted to the Planning Secretary and / or Certifier for approval and / or information (where relevant) within six weeks of the review.</p> <p><i>Note: This is to ensure strategies, plans and programs are updated on a regular basis and to incorporate any recommended measures to improve the environmental performance of the development.</i></p>                     | <p>Interview with auditees 02/11/22</p> <p>Site inspection 02/11/22</p> <p>DPE post approval portal lodgement 25/07/22 (update of Ford Civil plans and submission to DPE)</p> <p>CEMP and Sub-Plans (B11, B12, B13, B14, and B15)</p> <p>Letter DPE to HINSW 10/08/22 (acceptance of revised CEMP and Sub-plans)</p>   | <p>The only triggering event was the submission of the first Independent Audit. The CEMP and sub-plans were reviewed and updated and the updated documents were submitted within 3 months of submission of the first audit. The revised CEMP and Sub-plans were considered adequate by the Department.</p>  | Compliant         |
| <b>Compliance Reporting</b>                       |  |  |   |                   |
| A33   | No later than 48 hours prior to the commencement of construction, a Compliance Monitoring and Reporting Schedule prepared in accordance with the Compliance Reporting Post Approval Requirements, as amended by condition A34, must be submitted to the Planning Secretary and the Certifier.  | Interview with auditees 02/11/22   | <b>Non-compliance from the first audit: From the evidence provided, it was not clear that a copy of the Compliance Reporting Schedule has been submitted to the Department. This is yet to be addressed. The auditor also notes that the file on the project website (and presented during the second audit) named 'MSCP - Reporting and Monitoring Schedule' is not a schedule as referred to by this condition.</b>   | Non-compliant     |
| A34   | <p>Table 1 of the Compliance Reporting Post Approval Requirements is amended so that the Compliance Monitoring and Reporting Schedule, minimum frequency of Compliance Reports required is:</p> <ul style="list-style-type: none"> <li>a) a Pre-Construction Compliance Report must be submitted to the Planning Secretary prior to commencement of construction;</li> <li>b) a Pre-Operational Compliance Report must be submitted to the Planning Secretary prior to commencement of operation and/or use; and</li> <li>c) Operation Compliance Reports are required for the duration of operation and must be submitted to the Planning Secretary at intervals, no greater than 52 weeks from the commencement of operation or as otherwise by the Planning Secretary.</li> </ul> | <p>Pre-commencement Compliance Report, Ford, 01/02/22 (the PCCR)</p> <p>DPE post approval portal, 07/02/22 (lodgement of PCCR)</p> <p>Staging Report – Multi-Storey Carpark (SSD-10434896), NGH Consulting, 17/06/22</p> <p>Staging Report approval letter from DPE to HINSW, dated 28/06/22</p> <p>Staging Report – Multi-Storey Carpark (SSD-10434896), NGH Consulting, 17/06/22</p> | <p>The PCCR was prepared and submitted prior to commencement of construction.</p> <p>Pre-Operational Compliance Report not applicable to current works</p> <p>Operational Compliance Reports not applicable to current works</p>  | Compliant         |

| Unique ID   | Compliance requirement   | Evidence collected  | Independent Audit findings and recommendations   | Compliance Status |
|---|--|---|--|-------------------|
|   |  | Staging Report approval letter from DPE to HINSW, dated 28/06/22  |  |                   |
| A35   | Compliance Reports of the project must be carried out in accordance with the Compliance Reporting Post Approval Requirements.  | Pre-commencement Compliance Report, Ford, 01/02/22 (the PCCR) and updated revision 29/06/22<br><br>DPE post approval portal, 07/02/22 (lodgement of PCCR)<br><br>DPE post approval response 23/05/22.   | The PCCR was prepared and submitted prior to commencement of construction.<br><br><b>Non-compliance from the first audit: From the evidence provided, it appeared that the Pre-construction Compliance Report was not accompanied with a declaration in accordance with Appendix D of the Compliance Reporting Post Approval Requirements. Further the Pre-construction Compliance Report did not reference any evidence to support claims of compliance (as is required under the Department's Compliance Reporting Post Approval Requirements. The Auditor notes that the Department has not provided any comments on the Report, in its response dated 23/05/22. At the second audit it was observed that evidence had been added to the Compliance Report, but that the declaration was still pending.</b> | Non-compliant     |
| A36   | Compliance Reports must be submitted to the Department in accordance with the timeframes set out in the Compliance Reporting Post Approval Requirements, unless otherwise agreed by the Planning Secretary.  | Pre-commencement Compliance Report, Ford, 01/02/22 (the PCCR)<br><br>DPE post approval portal, 07/02/22 (lodgement of PCCR)   | The PCCR was submitted prior to construction.  | Compliant         |
| A37   | The Applicant must make each Compliance Report publicly available 60 days after submitting it to the Planning Secretary.   | <a href="https://www.hinfra.health.nsw.gov.au/our-projects/project-search/the-children%E2%80%99s-hospital-at-westmead-%E2%80%93-stage-2-rede">https://www.hinfra.health.nsw.gov.au/our-projects/project-search/the-children%E2%80%99s-hospital-at-westmead-%E2%80%93-stage-2-rede</a> | The website contains the Pre-Construction Compliance Report as is required by this condition.  | Compliant         |
| A38   | Notwithstanding the requirements of the Compliance Reporting Post Approval Requirements, the Planning Secretary may approve a request for ongoing annual operational compliance reports to be ceased, where it has been demonstrated to the Planning Secretary's satisfaction that an operational compliance report has demonstrated operational compliance. | Staging Report – Multi-Storey Carpark (SSD-10434896), NGH Consulting, 17/06/22<br><br>Staging Report approval letter from DPE to HINSW, dated 28/06/22  | Condition not applicable to the works in the current audit period, as per approved Staging Report.   | Not Triggered     |
| <b>Landscape Plan Limitation</b>                    |  |   |  |                   |
| A39   | The relocated playground to the south of the Galleria path shown on the landscape plan referenced in condition A2 is displayed indicatively and is excluded from this approval. Any proposed works on this playground area are subject to a separate approval (if required).   | Interview with auditees 02/11/22<br><br>Site inspection 02/11/22<br><br>SSD10434896_Request for Information_Rev1 (FCC and PwC) (Client response to RFI on independent audit no 1)   | The auditees advised that the interim playground works, including relocation of existing play equipment, has been completed and were undertaken as exempt development.   | Compliant         |
| <b>PART B PRIOR TO COMMENCEMENT OF CONSTRUCTION</b> |  |   |  |                   |
| <b>Notification of Commencement</b>                 |  |   |  |                   |
| B1  | The Applicant must notify the Planning Secretary in writing of the dates of the intended commencement of construction and operation at least 48 hours before those dates.  | Letter to DPE notifying construction commencement, Ford Civil, 08/02/22<br><br>Interview with auditees 02/11/22   | Letter sent by Ford Civil to the Planning Secretary notifying commencement of Stage 1 – Early Works on 10/02/22. This was the actual date of commencement.   | Compliant         |
| B2  | If the construction or operation of the development is to be staged, the Planning Secretary must be notified in writing at least 48 hours before the commencement of each stage, of the date of commencement and the development to be carried out in that stage.  | Letter to DPE notifying construction commencement, Ford Civil, 08/02/22<br><br>Interview with auditees 02/11/22   | Letter sent by Ford Civil to the Planning Secretary notifying commencement of Stage 1 – Early Works on 10/02/22. This was the actual date of commencement.<br><br>Stage 2 (Kane Stage 1) was notified on 28/07/22. Actual date of commencement was 08/08/22.   | Compliant         |

| Unique ID                                  | Compliance requirement   | Evidence collected  | Independent Audit findings and recommendations  | Compliance Status |
|--|--|---|---|-------------------|
|  |  | DPE post approval portal lodgement, 28/07/22 (notification of commencement of Stage 2)  |   |                   |
| <b>Certified Drawings</b>                  |  |   |   |                   |
| B3   | Prior to the commencement of construction, the Applicant must submit to the satisfaction of the Certifier structural drawings prepared and signed by a suitably qualified practising Structural Engineer that demonstrates compliance with this development consent.   | <p>Design Certificate, Arup, 03/02/22 (structural design certificate)</p> <p>Crown Certificate, CRO 22006, Blackett MaGuire and Goldsmith, 09/03/22 (covers Stage 1 of the MSCP)</p> <p>Structural Design Certificate, Dunnings, 07/04/22 for Kane</p> <p>Crown Certificate, CRO 22052, Blackett MaGuire and Goldsmith, 01/06/22 (covers Stage 1 of Kane's MSCP works comprising in ground works, remediation, MSCP structure excluding façade and roof)</p>  | The structural designers confirmed structures comply with the BCA. This was verified by the Certifier.  | Compliant         |
| <b>External Walls and Cladding</b>         |  |   |   |                   |
| B4   | Prior to the commencement of façade construction, the Applicant must provide the Certifier with documented evidence that the products and systems proposed for use or used in the construction of external walls, including finishes and claddings such as synthetic or aluminium composite panels, comply with the requirements of the BCA. The Applicant must provide a copy of the documentation given to the Certifier to the Planning Secretary within seven days after the Certifier accepts it.   | <p>Staging Report – Multi-Storey Carpark (SSD-10434896), NGH Consulting, 17/06/22</p> <p>Staging Report approval letter from DPE to HINSW, dated 28/06/22</p>   | Condition not applicable to Stage 1 – Early Works of Stage 2 works (Kane stage 1), as per approved Staging Report.  | Not Triggered     |
| <b>Protection of Public Infrastructure</b> |  |   |   |                   |
| B5   | <p>Prior to the commencement of construction, the Applicant must:</p> <ol style="list-style-type: none"> <li>consult with and obtain relevant approvals from the relevant owner and provider of services that are likely to be affected by the development to make suitable arrangements for access to, diversion, protection and support of the affected infrastructure;</li> <li>prepare a dilapidation report identifying the condition of all public infrastructure in the vicinity of the site (including roads, gutters and footpaths); and</li> <li>submit a copy of the dilapidation report to the Planning Secretary, Certifier and Council.</li> </ol> | <p>Scope of Works and Minor Works Quote, Telstra, 08/02/22 (relocation of Telstra)</p> <p>Email, Zinfra and PwC 05/07/21 (gas consultation)</p> <p>Email Endeavour to Stantec, 21/08/21 and 18/03/22 (electricity consultation)</p> <p>Dilapidation Survey Report, James Townsend, 22-23/09/21 (roads, gutters and footpaths)</p> <p>Dilapidation Survey Report, James Townsend, 22-23/09/21 (facades)</p> <p>Email Ford to Certifier, 06/02/22 (submission to Council)</p> <p>Email Ford Civil to Council, 28/01/22 (submission of dilapidation to Council)</p> <p>DPE post approval portal lodgement 21/01/22 (DPE submission)</p> <p>Building Plan Approval, Asset Not Affected, Sydney Water, 1409525 (approval to work over / adjacent to Sydney Water).</p> <p>Section 73 Certificate, 26/04/22</p> | <p>Telstra was the only service that has been encountered and relocated. Evidence shows Telstra undertook the works.</p> <p>Zinfra (Jemena) confirmed satisfaction of design with respect to underlying gas line.</p> <p>Endeavour confirmed satisfaction of design with respect to underlying electricity lines.</p> <p>Sydney Water granted approval for works relating to water.</p> <p>The dilapidation reports were prepared covering all surrounding assets and submitted to the identified stakeholders.</p> | Compliant         |

| Unique ID                                   | Compliance requirement  | Evidence collected   | Independent Audit findings and recommendations   | Compliance Status |
|---|---|--|--|-------------------|
| <b>Pre-Construction Dilapidation Report</b> |   |  |  |                   |
| B6  | Prior to the commencement of construction, the Applicant must submit a pre-commencement dilapidation report to Council and the Certifier. The report must provide an accurate record of the existing condition of adjoining private properties and Council assets that are likely to be impacted by the proposed works.   | <p>Dilapidation Survey Report, James Townsend, 22-23/09/21 (roads, gutters and footpaths)</p> <p>Dilapidation Survey Report, James Townsend, 22-23/09/21 (facades)</p> <p>Email Ford to Certifier, 06/02/22 (submission to Council)</p> <p>Email Ford Civil to Council, 28/01/22 (submission of dilapidation to Council)</p> <p>DPE post approval portal lodgement 21/01/22 (DPE submission)</p>   | The dilapidation reports were prepared covering all surrounding assets and submitted to the identified stakeholders.   | Compliant         |
| <b>Outdoor Lighting</b>                     |   |  |  |                   |
| B7  | Prior to commencement of lighting installation, evidence must be submitted to the satisfaction of the Certifier that all outdoor lighting being installed within the site has been designed to comply with AS 1158.3.1:2005 Lighting for roads and public spaces – Pedestrian area (Category P) lighting – Performance and design requirements and AS 4282-2019 Control of the obtrusive effects of outdoor lighting.   | <p>Site inspection 02/11/22</p> <p>Electrical design certificate, JR, 04/04/22 (lighting design statement as per B7, D5 and D24)</p> <p>Crown Certificate, CRO 22052, Blackett MaGuire and Goldsmith, 01/06/22 (covers Stage 1 of Kane's MSCP works comprising in ground works, remediation, MSCP structure excluding façade and roof)</p>   | Lighting design has been approved by the Certifier.  | Compliant         |
| <b>Ecologically Sustainable Development</b> |   |  |  |                   |
| B8  | Prior to the commencement of construction, unless otherwise agreed by the Planning Secretary, the Applicant must demonstrate to the Certifier that ESD initiatives recommended by the ESD report (Ref No. 197087 S02 MSCP, prepared by Steensen Varming, dated 27.01.2021) have been incorporated into the design of the development and that compliance is achieved in accordance with the Health Infrastructure Engineering Services Guidelines (incorporating Design Guidance Note No. 058). | <p>Staging Report – Multi-Storey Carpark (SSD-10434896), NGH Consulting, 17/06/22</p> <p>Staging Report approval letter from DPE to HINSW, dated 28/06/22</p> <p>Crown Certificate, CRO 22052, Blackett MaGuire and Goldsmith, 01/06/22 (covers Stage 1 of Kane's MSCP works comprising in ground works, remediation, MSCP structure excluding façade and roof)</p> <p>Email, Aspire Sustainability, 24/05/22 (confirmation of incorporation of ESD recommendations)</p> | The ESD recommendations from the ESD Report (Steensen Varming) have been incorporated into the relevant design certificates (in consultation with the Sustainability consultant). The sustainability consultant has provided a statement that the recommendations have been incorporated. The Certifier has verified through issue of Crown Certificate. | Compliant         |
| <b>Demolition</b>                           |   |  |  |                   |
| B9  | Prior to the commencement of construction, demolition work plans required by AS 2601-2001 <i>The demolition of structures</i> (Standards Australia, 2001) must be accompanied by a written statement from a suitably qualified person that the proposals contained in the work plan comply with the safety requirements of the Standard. The work plans and the statement of compliance must be submitted to the Certifier.   | <p>Demolition Works Plan, Titan, 03/02/22 (statement of compliance by licenced demolitions contractor)</p> <p>Demolition Works Plan, Titan, 03/02/22</p> <p>Email Ford to Certifier, 03/02/22 (submission to Certifier)</p>  | The Demolition Works Plan was prepared and accompanied by a statement by a suitably qualified person that the plans comply with AS2601.  | Compliant         |



| Unique ID   | Compliance requirement   | Evidence collected  | Independent Audit findings and recommendations   | Compliance Status |
|---|--|---|--|-------------------|
| <b>Environmental Management Plan Requirements</b> |  |   |  |                   |
| B10   | <p>Management plans required under this consent must be prepared having regard to the relevant guidelines, including but not limited to the <i>Environmental Management Plan Guideline: Guideline for Infrastructure Projects</i> (DPIE April 2020).</p> <p>Note:</p> <ul style="list-style-type: none"> <li>The <i>Environmental Management Plan Guideline</i> is available on the Planning Portal at: <a href="https://www.planningportal.nsw.gov.au/major-projects/assessment/post-approval/">https://www.planningportal.nsw.gov.au/major-projects/assessment/post-approval/</a></li> <li>The Planning Secretary may waive some of these requirements if they are unnecessary or unwarranted for particular management plans.</li> </ul>  | <p>CEMP and Sub-Plans (B11, B12, B13, B14, and B15)</p> <p>Letter DPE to HINSW 10/08/22 (acceptance of revised CEMP and Sub-plans)</p>  | The CEMP and sub-plans have been prepared giving regard to the Guideline where specifics are required by the consent.  | Compliant         |
| <b>Construction Environmental Management Plan</b> |  |   |  |                   |
| B11   | <p>Prior to the commencement of construction, the Applicant must submit a Construction Environmental Management Plan (CEMP) to the Certifier and provide a copy to the Planning Secretary for information. The CEMP must include, but not be limited to, the following:</p> <p>a) Details of:</p> <ol style="list-style-type: none"> <li>hours of work;</li> <li>24-hour contact details of site manager;</li> <li>management of dust and odour to protect the amenity of the neighbourhood;</li> <li>stormwater control and discharge;</li> <li>measures to ensure that sediment and other materials are not tracked onto the roadway by vehicles leaving the site;</li> <li>groundwater management plan including measures to prevent groundwater contamination;</li> <li>external lighting in compliance with AS 4282-2019 Control of the obtrusive effects of outdoor lighting;</li> <li>community consultation and complaints handling;</li> </ol> <p>b) an unexpected finds protocol for contamination and associated communications procedure to ensure that potentially contaminated material is appropriately managed;</p> <p>c) an unexpected finds protocol for Aboriginal and non-Aboriginal heritage and associated communications procedure;</p> <p>d) waste classification (for materials to be removed) and validation (for materials to remain) be undertaken to confirm the contamination status in these areas of the site.</p> <p>e) Construction Traffic and Pedestrian Management Sub-Plan (see condition B12);</p> <p>f) Construction Noise and Vibration Management Sub-Plan (see condition B13);</p> <p>g) Construction Waste Management Sub-Plan (see condition B14); and</p> <p>h) Construction Soil and Water Management Sub-Plan (see condition B15).</p> | <p>Project Environmental Management Plan (CEMP) – Westmead Children’s Hospital Stage 2 Redevelopment Enabling Works, Ford Civil, 04/05/22</p> <p>Construction Traffic and Pedestrian Management Sub-Plan (CTPMSP) – Westmead Children’s Hospital Stage 2 Redevelopment Enabling Works, Ford Civil, 31/03/22</p> <p>Construction Noise and Vibration Management Sub-Plan (CNVMSP) – Westmead Children’s Hospital Stage 2 Redevelopment Enabling Works, Ford Civil, 28/03/22</p> <p>Construction Waste Management Sub-Plan (CWMSP) – Westmead Children’s Hospital Stage 2 Redevelopment Enabling Works, Ford Civil, 17/02/22</p> <p>Construction Soil and Water Management Sub-Plan (CSWMSP) – Westmead Children’s Hospital Stage 2 Redevelopment Enabling Works, Ford Civil, 24/06/22</p> <p>Construction Flood Emergency Response Sub-plan (FERSP) – Westmead Children’s Hospital Stage 2 Redevelopment Enabling Works, Ford Civil, 24/06/22</p> <p>Letter DPE to HINSW 10/08/22 (acceptance of revised CEMP and Sub-plans)</p> <p>Environmental Management Plan, Children’s Hospital Westmead Multi-storey Carpark, Kane, 5/08/2022 (Kane CEMP), including the CSWMSP</p> <p>The Children’s Hospital at Westmead Redevelopment - Stage 2 Multistorey Car Park Construction Traffic and Pedestrian Management Sub-Plan, TTPS, 13/07/22 (Kane CTPMSP)</p> <p>Westmead Children’s Hospital MSCP Construction Noise and Vibration Sub-</p> | <p>Refer to the first audit report for detail on the compliance of the Ford Civil plans. The documents underwent an update during the audit period and these were accepted by the Certifier and the Department.</p> <p>To note, at the time of the second audit Ford were still Principal Contractor and Kane was working under the Ford plans. Nevertheless the documents have been prepared and submitted to both the Certifier and Department.</p> <p>The Kane CEMP has been generally prepared in line with the requirements of B11, as referenced below.</p> <p>a)</p> <ol style="list-style-type: none"> <li>Refer CEMP Section 5.1</li> <li>Refer CEMP Attachment 4</li> <li>Refer CEMP Section 5.3 / Attachment 2</li> <li>Refer CEMP Attachment 3 / Attachment 10</li> <li>Refer CEMP Attachment 3 / Attachment 10</li> <li>Refer CEMP Section 5.12</li> <li>Refer CEMP Section 5.11</li> <li>Refer CEMP Attachment 4</li> </ol> <p>b) Refer CEMP Attachment 8</p> <p>c) Refer CEMP Attachment 9</p> <p>d) Not applicable. Kane are not undertaking earthworks and validation. This is done by Ford.</p> <p>e) CTPMSP</p> <p>f) CNVMSP</p> <p>g) CWMSP</p> <p>h) Refer CEMP Attachment 10</p> | Compliant         |

| Unique ID | Compliance requirement   | Evidence collected  | Independent Audit findings and recommendations  | Compliance Status |
|-----------|--|---|---|-------------------|
|           |  | <p>Plan, Acoustic Logic, 18/03/22 (Kane CNVMSP)</p> <p>Waste Management Plan Children's Hospital Westmead Stage 2 – Multi Storey Car Park, Kane, 05/08/22 (Kane CWMSMP)</p> <p>Submission of Kane CEMP suite to DPE (aconex correspondence PWCAUGCOR-010373)</p> <p>Crown Certificate, CRO 22006, Blackett MaGuire and Goldsmith, 09/03/22 (covers Stage 1 of the MSCP)</p> <p>Crown Certificate, CRO 22052, Blackett MaGuire and Goldsmith, 01/06/22 (covers Stage 1 of Kane's MSCP works comprising in ground works, remediation, MSCP structure excluding façade and roof)</p> |   |                   |
| B12       | <p>The Construction Traffic and Pedestrian Management Sub-Plan (CTPMSP) must be prepared to achieve the objective of ensuring safety and efficiency of the road network and address, but not be limited to, the following:</p> <ul style="list-style-type: none"> <li>a) be prepared by a suitably qualified and experienced person(s);</li> <li>b) be prepared in consultation with Council and TfNSW;</li> <li>c) detail the measures that are to be implemented to ensure road safety and network efficiency during construction in consideration of potential impacts on general traffic, cyclists and pedestrians and bus services;</li> <li>d) detail the measures that are to be implemented to mitigate adverse impacts to the Parramatta Light Rail (PLR) Project;</li> <li>e) provide a description and route map for vehicles involved in spoil removal, material delivery and machine floatage;</li> <li>f) provide the estimated number and type of construction vehicle movements including morning and afternoon peak and off peak movements;</li> <li>g) ensure that turning areas within the site allow the forward entry and egress of construction vehicles;</li> <li>h) outline the location of construction site entrances and exits (controlled by a certified traffic controller), proposed work zones, proposed crane standing areas, vehicle loading / unloading points, truck layover zones, storage areas and on-site construction worker parking; and</li> <li>i) detail the proposed staging and the process for managing temporary road closures associated with the realignment of Redbank Road.</li> </ul> | <p>Construction Traffic and Pedestrian Management Sub-Plan (CTPMSP) – Westmead Children's Hospital Stage 2 Redevelopment Enabling Works, Ford Civil, 31/03/22</p> <p>The Children's Hospital at Westmead Redevelopment - Stage 2 Multistorey Car Park Construction Traffic and Pedestrian Management Sub-Plan, TTPS, 13/07/22 (Kane CTPMSP)</p>   | <p>Refer to the first audit report for detail on the compliance of the Ford Civil plans. The documents underwent an update during the audit period and these were accepted by the Certifier and the Department.</p> <p>To note, at the time of the second audit Ford were still Principal Contractor and Kane was working under the Ford plans. Nevertheless the documents have been prepared and submitted to both the Certifier and Department.</p> <p>The Kane CTPMSP addresses the requirements of this condition:</p> <ul style="list-style-type: none"> <li>a) Section 4.9</li> <li>b) Section 3.10 and Appendix E, where the following correspondences are included <ul style="list-style-type: none"> <li>- CoPC: Email dated 31/03/2022 RE: Westmead – CHW Stage 2 Enabling Works</li> <li>- TfNSW: Email dated 18/02/2022 RE: Westmead – CHW Stage 2 Enabling Works</li> </ul> </li> <li>c) Section 5</li> <li>d) Section 4.3</li> <li>e) Section 4.5</li> <li>f) Section 4.6 &amp; 4.7</li> <li>g) Section 4.1</li> <li>h) Section 4.1</li> <li>i) Not applicable: road works are being managed by others (Ford).</li> </ul> | Compliant         |
| B13       | <p>The Construction Noise and Vibration Management Sub-Plan must address, but not be limited to, the following:</p> <ul style="list-style-type: none"> <li>a) be prepared by a suitably qualified and experienced noise expert;</li> <li>b) describe procedures for achieving the noise management levels in EPA's <i>Interim Construction Noise Guideline</i> (DECC, 2009);</li> </ul>  | <p>Construction Noise and Vibration Management Sub-Plan (CNVMSP) – Westmead Children's Hospital Stage 2 Redevelopment Enabling Works, Ford Civil, 28/03/22</p>  | <p>Refer to the first audit report for detail on the compliance of the Ford Civil plans. The documents underwent an update during the audit period and these were accepted by the Certifier and the Department.</p> <p>To note, at the time of the second audit Ford were still Principal Contractor and Kane was working under the Ford plans.</p>   | Compliant         |

| Unique ID | Compliance requirement   | Evidence collected   | Independent Audit findings and recommendations   | Compliance Status |
|-----------|--|--|--|-------------------|
|           | <p>c) describe the measures to be implemented to manage high noise generating works such as piling, in close proximity to sensitive receivers;</p> <p>d) include strategies that have been developed with the community for managing high noise generating works;</p> <p>e) describe the community consultation undertaken to develop the strategies in condition B13(d);</p> <p>f) include a complaints management system that would be implemented for the duration of the construction; and</p> <p>g) include a program to monitor and report on the impacts and environmental performance of the development and the effectiveness of the implemented management measures in accordance with the requirements of condition B13.</p>  | Westmead Children's Hospital MSCP Construction Noise and Vibration Sub-Plan, Acoustic Logic, 18/03/22 (Kane CNVMSP)  | <p>Nevertheless the documents have been prepared and submitted to both the Certifier and Department.</p> <p>The Kane CNVMSP addresses the requirements of this condition:</p> <p>a) Appendix A</p> <p>b) Section 9</p> <p>c) Section 9.3</p> <p>d) Section 10</p> <p>e) Section 10</p> <p>f) Section 10.1 &amp; 10.2</p> <p>g) Section 9.7.7 &amp; 9.7.8</p> <p><b>Observation: Whilst still yet to be implemented (as Ford remains Principal Contractor until their works are complete), the Kane CNVMSP cross referencing needs to be checked as some references are incorrect. Further, the Kane CNVMSP does not describe the community consultation undertaken to develop the strategies as required by B13(e).</b></p>  |                   |
| B14       | <p>The Construction Waste Management Sub-Plan (CWMSP) must address, but not be limited to, the procedures for the management of waste including the following:</p> <p>a) the recording of quantities, classification (for materials to be removed) and validation (for materials to remain) of each type of waste generated during construction and proposed use;</p> <p>b) information regarding the management of asbestos; and</p> <p>c) information regarding the recycling and disposal locations.</p>  | <p>Construction Waste Management Sub-Plan (CWMSP) – Westmead Children's Hospital Stage 2 Redevelopment Enabling Works, Ford Civil, 17/02/22</p> <p>Waste Management Plan Children's Hospital Westmead Stage 2 – Multi Storey Car Park, Kane, 05/08/22 (Kane CWMSP)</p>                               | <p>Refer to the first audit report for detail on the compliance of the Ford Civil plans. The documents underwent an update during the audit period and these were accepted by the Certifier and the Department.</p> <p>To note, at the time of the second audit Ford were still Principal Contractor and Kane was working under the Ford plans. Nevertheless the documents have been prepared and submitted to both the Certifier and Department.</p> <p>The Kane CWMSP addresses the requirements of this condition:</p> <p>a) Section 3</p> <p>b) Section 7</p> <p>c) Section 6</p> <p><b>Observation: Whilst still yet to be implemented (as Ford remains Principal Contractor until their works are complete) and acknowledging that Ford is supposed to clear the site of asbestos prior to handing over to Kane, the Kane CWMSP refers the reader to the CEMP for details on the management of asbestos. The CEMP does not contain this information.</b></p> | Compliant         |
| B15       | <p>The Applicant must prepare a Construction Soil and Water Management Sub-Plan (CSWMSP) and the plan must address, but not be limited to the following:</p> <p>a) be prepared by a suitably qualified expert, in consultation with Council;</p> <p>b) measures to ensure that sediment and other materials are not tracked onto the roadway by vehicles leaving the site;</p> <p>c) describe all erosion and sediment controls to be implemented during construction, including as a minimum, measures in accordance with the publication Managing Urban Stormwater: Soils &amp; Construction (4th edition, Landcom 2004) commonly referred to as the 'Blue Book';</p> <p>d) provide a plan of how all construction works will be managed in a wet-weather events (i.e. storage of equipment, stabilisation of the Site);</p> | <p>Construction Soil and Water Management Sub-Plan (CSWMSP) – Westmead Children's Hospital Stage 2 Redevelopment Enabling Works, Ford Civil, 24/06/22</p> <p>Environmental Management Plan, Children's Hospital Westmead Multi-storey Carpark, Kane, 5/08/2022 (Kane CEMP), including the CSWMSP</p> | <p>Refer to the first audit report for detail on the compliance of the Ford Civil plans. The documents underwent an update during the audit period and these were accepted by the Certifier and the Department.</p> <p>To note, at the time of the second audit Ford were still Principal Contractor and Kane was working under the Ford plans. Nevertheless the documents have been prepared and submitted to both the Certifier and Department.</p> <p>The Kane CSWMSP addresses the requirements of this condition:</p>   | Compliant         |



| Unique ID                   | Compliance requirement   | Evidence collected   | Independent Audit findings and recommendations  | Compliance Status |
|-----------------------------|--|--|---|-------------------|
|                             | e) detail all off-site flows from the site; and<br>f) describe the measures that must be implemented to manage stormwater and flood flows for small and large sized events, including, but not limited to 1 in 5-year ARI and 1 in 100-year ARI.   |  | a) Submission to Council issued in Kane Aconex C-GCOR-001153<br>b) Erosion and Sediment Control Notes item 10. In CSWMSP<br>c) Erosion and Sediment Control Notes item 1. In CSWMP<br>d) Wet Weather Event Management Notes in CSWMP<br>e) Within CSWMP plans<br>f) Site Stormwater Flows and Stormwater Management Notes within CSWMP. |                   |
| B16                         | A Driver Code of Conduct must be prepared and communicated by the Applicant to heavy vehicle drivers and must address the following: <ul style="list-style-type: none"> <li>a) minimise the impacts of earthworks and construction on the local and regional road network;</li> <li>b) minimise conflicts with other road users;</li> <li>c) minimise road traffic noise; and</li> <li>d) ensure truck drivers use specified routes.</li> </ul>                      | Construction Traffic and Pedestrian Management Sub-Plan (CTPMSP) – Westmead Children's Hospital Stage 2 Redevelopment Enabling Works, Ford Civil, Revision E, 31/03/22<br><br>The Children's Hospital at Westmead Redevelopment - Stage 2 Multistorey Car Park Construction Traffic and Pedestrian Management Sub-Plan, TTPS, 13/07/22 (Kane CTPMSP)   | Refer to the first audit report for detail on the compliance of the Ford Civil plans. The documents underwent an update during the audit period and these were accepted by the Certifier and the Department.<br><br>The Kane CTPMSP includes the Driver Code of Conduct in Section 4.4.   | Compliant         |
| <b>Construction Parking</b> |  |  |   |                   |
| B17                         | Prior to the commencement of construction, the Applicant must submit a Construction Worker Transportation Strategy to the Certifier. The Strategy must detail the provision of sufficient parking facilities or other travel arrangements for construction workers in order to minimise demand for parking in nearby public and residential streets or public parking facilities. A copy of the strategy must be provided to the Planning Secretary for information. | Construction Traffic and Pedestrian Management Sub-Plan (CTPMSP) – Westmead Children's Hospital Stage 2 Redevelopment Enabling Works, Ford Civil, Revision E, 31/03/22<br><br>Project induction, Ford Civil, 24/08/22<br>Subcontractor onboarding checklist (no date).<br>Crown Certificate, CRO 22006, Blackett MaGuire and Goldsmith, 09/03/22 (covers Stage 1 of the MSCP)<br><br>The Children's Hospital at Westmead Redevelopment - Stage 2 Multistorey Car Park Construction Traffic and Pedestrian Management Sub-Plan, TTPS, 13/07/22 (Kane CTPMSP)<br><br>PWCAU-GCOR-010373 (submission of Kane CWTS to DPE).<br>Crown Certificate, CRO 22052, Blackett MaGuire and Goldsmith, 01/06/22 (covers Stage 1 of Kane's MSCP works comprising in ground works, remediation, MSCP structure excluding façade and roof) | This is addressed in Section 5.3 of the Ford CTPMSP and Appendix D of the Kane CTPMSP. The documents were submitted to the Department. The docs were submitted to the Certifier prior to the issue of the Crown Construction Certificate.   | Compliant         |
| <b>Soil and Water</b>       |  |  |   |                   |
| B18                         | Prior to the commencement of construction, the Applicant must install erosion and sediment controls on the site to manage wet weather events.  | Erosion and Sediment Control Plan, Ford, 17/10/22<br>Site inspection 02/11/22  | An Erosion and Sediment Control Plan (ESCP) has been prepared and maintained during construction. the controls appear to be consistent with the Blue Book. Controls sighted appeared to be adequate for the site layout and consistent with the ESCP.   | Compliant         |

| Unique ID   | Compliance requirement  | Evidence collected   | Independent Audit findings and recommendations  | Compliance Status |
|---|---|--|---|-------------------|
| B19   | Prior to the commencement of construction, erosion and sediment controls must be installed and maintained, as a minimum, in accordance with the publication Managing Urban Stormwater: Soils & Construction (4th edition, Landcom 2004) commonly referred to as the 'Blue Book'.  | Erosion and Sediment Control Plan, Ford, 17/10/22<br>Site inspection 02/11/22  | An Erosion and Sediment Control Plan (ESCP) has been prepared and maintained during construction. the controls appear to be consistent with the Blue Book. Controls sighted appeared to be adequate for the site layout and consistent with the ESCP. | Compliant         |
| <b>Flood Management</b>   |   |  |   |                   |
| B20   | Prior to the commencement of construction, the Applicant must prepare and implement for the duration of construction:<br>a) flood warning and notification procedures for construction workers on site; and<br>b) evacuation and refuge protocols.  | Construction Flood Emergency Response Sub-plan (FERSP) – Westmead Children's Hospital Stage 2 Redevelopment Enabling Works, Ford Civil, 24/06/22<br>Project Emergency Response Plan, 17/03/22<br>Evacuation diagram, Ford Civil, Rev0  | Section 5 of the PSB FERSP covers flood warnings and notification requirements. This applies also to the MSCP. The Emergency Response Plan covers evacuation and refuge protocols.  | Compliant         |
| B21   | Prior to the commencement of construction, the Certifier must be satisfied that all habitable floor levels must be no lower than the 1% Annual Exceedance Probability flood plus 500mm of freeboard.  | Design Compliance Statement, Enscape, 11/03/22<br>Crown Certificate, CRO 22052, Blackett MaGuire and Goldsmith, 01/06/22 (covers Stage 1 of Kane's MSCP works comprising in ground works, remediation, MSCP structure excluding façade and roof)   | The relevant design statement confirm that this flood design requirement has been met. This was verified by the Certifier through issue of the Crown Certificate.   | Compliant         |
| B22   | Prior to the commencement of construction, the Certifier must be satisfied that the structures below the Probable Maximum Flood Level are constructed from flood compatible building components.  | Structural Design Certificate, Dunnings, 07/04/22 for Kane<br>Architectural Certificate of design, CCG, 07/04/22<br>Crown Certificate, CRO 22052, Blackett MaGuire and Goldsmith, 01/06/22 (covers Stage 1 of Kane's MSCP works comprising in ground works, remediation, MSCP structure excluding façade and roof) | The relevant design statement confirm that this flood design requirement has been met. This was verified by the Certifier through issue of the Crown Certificate.   | Compliant         |
| <b>Operational Noise – Design of Mechanical Plant and Equipment</b> |   |  |   |                   |
| B23   | Prior to installation of mechanical plant and equipment:<br>a) a detailed assessment of mechanical plant and equipment with compliance with the relevant project noise trigger levels as recommended in the Acoustics Report Ref: 44311-1, dated 15.06.2021 and prepared by Stantec must be undertaken by a suitably qualified person; and<br>b) evidence must be submitted to the Certifier that any noise mitigation recommendations identified in the assessment carried out under (a) have been incorporated into the design to ensure the development will not exceed the recommended operational noise identified in the Acoustics Report Ref: 44311-1. | Certificate of Design, JHA, 13/04/22 (Mechanical design statement)<br>Crown Certificate, CRO 22052, Blackett MaGuire and Goldsmith, 01/06/22 (covers Stage 1 of Kane's MSCP works comprising in ground works, remediation, MSCP structure excluding façade and roof)   | The design statement confirms that the mechanical plant design complies with this requirement. The Certifier verified through issue of the Crown Certificate.   | Compliant         |
| <b>Landscaping</b>  |   |  |   |                   |
| B24   | Prior to the commencement of landscaping works, the Applicant must prepare a revised Landscape Plan to manage the revegetation and landscaping works on-site, to the satisfaction of the Certifier. The plan must:<br>a) detail the location, species, maturity and height at maturity of plants to be planted on-site;<br>b) include species (trees, shrubs and groundcovers) indigenous to the local area;<br>c) include the planting of trees with a pot container of 100 litres or greater;   | Staging Report – Multi-Storey Carpark (SSD-10434896), NGH Consulting, 17/06/22<br>Staging Report approval letter from DPE to HINSW, dated 28/06/22   | Condition not applicable to Stage 1 – Early Works or Stage 2 (Kane Stage 1), as per approved Staging Report.  | Not Triggered     |

| Unique ID  | Compliance requirement   | Evidence collected   | Independent Audit findings and recommendations  | Compliance Status |
|--|--|--|---|-------------------|
| <b>Construction Access Arrangements</b>                                |  |  |   |                   |
| B25  | <p>Prior to the commencement of construction, evidence of compliance of construction parking and access arrangements with the following requirements must be submitted to the Certifier:</p> <ul style="list-style-type: none"> <li>a) all vehicles must enter and leave the Site in a forward direction;</li> <li>b) the swept path of the longest construction vehicle entering and exiting the site in association with the new work, as well as manoeuvrability through the site, is in accordance with the latest version of AS 2890.2; and</li> <li>c) that the proposed design demonstrates that safety issues in areas with shared vehicles and pedestrian access have been managed safely, applying best practice in road design and traffic management, as considered in Austroads, Transport for NSW Guidelines and the Australian Standards.</li> </ul>  | <p>Construction Traffic and Pedestrian Management Sub-Plan (CTPMSP) – Westmead Children's Hospital Stage 2 Redevelopment Enabling Works, Ford Civil, Revision E, 31/03/22</p> <p>CTMP, SPP Site audit (traffic statement, Comsec Group, 08/03/22 (confirmation of compliance with B25(c)).</p> <p>Swept Path drawings, Enspire, 25/02/22 (B25(b))</p> <p>Civil design statement, Enspire, 26/02/22 (B25a) – c))</p> <p>Email Ford to Certifier, 03/02/22</p> <p>The Children's Hospital at Westmead Redevelopment - Stage 2 Multistorey Car Park Construction Traffic and Pedestrian Management Sub-Plan, TTPS, 13/07/22 (Kane CTPMSP)</p> <p>Crown Certificate, CRO 22052, Blackett MaGuire and Goldsmith, 01/06/22 (covers Stage 1 of Kane's MSCP works comprising in ground works, remediation, MSCP structure excluding façade and roof)</p> | <p>Refer to the first independent audit regarding preparation and submission of the Ford construction parking arrangement plans. These were completed.</p> <p>The design requirements are included in the Kane's CTPMSP which was approved by the Certifier through issue of the relevant Crown Certificates.</p> | Compliant         |
| <b>Operations Access, Car Parking and Service Vehicle Arrangements</b> |  |  |   |                   |
| B26  | <p>Prior to the commencement of construction of operational parking and access facilities, evidence of compliance of the design of operational parking and access arrangements with the following requirements must be submitted to the Certifier:</p> <ul style="list-style-type: none"> <li>a) all vehicles must enter and leave the site in a forward direction;</li> <li>b) all driveways and internal access ramps are to be designed in accordance with the latest version of AS 2890.1;</li> <li>c) the exit ramp concrete barrier must be tapered to ensure sufficient pedestrian visibility with appropriate traffic calming devices and lighting designed for the adjacent pedestrian crossing in accordance with the latest versions of AS 2890.1 and AS 1158;</li> <li>d) the minimum 996 on-site car parking spaces for use during operation of the development are to be designed in accordance with the latest versions of AS 2890.1 and AS 2890.6; and</li> <li>e) the swept path of the largest service vehicle entering and exiting the Site in association with the new work, as well as manoeuvrability through the site, must be in accordance with the latest version of AS 2890.2.</li> </ul> | <p>Design Compliance Statement, Enscape, 11/04/22</p> <p>Crown Certificate, CRO 22052, Blackett MaGuire and Goldsmith, 01/06/22 (covers Stage 1 of Kane's MSCP works comprising in ground works, remediation, MSCP structure excluding façade and roof)</p>  | <p>The relevant designer has prepared a design compliance statement confirming that each requirement of this condition has been incorporated into the design. The Certifier has verified this through issue of the Crown Certificate.</p>   | Compliant         |
| <b>Contamination</b>   |  |  |   |                   |
| B27  | <p>Prior to the commencement of construction, the Applicant must engage a NSW EPA-accredited Site Auditor to provide advice throughout the duration of works to ensure that any work required in relation to soil or groundwater contamination is appropriately managed.</p>   | <p>Variation Approval, PwC to Senversa, 22/03/21</p> <p>Email Senversa to PwC, 25/10/22</p>  | <p>Senversa have been engaged as the Site Auditor on the Project. They were engaged well before commencement of construction and their involvement is ongoing.</p>  | Compliant         |

| Unique ID                               | Compliance requirement   | Evidence collected   | Independent Audit findings and recommendations  | Compliance Status |
|---|--|--|---|-------------------|
| <b>PART C DURING CONSTRUCTION</b>       |  |  |   |                   |
| <b>Site Notice</b>                      |  |  |   |                   |
| C1                                      | <p>A site notice(s) must be prominently displayed at the boundaries of the site during construction for the purpose of informing the public of project details and must satisfy the following requirements:</p> <ul style="list-style-type: none"> <li>a) minimum dimensions of the site notice(s) must measure 841 mm x 594 mm (A1) with any text on the site notice(s) to be a minimum of 30-point type size;</li> <li>b) the site notice(s) must be durable and weatherproof and must be displayed throughout the works period;</li> <li>c) the approved hours of work, the name of the builder, Certifier, structural engineer, site/project manager, the responsible managing company (if any), its address and 24-hour contact phone number for any inquiries, including construction/ noise complaint must be displayed on the site notice(s); and</li> <li>d) the site notice(s) must be mounted at eye level on the perimeter hoardings/fencing and must state that unauthorised entry to the site is not permitted.</li> </ul> | <p>Site inspection 02/11/22</p>  | <p>The site notice was observed and it contained the relevant information.</p>  | Compliant         |
| <b>Operation of Plant and Equipment</b> |  |  |   |                   |
| C2                                      | <p>All construction plant and equipment used on site must be maintained in a proper and efficient condition and operated in a proper and efficient manner.</p>   | <p>Plant verification checklist proforma WENAB2 FCC-FOR-125 and completed checklists (x12)</p> <p>Ford plant service records x 12</p> <p>Plant and asset register, Ford, FCC-FOR-121</p> <p>Ford plant register, current to 21/10/22</p> <p>Kane Hammertech plant induction module.</p>  | <p>The plant verification records included details on the inspections of the plant including the maintenance register and initial verification. The plant verification records include service history, equipment registers, plant operator verifications of competency. Records show plant service records are up to date.</p> <p>Operators are checked for competency and tickets prior to them being able to operate relevant plant.</p> | Compliant         |
| <b>Demolition</b>                       |  |  |   |                   |
| C3                                      | <p>Demolition work must comply with the demolition work plans required by <i>Australian Standard AS 2601-2001 The demolition of structures</i> (Standards Australia, 2001) and endorsed by a suitably qualified person as required by condition B9.</p>  | <p>Demolition Works Plan, Titan, 03/02/22 (stamen of compliance by licenced demolitions contractor)</p> <p>Demolition Works Plan, Titan, 03/02/22</p> <p>HSEQ Task Observations Feb 22 (on demolition works)</p>   | <p>The Demolition Works Plan was prepared and accompanied by a statement by a suitably qualified person that the plans comply with AS2601.</p> <p>The works were supervised with no material issues observed.</p>   | Compliant         |
| <b>Construction Hours</b>               |  |  |   |                   |
| C4                                      | <p>Construction, including the delivery of materials to and from the site, may only be carried out between the following hours:</p> <ul style="list-style-type: none"> <li>a) between 7am and 6pm, Mondays to Fridays inclusive; and</li> <li>b) between 8am and 1pm, Saturdays.</li> </ul> <p>No work may be carried out on Sundays or public holidays.</p>   | <p>Project Environmental Management Plan (CEMP) – Westmead Children's Hospital Stage 2 Redevelopment Enabling Works, Ford Civil, 04/05/22</p> <p>Construction Noise and Vibration Management Sub-Plan (CNVMSP) – Westmead Children's Hospital Stage 2 Redevelopment Enabling Works, Ford Civil, Revision D, 28/03/22</p> <p>Project induction, Ford Civil, 24/08/22</p> <p>Complaints register current to 31/11/22</p> <p>Toolbox 26/06/22</p> | <p>Project hours have been communicated to the workforce through the sharing of Project plans and the induction. No relevant complaints have been received to date.</p> <p>Refer C5 and C6 regarding OOHW.</p>  | Compliant         |



| Unique ID                                 | Compliance requirement  | Evidence collected   | Independent Audit findings and recommendations   | Compliance Status |
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| C5  | Notwithstanding condition C4, provided noise levels do not exceed the existing background noise level plus 5dB, works may also be undertaken during the following hours: between 1pm and 5pm, Saturdays.  | Interview with auditees 02/11/22<br>Construction Noise and Vibration Management Sub-Plan (CNVMSP) – Westmead Children's Hospital Stage 2 Redevelopment Enabling Works, Ford Civil, Revision D, 28/03/22<br><br>Complaints register current to 31/11/22   | Some works have extended to between 1pm and 5pm Saturdays. These have been confined to the movement of barriers on the road (using a forklift) and manual changes to fencing and shade cloth. There is no assessment associated with these works but the Auditor agrees with the auditee that these works were consistent with background. No complaints have been received.   | Compliant         |
| C6  | Construction activities may be undertaken outside of the hours in condition C4 and C5 if required:<br>a) by the Police or a public authority for the delivery of vehicles, plant or materials; or<br>b) in an emergency to avoid the loss of life, damage to property or to prevent environmental harm; or<br>c) where the works are inaudible at the nearest sensitive receivers; or<br>d) for the delivery, set-up and removal of construction cranes, where notice of the crane-related works is provided to the Planning Secretary and affected residents at least seven days prior to the works; or<br>e) where a variation is approved in advance in writing by the Planning Secretary or his nominee if appropriate justification is provided for the works. | Email PwC to Western Health District, 02/11/22 and minutes 15/08/22 (notification of OOHW float under C6a))<br><br>Complaints register current to 31/11/22   | Oversize deliveries were the only two OOHW events under C6 that occurred during the audit period. These were notified prior to the events to the Westmead Hospital Network representatives prior to the events.<br><br>No complaints received during the audit period.   | Compliant         |
| C7  | Notification of such construction activities as referenced in condition C6 must be given to affected residents before undertaking the activities or as soon as is practical afterwards.   | Email PwC to Western Health District, 02/11/22 and minutes 15/08/22 (notification of OOHW float under C6a))<br><br>Complaints register current to 31/11/22<br>Email PwC to Western Health District, 02/11/22 and minutes 15/08/22 (notification of OOHW float under C6a))<br><br>Complaints register current to 31/11/22   | Oversize deliveries were the only two OOHW events under C6 that occurred during the audit period. These were notified prior to the events to the Westmead Hospital Network representatives prior to the events.<br><br>No complaints received during the audit period.   | Compliant         |
| C8  | Rock breaking, rock hammering, sheet piling, pile driving and similar activities may only be carried out between the following hours:<br>a) 9am to 12pm, Monday to Friday;<br>b) 2pm to 5pm Monday to Friday; and<br>c) 9am to 12pm, Saturday.  | Construction Noise and Vibration Management Sub-Plan (CNVMSP) – Westmead Children's Hospital Stage 2 Redevelopment Enabling Works, Ford Civil, Revision D, 28/03/22<br><br>Interview with auditees 02/11/22<br><br>Complaints register current to 31/11/22<br><br>Project induction, Ford Civil, 24/08/22<br><br>Toolbox Talk, 24/06/22  | These restricted hours are included in the CNVMSP and Project Induction and toolbox talks which has been issued to the workforce.<br><br>Some piling activities occurred during the audit period. The auditees advise that these were restricted to the hours in this condition.<br><br>No complaints received during the audit period.  | Compliant         |
| <b>Implementation of Management Plans</b> |   |  |  |                   |
| C9  | The Applicant must carry out the construction of the development in accordance with the most recent version of the approved CEMP (including Sub-Plans).   | HSEQ inspection records May – October 2022<br><br>Asbestos in air monitoring, SafeWork Environments, (various records through Feb to July 2022)<br><br>PM10 dust monitoring results, AE Smith (Feb to Oct 2022)<br><br>Westmead PSB and MSCP noise monitoring report 09/02/22 to 28/02/22, Arup, dated 07/03/22<br><br>Westmead PSB and MSCP noise and vibration, Arup, Feb to July 2022 | Evidence observed during the IA indicated that the CEMP and sub-plans were being implemented on site.<br><br>The site induction and pre-start training material includes requirements on condition requirements such as dust, noise, hours, waste disposal, unexpected finds, access and being a good neighbour etc.<br><br>Plant is being assessed and maintained. Plant operator competencies are being checked.<br><br>Inspections are occurring consistent with the timeframes in the CEMP and sub-plans. Deficiencies identified, responsible person assigned, and actions addressed. | Compliant         |

| Unique ID                           | Compliance requirement   | Evidence collected   | Independent Audit findings and recommendations   | Compliance Status |
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|                                     |  | <p>Erosion and Sediment Control Plan, Ford, 17/10/22</p> <p>Plant verification checklist proforma WENAB2 FCC-FOR-125 and completed checklists (x12)</p> <p>Ford plant service records x 12</p> <p>Plant and asset register, Ford, FCC-FOR-121</p> <p>Ford plant register, current to 21/10/22</p> <p>Project induction, Ford Civil, 24/08/22</p> <p>Induction register 21/10/22</p> <p>Site inspection 02/11/22</p> <p>Complaints register current to 31/11/22</p> | <p>Monitoring of dust, noise and vibration is ongoing, consistent with the CEMP and CNVMSP. It is noted that noise and vibration monitoring reports identified exceedances of management levels for MSCP but these were determined to not be related to construction works,</p> <p>Erosion and sediment control plans were being progressively updated and controls were adequate.</p> <p>Water collected on site is tested and reused as dust suppression.</p> <p>No complaints received during the audit period.</p> |                   |
| <b>Construction Traffic</b>         |  |  |  |                   |
| C10                                 | All construction vehicles are to be contained wholly within the site, except if located in an approved on-street work zone, and vehicles must enter the site or an approved on-street work zone before stopping, unless directed by traffic control.   | <p>Construction Traffic and Pedestrian Management Sub-Plan (CTPMSP) – Westmead Children’s Hospital Stage 2 Redevelopment Enabling Works, Ford Civil, Revision E, 31/03/22</p> <p>Site inspection 02/11/22</p> <p>Complaints register current to 31/11/22</p> <p>Disruption notice, 30/07/22 – 29/08/22 (closure of lane on Redbank Road to allow works to occur)</p>   | Construction vehicles are confined to site unless under an approved lane closure. Road closures were obtained from the Hospital (as the road authority) to enable works to occur over 4 weeks in August 22.  | Compliant         |
| <b>Hoarding Requirements</b>        |  |  |  |                   |
| C11                                 | <p>The following hoarding requirements must be complied with:</p> <ul style="list-style-type: none"> <li>a) no third-party advertising is permitted to be displayed on the subject hoarding/ fencing other than for the purpose of fulfilling functions of a health services facility; and</li> <li>b) the construction site manager must be responsible for the removal of all graffiti from any construction hoardings or the like within the construction area within 48 hours of its application.</li> </ul> | <p>HSEQ inspection records May – October 2022</p> <p>Interview with auditees 02/11/22</p> <p>Site inspection 02/11/22</p>  | No issues with third party advertising or graffiti on the site fencing has been identified by the auditees. None was observed during the site inspection.  | Compliant         |
| <b>No Obstruction of Public Way</b> |  |  |  |                   |
| C12                                 | The public way (outside of any approved construction works zone) must not be obstructed by any materials, vehicles, refuse, skips or the like, under any circumstances.  | <p>Disruption notice, 30/07/22 – 29/08/22 (closure of lane on Redbank Road to allow works to occur)</p> <p>Site inspection 02/11/22</p> <p>Complaints register current to 31/11/22</p> <p>HSEQ inspection records May – October 2022</p> <p>Weekly Interface meeting minutes August and October 2022</p>   | No issues with obstruction have been identified by the auditees or recorded in the complaints register. Lane closure was approved by the Hospital prior to the works occurring. Diversions have been established and approved by the Hospital during these works. No complaints regarding this during the audit period.  | Compliant         |
| <b>Construction Noise Limits</b>    |  |  |  |                   |
| C13                                 | The development must achieve the construction noise management levels during construction as detailed in the <i>Interim Construction Noise Guideline</i> (DECC, 2009). All feasible and reasonable noise mitigation measures must be implemented and any activities that could exceed the construction noise management levels must be identified and managed in accordance with the   | Westmead PSB and MSCP noise and vibration monitoring reports, Arup, Feb to July 2022   | Arup has been contracted by PwC to install noise loggers to monitor construction noise for the Project. Arup has prepared summary reports for the months of February and March 2022, detailing numerous exceedances of Noise Management Levels   | Compliant         |

| Unique ID                 | Compliance requirement   | Evidence collected  | Independent Audit findings and recommendations   | Compliance Status |
|---------------------------|--|---|--|-------------------|
|                           | management and mitigation measures identified in the approved Construction Noise and Vibration Management Plan.  | Noise monitoring register current to 21/10/22   | (NMLs) at the identified sensitive receivers. It is noted that sensitive receivers identified for the MSCP works are limited to Ronald McDonald House and The Children's Hospital Westmead.<br><br>In Arup's reports, it is stated that:<br><br><i>It is the responsibility of Ford Civils (the Head Contractor) to respond to each Noise Management Level exceedance when it occurs and record the outcome of the exceedance investigation (cause of NML exceedance, any noise mitigation measures implemented to address the exceedance, etc.).</i><br><br>NML exceedances identified were investigated and determined by Ford and PwC to not be related to construction on the MSCP. It is noted that no complaints received during the audit period. |                   |
| C14                       | The Applicant must ensure construction vehicles (including concrete agitator trucks) do not arrive at the site or surrounding residential precincts outside of the construction hours of work outlined under condition C4, unless allowed by Condition C5.   | Project Environmental Management Plan (CEMP) – Westmead Children's Hospital Stage 2 Redevelopment Enabling Works, Ford Civil, 04/05/22<br><br>Construction Noise and Vibration Management Sub-Plan (CNVMSP) – Westmead Children's Hospital Stage 2 Redevelopment Enabling Works, Ford Civil, Revision D, 28/03/22<br><br>Project induction, Ford Civil, 24/08/22<br>Complaints register current to 31/11/22 | Project hours have been communicated to the workforce through the sharing of Project plans and the induction. No relevant complaints have been received to date.<br><br>Refer C5 and C6 regarding OOHV. None of the OOHV relate to construction vehicle movements.   | Compliant         |
| C15                       | The Applicant must implement, where practicable and without compromising the safety of construction staff or members of the public, the use of 'quackers' to ensure noise impacts on surrounding noise sensitive receivers are minimised.  | Site inspection 02/11/22<br>Complaints register current to 31/11/22   | All mobile plant on site was observed to have quackers fitted.<br><br>No complaints received during the audit period.  | Compliant         |
| <b>Vibration Criteria</b> |  |   |  |                   |
| C16                       | Vibration caused by construction at any residence or structure outside the site must be limited to:<br><br>a) for structural damage, the latest version of <i>DIN 4150-3 (1992-02) Structural vibration - Effects of vibration on structures</i> (German Institute for Standardisation, 1999); and<br><br>b) for human exposure, the acceptable vibration values set out in the <i>Environmental Noise Management Assessing Vibration: a technical guideline</i> (DEC, 2006) (as may be updated or replaced from time to time).  | Westmead PSB and MSCP noise and vibration monitoring reports, Arup, Feb to July 2022<br><br>Vibration monitoring register current to 21/10/22   | Arup has been contracted by PwC to conduct vibration monitoring for the Project. Monitors have been installed at sensitive locations in areas of Westmead Hospital.<br><br>Vibration exceedances were investigated and determined by Ford and PwC to not be related to construction on the MSCP. It is noted that no complaints received during the audit period.  | Compliant         |
| C17                       | Vibratory compactors must not be used closer than 30 metres from residential buildings unless vibration monitoring confirms compliance with the vibration criteria marked this as retain. specified in condition C16.  | Site inspection 02/11/22  | The Ronald McDonald House is the closest residence. This is beyond 30 m from earthworks.   | Not Triggered     |
| C18                       | The limits in conditions C16 and C17 apply unless otherwise outlined in a Construction Noise and Vibration Management Plan, approved as part of the CEMP required by condition B13 of this consent.  | Construction Noise and Vibration Management Sub-Plan (CNVMSP) – Westmead Children's Hospital Stage 2 Redevelopment Enabling Works, Ford Civil, Revision D, 28/03/22   | Section 6.4.5 of the CNVMSP sets out the process to be followed in the event that the criteria cannot be achieved or safe working distances for plant cannot be achieved.  | Compliant         |
| <b>Tree Protection</b>    |  |   |  |                   |
| C19                       | For the duration of the construction works:<br><br>a) all trees on the site that are not approved for removal must be suitably protected during construction as per the recommendations of the Arboricultural Impact Assessment, prepared by Tree Management Strategies, dated 20.01.2020; and<br><br>b) if access to the area within any protective barrier is required during the works, it must be carried out under the supervision of a qualified arborist. Alternative tree protection measures must be installed, as required. The removal of tree protection measures, | Site inspection 02/11/22<br>Nearmap review 01/02/22 – 16/02/22<br>Demolition MSCP Plan, CHW-ARP-CV-DG_MP-00-XX084, Arup<br>Arboricultural Impact Assessment, Tree Management Strategies, 20/01/20   | <b>Non-compliance: At the time of the audit site inspection, several small trees on the northwest boundary were not protected and had materials stored with the tree protection zone. No damage to the trees was observed. All other trees were suitably protected.</b>  | Non-compliant     |



| Unique ID             | Compliance requirement   | Evidence collected  | Independent Audit findings and recommendations  | Compliance Status |
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|                       | following completion of the works, must be carried out under the supervision of a qualified arborist and must avoid both direct mechanical injury to the structure of the tree and soil compaction within the canopy or the limit of the former protective fencing, whichever is the greater.  | Letter Abel Ecology to Ford, 14/02/22 (pre clearing inspection) dated 20.01.2020<br><br>Demolition Plan (CHW-AR-DG-MCP-DA008), stamped by DPE 15/09/21  |   |                   |
| <b>Air Quality</b>    |  |   |   |                   |
| C20                   | The Applicant must take all reasonable steps to minimise dust generated during all works authorised by this consent.   | Project induction, Ford Civil, 24/08/22<br>Induction register 21/10/22<br>Pre-start / toolbox talk records, June, Aug, Oct 2022<br>HSEQ inspection records May – October 2022<br>Complaints register current to 31/11/22<br>Erosion and Sediment Control Plan, Ford, 17/10/22<br>PM10 dust monitoring results, AE Smith (Feb to Oct 2022)<br>Dust monitoring results register current to 04/10/22<br>Site inspection 02/11/22 | Dust management is communicated to the workforce.<br><br>The Project is cut to fill balance at this stage. Static stockpiles are covered.<br><br>The truck sighted during the inspection had an automatic cover.<br><br>Watercart and a streetsweeper are being used on site.<br><br>The street is kept clean via street sweeper.<br><br>Land stabilisation is occurring as concrete is laid. Portions of the site not needing to be disturbed have been retained.<br><br>Erosion and sediment controls were in place.<br><br>Water retained is being reused as dust suppression.<br><br>Dust monitoring reports show dust as being below criteria. | Compliant         |
| C21                   | During construction, the Applicant must ensure that: <ul style="list-style-type: none"> <li>a) activities are carried out in a manner that minimises dust including emission of windblown or traffic generated dust;</li> <li>b) all trucks entering or leaving the site with loads have their loads covered;</li> <li>c) trucks associated with the development do not track dirt onto the public road network;</li> <li>d) public roads used by these trucks are kept clean; and</li> <li>e) land stabilisation works are carried out progressively on site to minimise exposed surfaces.</li> </ul> | Project induction, Ford Civil, 24/08/22<br>Induction register 21/10/22<br>Pre-start / toolbox talk records, June, Aug, Oct 2022<br>HSEQ inspection records May – October 2022<br>Complaints register current to 31/11/22<br>Erosion and Sediment Control Plan, Ford, 17/10/22<br>PM10 dust monitoring results, AE Smith (Feb to Oct 2022)<br>Dust monitoring results register current to 04/10/22<br>Site inspection 02/11/22 | Dust management is communicated to the workforce.<br><br>The Project is cut to fill balance at this stage. Static stockpiles are covered.<br><br>The truck sighted during the inspection had an automatic cover.<br><br>Watercart and a streetsweeper are being used on site.<br><br>The street is kept clean via street sweeper.<br><br>Land stabilisation is occurring as concrete is laid. Portions of the site not needing to be disturbed have been retained.<br><br>Erosion and sediment controls were in place.<br><br>Water retained is being reused as dust suppression.<br><br>Dust monitoring reports show dust as being below criteria. | Compliant         |
| <b>Soil and Water</b> |  |   |   |                   |
| C22                   | All erosion and sediment control measures must be effectively implemented and maintained at or above design capacity for the duration of the construction works and until such time as all ground disturbed by the works have been stabilised and rehabilitated so that it no longer acts as a source of sediment. Erosion and sediment control techniques, as a minimum, are to be in accordance with the publication Managing Urban Stormwater: Soils & Construction (4th edition, Landcom, 2004) commonly referred to as the 'Blue Book'.   | Erosion and Sediment Control Plan, Ford, 17/10/22<br><br>Site inspection 02/11/22   | An Erosion and Sediment Control Plan (ESCP) has been prepared and maintained during construction. the controls appear to be consistent with the Blue Book. Controls sighted appeared to be adequate for the site layout and consistent with the ESCP.   | Compliant         |
| <b>Imported Fill</b>  |  |   |   |                   |
| C23                   | The Applicant must: <ul style="list-style-type: none"> <li>a) ensure that only VENM, ENM, or other material considered suitable for beneficial reuse via a general or specific resource recovery exemption issued by NSW EPA is brought onto the site;</li> </ul>  | Interview with auditees 02/11/22<br>Imported material tracking for MT5 WENAB2   | Material imported to date comprises quarried sand, sandstone and recovered aggregate. All have been assessed and approved in accordance with the EPA's resource recovery exemptions.  | Compliant         |

| Unique ID  | Compliance requirement   | Evidence collected   | Independent Audit findings and recommendations  | Compliance Status |
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|  | b) keep accurate records of the volume and type of fill to be used; and<br>c) make these records available to the Certifier upon request.  | Letter JBS&G to PwC, 11/03/22, (DGB assessment)<br><br>Letter JBS&G to Ford, 26/04/22 and 24/06/22, (backfill sand)<br><br>Letter JBS&G to Ford, 26/04/22 (x2), (quarried sandstone)<br><br>Letter JBS&G to Ford, 28/04/22, (quarried sandstone)<br><br>Letter JBS&G to PwC, 26/04/22, (Road DGB assessment) |   |                   |
| <b>Disposal of Seepage and Stormwater</b>              |  |  |   |                   |
| C24  | Adequate provisions must be made to collect and discharge stormwater drainage during construction to the Certifier. The prior written approval of Council must be obtained to connect or discharge site stormwater to Council's stormwater drainage system or street gutter.   | Erosion and Sediment Control Plan, Ford, 17/10/22<br><br>Water Discharge Form FC-FOR-181 (water discharge form) and register to Sep 2022.  | Erosion and sediment controls on site are passive (i.e.: no basin / discharge point). Water collected on site has been reused as dust suppression. There is no Council stormwater infrastructure on or around the site.   | Compliant         |
| <b>Emergency Management</b>                            |  |  |   |                   |
| C25  | The Applicant must prepare and implement awareness training for employees and contractors, including locations of the assembly points and evacuation routes, for the duration of construction.   | Flood Emergency Response Plan, Ford Civil, 02/02/22 (for PSB, but covers the MSCP)<br><br>Project Emergency Response Plan, 17/03/22<br><br>Evacuation diagram, Ford Civil, Rev0<br><br>Emergency Response Drill and Debrief, 31/03/22  | Section 5 of the PSB FERSP covers flood warnings and notification requirements. This applies also to the MSCP. The Emergency Response Plan covers evacuation and refuge protocols. These are communicated to the workforce.<br><br>A drill was conducted on 31/03/22 involving all personnel on site on that day. | Compliant         |
| <b>Stormwater Management System</b>                    |  |  |   |                   |
| C26  | Within three months of the commencement of construction, the Applicant must design an operational stormwater management system for the development and submit it to the satisfaction of the Certifier. The system must: <ul style="list-style-type: none"> <li>a) be designed by a suitably qualified and experienced person(s);</li> <li>b) be generally in accordance with the conceptual design in the EIS;</li> <li>c) be in accordance with applicable Australian Standards; and</li> <li>d) ensure that the system capacity has been designed in accordance with <i>Australian Rainfall and Runoff</i> (Engineers Australia, 2016) and <i>Managing Urban Stormwater: Council Handbook</i> (EPA, 1997) guidelines.</li> </ul>   | Civil Design Certificate, Arup, 27/01/22<br><br>Crown Certificate, CRO 22006, Blackett MaGuire and Goldsmith, 09/03/22 (covers Stage 1 of the MSCP)  | The stormwater design has been completed and the design compliance statement confirms that each requirement from this condition has been satisfied.<br><br>The Certifier provided acceptance through issue of Crown Certificate 1.  | Compliant         |
| <b>Unexpected Finds Protocol – Aboriginal Heritage</b> |  |  |   |                   |
| C27  | In the event that surface disturbance identifies a new Aboriginal object: <ul style="list-style-type: none"> <li>a) all works must halt in the immediate area to prevent any further impacts to the object(s);</li> <li>b) a suitably qualified archaeologist and the registered Aboriginal representatives must be contacted to determine the significance of the objects;</li> <li>c) the site is to be registered in the Aboriginal Heritage Information Management System (AHIMS) which is managed by Heritage NSW under Department of Premier and Cabinet and the management outcome for the site included in the information provided to AHIMS;</li> <li>d) the Applicant must consult with the Aboriginal community representatives, the archaeologists and Heritage NSW to develop and implement management strategies for all objects/sites; and</li> </ul> | Project induction, Ford Civil, 24/08/22<br><br>Interview with auditees 02/11/22  | The potential for unexpected finds is included in the induction. The auditees have not identified any unexpected finds to date.   | Not Triggered     |

| Unique ID  | Compliance requirement   | Evidence collected   | Independent Audit findings and recommendations   | Compliance Status |
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|  | e) works shall only recommence with the written approval of the Planning Secretary.  |  |  |                   |
| <b>Unexpected Finds Protocol – Historical Heritage</b> |  |  |  |                   |
| C28  | <p>If any unexpected archaeological relics are uncovered during the work, then:</p> <ul style="list-style-type: none"> <li>a) all works must cease immediately in that area and notice is to be given to Heritage NSW and the Planning Secretary;</li> <li>b) depending on the possible significance of the relics, an archaeological assessment and management strategy may be required before further works can continue in that area as determined in consultation with Heritage NSW; and</li> <li>c) works may only recommence with the written approval of the Planning Secretary.</li> </ul> | <p>Project induction, Ford Civil, 24/08/22</p> <p>Interview with auditees 02/11/22</p>   | The potential for unexpected finds is included in the induction. The auditees have not identified any unexpected finds to date.  | Not Triggered     |
| <b>Waste Storage and Processing</b>                    |  |  |  |                   |
| C29  | All waste generated during construction must be secured and maintained within designated waste storage areas at all times and must not leave the site onto neighbouring public or private properties.  | <p>Site inspection 02/11/22</p> <p>HSEQ inspection records May – October 2022</p> <p>Complaints register current to 31/11/22</p>   | Waste and housekeeping are checked during inspections. No issues identified or observed by the Auditor. No complaints received.  | Compliant         |
| C30  | All waste generated during construction must be assess, classified and managed in accordance with the Waste Classification Guidelines Part 1: Classifying Waste (EPA, 2014).   | <p>Material Tracking Register 220905 rev 2</p> <p>Concrete Recyclers EPL 6664</p> <p>Sims Metal EPL 2207</p> <p>Boral Recyclers EPL 11815</p> <p>Bingo Industries EPL 20847</p>  | All the material exported to date is pre-classified as building and demolition waste (General Solid Waste Non-putrescible) or green waste, or Special Waste. All the waste has been directed to facilities lawfully permitted to receive it.   | Compliant         |
| C31  | The Applicant must ensure that concrete waste and rinse water are not disposed of on the site and are prevented from entering any natural or artificial watercourse.   | <p>Site inspection 02/11/22</p> <p>Interview with auditees 02/11/22</p>  | Excess concrete is placed in lined bulker bags and disposed of as concrete waste (General Solid Waste – Recyclable).   | Not Triggered     |
| C32  | The Applicant must record the quantities of each waste type generated during construction and the proposed reuse, recycling and disposal locations for the duration of construction.   | <p>Material Tracking Register 220905 rev 2</p> <p>Concrete Recyclers EPL 6664</p> <p>Sims Metal EPL 2207</p> <p>Boral Recyclers EPL 11815</p> <p>Bingo Industries EPL 20847</p>  | All the material exported to date is pre-classified as building and demolition waste (General Solid Waste Non-putrescible) or green waste, or Special Waste. All the waste has been directed to facilities lawfully permitted to receive it.   | Compliant         |
| C33  | The Applicant must ensure that the removal of hazardous materials, particularly the method of containment and control of emission of fibres to the air, and disposal at an approved waste disposal facility is in accordance with the requirements of the relevant legislation, codes, standards and guidelines.   | <p>Site inspection 02/11/22</p> <p>Interview with auditees 02/11/22</p> <p>Detailed Site Investigation Report, JBS&amp;G, 16/06/21</p> <p>Remedial Action Plan 56200/131434 (Rev. C) prepared by JBS&amp;G dated 09/02/21 and updated 10/05/22</p> <p>Weekly Audit Summary, JBS&amp;G, 04/05/22 – 03/09/22</p> | <p>Hazardous material (asbestos in soils) has been managed and removed in accordance with the RAP.</p> <p>Each week the contaminated lands consultant who prepared the RAP provide a summary on how the project is tracking against the requirements of the RAP (including material movements, PPE, site controls, asbestos finds, air quality and air monitoring results etc). JBS&amp;G confirmed the RAP was being implemented with only 2 x minor deficiencies identified during the audit period (one relating to delineation of exclusion zones and one relating to use of PPE).</p> | Compliant         |
| <b>Outdoor Lighting</b>                                |  |  |  |                   |
| C34  | The Applicant must ensure that all external lighting is constructed and maintained in in accordance with AS 4282-2019 Control of the obtrusive effects of outdoor lighting.  | <p>Interview with auditees 02/11/22</p> <p>Complaints register current to 31/11/22</p>   | Small solar lights have been installed. These are directed away from receivers and are not excessive in lumens. There have been no complaints received.  | Compliant         |

| Unique ID                              | Compliance requirement  | Evidence collected  | Independent Audit findings and recommendations  | Compliance Status |
|--|---|---|---|-------------------|
| <b>Site Contamination</b>              |   |   |   |                   |
| C35                                    | The Applicant must conduct site investigations to confirm the full nature and extent of the contamination at the project area post demolition and comply with the following requirements: <ul style="list-style-type: none"> <li>a) the site investigations must be undertaken, and the subsequent report(s), must be prepared in accordance with relevant guidelines made or approved by the EPA under section 105 of the <i>Contaminated Land Management Act 1997</i>;</li> <li>b) the reports must be prepared, or reviewed and approved, by consultants certified under either the Environment Institute of Australia and New Zealand's Certified Environmental Practitioner (Site Contamination) scheme (CEnvP(SC)) or the Soil Science Australia Certified Professional Soil Scientist Contaminated Site Assessment and Management (CPSS CSAM) scheme; and</li> <li>c) the recommendations of the Remedial Action Plan 56200/131434 (Rev. C) prepared by JBS&amp;G dated 09.02.2021 (or as updated to the satisfaction of the Site Auditor) and the unexpected finds procedure must be updated following results of further site investigations and implemented throughout duration of project work.</li> </ul> | Detailed Site Investigation Report, JBS&G, 16/06/21<br>Remedial Action Plan 56200/131434 (Rev. C) prepared by JBS&G dated 09/02/21 and updated 10/05/22<br>Email JBS&G to Ford 16/03/22 (plan for further investigations under demolished building)<br>Weekly Audit Summary, JBS&G, 04/05/22 – 03/09/22                             | The Detailed Site Investigation was completed in accordance with this condition. Demolition of the existing building allowed for further investigations to be completed on 16/03/22. The RAP underwent a review to capture the data and recommendations from the investigations completed under the demolished structure.<br><br>Refer C36 regarding implementation of the RAP to date.   | Compliant         |
| C36                                    | Remediation of the site must be carried out in accordance with the Remedial Action Plan 56200/131434 (Rev. C) prepared by JBS&G and dated 09.02.2021 and any variations to the Remedial Action Plan 56200/131434 (Rev. C) approved by an NSW EPA-accredited Site Auditor.   | Site inspection 02/11/22<br>Detailed Site Investigation Report, JBS&G, 16/06/21<br>Remedial Action Plan 56200/131434 (Rev. C) prepared by JBS&G dated 09/02/21 and updated 10/05/22<br>Email JBS&G to Ford 16/03/22 (plan for further investigations under demolished building)<br>Weekly Audit Summary, JBS&G, 04/05/22 – 03/09/22 | The Detailed Site Investigation was completed in accordance with this condition. Demolition of the existing building allowed for further investigations to be completed on 16/03/22. The RAP underwent a review to capture the data and recommendations from the investigations completed under the demolished structure.<br><br>Each week the contaminated lands consultant who prepared the RAP provide a summary on how the project is tracking against the requirements of the RAP (including material movements, PPE, site controls, asbestos finds, air quality and air monitoring results etc). JBS&G confirmed the RAP was being implemented with only 2 x minor deficiencies identified during the audit period (one relating to delineation of exclusion zones and one relating to use of PPE). | Compliant         |
| C37                                    | Where remediation is carried out / completed in stages, a NSW EPA-accredited Site Auditor must confirm satisfactory completion of each stage by the issuance of Interim Audit Advice(s).  | Site inspection 02/11/22<br>Weekly Audit Summary, JBS&G, 04/05/22 – 03/09/22  | Remediation is ongoing.   | Not Triggered     |
| C38                                    | The Applicant must ensure the proposed development does not result in a change of risk in relation to any pre-existing contamination on the site that would result in significant contamination.  | Detailed Site Investigation Report, JBS&G, 16/06/21<br>Email JBS&G to Ford 16/03/22 (plan for further investigations under demolished building)<br>Weekly Audit Summary, JBS&G, 04/05/22 – 03/09/22   | The contaminated land consultants have not identified any contamination or activity that has changed the risk profile of existing contamination.  | Compliant         |
| <b>Independent Environmental Audit</b> |   |   |   |                   |
| C39                                    | Proposed independent auditors must be agreed to in writing by the Planning Secretary prior to the preparation of an Independent Audit.  | Letter from DPE to HINSW, Appointment of Independent Audit Team, dated 07/12/21   | The audit team was approved by the Department prior to commencing this first Independent Audit.   | Compliant         |
| C40                                    | Independent Audits of the development must be conducted and carried out in accordance with the Independent Audit Post Approval Requirements.  | Independent Audit No. 1, WolfPeak, 01/07/22<br>This audit   | The audits have been conducted in accordance with the Independent Audit Post Approval Requirements. The Department did not provide any feedback on the first audit report and did not raise any issues during consultation on this second audit.  | Compliant         |
| C41                                    | The Planning Secretary may require the initial and subsequent Independent Audits to be undertaken at different times to those agreed to above, upon giving at least 4 week's notice to the Applicant of the date or timing upon which the audit must be commenced.  | Interview with auditees 02/11/22  | The auditees are not aware of the Planning Secretary requiring audits to be conducted at different timeframes to that specified in the Independent Audit Post Approval Requirements.  | Not Triggered     |



| Unique ID  | Compliance requirement  | Evidence collected  | Independent Audit findings and recommendations   | Compliance Status |
|--|---|---|--|-------------------|
| C42  | In accordance with the specific requirements in the Independent Audit Post Approval Requirements, the Applicant must: <ul style="list-style-type: none"> <li>a) review and respond to each Independent Audit Report prepared under condition C40 of this consent, or condition C41 where notice is given;</li> <li>b) submit the response to the Planning Secretary; and</li> <li>c) make each Independent Audit Report and response to it publicly available within 60 days after submission to the Planning Secretary.</li> </ul> | Independent Audit No. 1, WolfPeak, 01/07/22<br>DPE post approval portal lodgement, 04/07/22 (submission of first Audit Report and response to findings).<br><a href="https://www.hinfra.health.nsw.gov.au/our-projects/project-search/the-children%E2%80%99s-hospital-at-westmead-%E2%80%93-stage-2-rede">https://www.hinfra.health.nsw.gov.au/our-projects/project-search/the-children%E2%80%99s-hospital-at-westmead-%E2%80%93-stage-2-rede</a> | The auditee reviewed, responded to and submitted both the Audit Report and their response to the Department, and made both publicly available as per C42 and C43.  | Compliant         |
| C43  | Independent Audit Reports and the Applicant's response to audit findings must be submitted to the Planning Secretary within two months of undertaking the independent audit site inspection as outlined in the Independent Audit Post Approval Requirements unless otherwise agreed by the Planning Secretary.  | Independent Audit No. 1, WolfPeak, 01/07/22<br>DPE post approval portal lodgement, 04/07/22 (submission of first Audit Report and response to findings).<br><a href="https://www.hinfra.health.nsw.gov.au/our-projects/project-search/the-children%E2%80%99s-hospital-at-westmead-%E2%80%93-stage-2-rede">https://www.hinfra.health.nsw.gov.au/our-projects/project-search/the-children%E2%80%99s-hospital-at-westmead-%E2%80%93-stage-2-rede</a> | The auditee reviewed, responded to and submitted both the Audit Report and their response to the Department within 2 months from the first audit site inspection, and made both publicly available as per C42 and C43.   | Compliant         |
| C44  | Notwithstanding the requirements of the Independent Audit Post Approval Requirements, the Planning Secretary may approve a request for ongoing independent operational audits to be ceased, where it has been demonstrated to the Planning Secretary's satisfaction that an audit has demonstrated operational compliance.  | Staging Report – Multi-Storey Carpark (SSD-10434896), NGH Consulting, 17/06/22<br>Staging Report approval letter from DPE to HINSW, dated 28/06/22  | The project is in construction.  | Not Triggered     |
| <b>Redbank Road Roadworks</b>                            |   |   |  |                   |
| C45  | All works on the realignment of Redbank Road must be undertaken in accordance with the <i>NRAR Guidelines for Controlled Activities on Waterfront Land</i> , as defined by the <i>Water Management Act 2000</i> .   | Civil Design Certificate, Arup, 27/01/22  | The Civil Design Certificate prepared by Arup confirms that the realignment of Redbank Ave complies with the Controlled Activity Guidelines. Redbank Road re-alignment works are nearing completion. No observed issues. | Compliant         |
| <b>Water Take and Licencing</b>                          |   |   |  |                   |
| C46  | In the event groundwater is intercepted during construction, any take is to be appropriately licenced (unless eligible for an exemption under the Water Management Regulation 2018).  | Site inspection 02/11/22<br>Interview with auditees 02/11/22  | There are no deep penetrations to date. The auditees state that groundwater has not been encountered.  | Not Triggered     |
| <b>PART D PRIOR TO OCCUPATION OR COMMENCEMENT OF USE</b> |   |   |  |                   |
| <b>Notification of Occupation</b>                        |   |   |  |                   |
| D1   | At least one month before commencement of operation, the date of commencement of the operation of the development must be notified to the Planning Secretary in writing. If the operation of the development is to be staged, the Planning Secretary must be notified in writing at least one month before the commencement of each stage, of the date of commencement and the development to be carried out in that stage.   | -   | -  | Not Triggered     |
| <b>External Walls and Cladding</b>                       |   |   |  |                   |
| D2   | Prior to commencement of operation, the Applicant must provide the Certifier with documented evidence that the products and systems used in the construction of external walls including finishes and claddings such as synthetic or aluminium composite panels comply with the requirements of the BCA.  | -   | -  | Not Triggered     |
| D3   | The Applicant must provide a copy of the documentation given to the Certifier to the Planning Secretary within seven days after the Certifier accepts it.   | -   | -  | Not Triggered     |

| Unique ID   | Compliance requirement  | Evidence collected  | Independent Audit findings and recommendations | Compliance Status |
|---|---|---|--|-------------------|
| <b>Works as Executed Plans</b>                                      |   |   |  |                   |
| D4  | Prior to the commencement of operation, works-as-executed drawings signed by a registered surveyor demonstrating that the stormwater drainage and finished ground levels have been constructed as approved, must be submitted to the Certifier.   | -   | -  | Not Triggered     |
| <b>Outdoor Lighting</b>   |   |   |  |                   |
| D5  | <p>Prior to the commencement of operation, the Applicant must submit evidence from a suitably qualified practitioner to the Certifier that demonstrates that installed lighting associated with the development achieves the objective of minimising light spillage to any adjoining or adjacent sensitive receivers and:</p> <ul style="list-style-type: none"> <li>a) complies with the latest version of AS 4282-2019 - <i>Control of the obtrusive effects of outdoor lighting</i> (Standards Australia, 1997); and</li> <li>b) has been mounted, screened and directed in such a manner that it does not create a nuisance to surrounding properties or the public road network.</li> </ul>  | Electrical design certificate, JR, 04/04/22 (lighting design statement as per B7, D5 and D24) | -  | Not Triggered     |
| <b>Operational Noise – Design of Mechanical Plant and Equipment</b> |   |   |  |                   |
| D6  | Prior to the commencement of operation, the Applicant must submit evidence to the Certifier that the noise mitigation recommendations in the assessment undertaken under condition B23 have been incorporated into the design of mechanical plant and equipment to ensure the development will not exceed the recommended operational noise levels identified in the Acoustics Report Ref: 44311-1.   | -   | -  | Not Triggered     |
| <b>Fire Safety Certification</b>                                    |   |   |  |                   |
| D7  | Prior to commencement of occupation, a Fire Safety Certificate must be obtained for all the Essential Fire or Other Safety Measures forming part of this consent. A copy of the Fire Safety Certificate must be submitted to the relevant authority and Council. The Fire Safety Certificate must be prominently displayed in the building.   | -   | -  | Not Triggered     |
| <b>Structural Inspection Certificate</b>                            |   |   |  |                   |
| D8  | <p>Prior to the commencement of occupation of the new buildings, a Structural Inspection Certificate or a Compliance Certificate must be submitted to the Certifier. A copy of the Certificate with an electronic set of final drawings (contact approval authority for specific electronic format) must be submitted to the approval authority and the Council after:</p> <ul style="list-style-type: none"> <li>a) the site has been periodically inspected and the Certifier is satisfied that the structural works is deemed to comply with the final design drawings; and</li> <li>b) the drawings listed on the Inspection Certificate have been checked with those listed on the final Design Certificate/s.</li> </ul>  | -   | -  | Not Triggered     |
| <b>Post-Construction Dilapidation Report</b>                        |   |   |  |                   |
| D9  | <p>Prior to commencement of operation, the Applicant must engage a suitably qualified person to prepare a post-construction dilapidation report at the completion of construction. This report is:</p> <ul style="list-style-type: none"> <li>a) to ascertain whether the construction created any structural damage to adjoining buildings or infrastructure;</li> <li>b) to be submitted to the Certifier. In ascertaining whether adverse structural damage has occurred to adjoining buildings or infrastructure, the Certifier must: <ul style="list-style-type: none"> <li>i. compare the post-construction dilapidation report with the pre-construction dilapidation report required by these conditions; and</li> <li>ii. have written confirmation from the relevant authority that there is no adverse structural damage to their infrastructure and roads.</li> </ul> </li> <li>c) to be forwarded to Council for information.</li> </ul> | -   | -  | Not Triggered     |



| Unique ID  | Compliance requirement   | Evidence collected | Independent Audit findings and recommendations | Compliance Status |
|--|--|--------------------|--|-------------------|
| <b>Protection of Public Infrastructure</b>       |  |                    |  |                   |
| D10  | <p>Unless the Applicant and the applicable authority agree otherwise, the Applicant must, prior to the commencement of operation:</p> <ul style="list-style-type: none"> <li>a) repair, or pay the full costs associated with repairing, any public infrastructure that is damaged by carrying out the development; and</li> <li>b) relocate, or pay the full costs associated with relocating any infrastructure (previously approved by the relevant authority) that needs to be relocated as a result of the development.</li> </ul> <p><i>Note: This condition does not apply to any damage to roads caused as a result of general road usage or otherwise addressed by contributions required by O of this consent.</i></p> | -                  | -  | Not Triggered     |
| <b>Road Damage</b>                               |  |                    |  |                   |
| D11  | Prior to the commencement of operation, the cost of repairing any damage caused to Council or other Public Authority's assets in the vicinity of the Subject Site as a result of construction works associated with the approved development must be met in full by the Applicant.   | -                  | -  | Not Triggered     |
| <b>Protection of Property</b>                    |  |                    |  |                   |
| D12  | Unless the Applicant and the applicable owner agree otherwise, the Applicant must repair, or pay the full costs associated with repairing any property that is damaged by carrying out the development.  | -                  | -  | Not Triggered     |
| <b>Redbank Road Roadworks</b>                    |  |                    |  |                   |
| D13  | Prior to the commencement of operation, the Applicant must complete the realignment of Redbank Road.   | -                  | -  | Not Triggered     |
| <b>Car Parking Arrangements</b>                  |  |                    |  |                   |
| D14  | Prior to the operation of more than 716 car parking spaces within the multi-storey carpark, the construction of the new Paediatric Services Building (proposed under SSD-10349252) must be complete and the building operational, by which time the remaining 280 car parking spaces within the multi-storey carpark can be made available for use.  | -                  | -  | Not Triggered     |
| <b>Utilities and Services</b>                    |  |                    |  |                   |
| D15  | Prior to commencement of operation, the Applicant must obtain a Compliance Certificate for water and sewerage infrastructure servicing of the site under section 73 of the <i>Sydney Water Act 1994</i> .  | -                  | -  | Not Triggered     |
| <b>Stormwater Operation and Maintenance Plan</b> |  |                    |  |                   |
| D16  | <p>Prior to the commencement of operation, an Stormwater Operation and Maintenance Plan (SOMP) is to be submitted to the Certifier. The SOMP must ensure the proposed stormwater quality measures remain effective and contain the following:</p> <ul style="list-style-type: none"> <li>a) maintenance schedule of all stormwater quality treatment devices;</li> <li>b) record and reporting details;</li> <li>c) relevant contact information; and</li> <li>d) Work Health and Safety requirements.</li> </ul>  | -                  | -  | Not Triggered     |
| <b>Signage</b>                                   |  |                    |  |                   |
| D17  | Prior to the commencement of the multi-storey carparks' operation for staff and visitors, wayfinding signage and signage identifying the location of staff and visitor car parking must be installed.  | -                  | -  | Not Triggered     |

| Unique ID  | Compliance requirement   | Evidence collected  | Independent Audit findings and recommendations | Compliance Status |
|--|--|---|--|-------------------|
| D18  | Details of the final building identification signage (design, content and illumination) within the approved signage zones are to be submitted to the satisfaction of the Planning Secretary prior to the installation and display of any signage. The signage is to be installed prior to commencement of operation of the carpark facility.   |   |  | Not Triggered     |
| <b>Operational Waste Management Plan</b>                     |  |   |  |                   |
| D19  | Prior to the commencement of operation, the Applicant must prepare a Waste Management Plan for the development and submit it to the Certifier. The Waste Management Plan must: <ul style="list-style-type: none"> <li>a) detail the type and quantity of waste to be generated during operation of the development;</li> <li>b) describe the disposal of all waste streams generated on site, consistent with the <i>Protection of the Environment Operations Act 1997</i>, <i>Protection of the Environment Operations (Waste) Regulation 2014</i> and the <i>Waste Classification Guideline</i> (Department of Environment, Climate Change and Water, 2009); and</li> <li>c) detail the materials to be reused or recycled, either on or off site.</li> </ul>                  | -   | -  | Not Triggered     |
| <b>Site Audit Statement</b>                                  |  |   |  |                   |
| D20  | Prior to the commencement of operation, the Applicant must submit a Section A1 Site Audit Statement or a Section A2 Site Audit Statement accompanied by an Environmental Management Plan prepared by a NSW EPA accredited Site Auditor. The Section A1 or A2 Site Audit Statement must verify the relevant part of the site is suitable for the intended land use and be provided, along with any Environmental Management Plan to the Planning Secretary and the Certifier.   | -   | -  | Not Triggered     |
| <b>Landscaping</b>   |  |   |  |                   |
| D21  | Prior to the commencement of operation, landscaping of the site must be completed in accordance with landscape plan(s) listed in condition A2(d).  | -   | -  | Not Triggered     |
| D22  | Prior to the commencement of operation, the Applicant must prepare a Landscape Management Plan to manage the revegetation and landscaping on-site and submit it to the Certifier. The plan must describe the ongoing monitoring and maintenance measures to manage revegetation and landscaping.   |   |  | Not Triggered     |
| <b>Operational Flood Emergency Management Plan</b>           |  |   |  |                   |
| D23  | Prior the commencement of the operation, a Flood Emergency Management Plan must be submitted to the Certifier that: <ul style="list-style-type: none"> <li>a) is prepared by a suitably qualified and experienced person(s);</li> <li>b) addresses the provisions of the Floodplain Risk Management Guidelines (EESG);</li> <li>c) includes details of: <ul style="list-style-type: none"> <li>i. the flood emergency responses for operational phase of the development;</li> <li>ii. predicted flood levels;</li> <li>iii. flood warning time and flood notification;</li> <li>iv. assembly points and evacuation routes;</li> <li>v. evacuation and refuge protocols; and</li> <li>vi. awareness training for employees and contractors, and visitors.</li> </ul> </li> </ul> | -   | -  | Not Triggered     |
| <b>Crime Prevention through Environmental Design (CPTED)</b> |  |   |  |                   |
| D24  | Prior the commencement of the operation, a CPTED compliance statement is to be submitted to the Certifier and a copy provided to the Planning Secretary for information. The statement must be prepared: <ul style="list-style-type: none"> <li>a) by a suitably qualified and experienced person; and</li> </ul>  | Electrical design certificate, JR, 04/04/22 (lighting design statement as per B7, D5 and D24) | -  | Not Triggered     |

| Unique ID                                       | Compliance requirement  | Evidence collected | Independent Audit findings and recommendations | Compliance Status |
|---|---|--------------------|--|-------------------|
|   | b) detail the lighting and security measures that will be implemented during late night hours of operation.   |                    |  |                   |
| <b>PART E POST OCCUPATION</b>                   |   |                    |  |                   |
| <b>Operation of Plant and Equipment</b>         |   |                    |  |                   |
| E1  | All plant and equipment used on site must be maintained in a proper and efficient condition operated in a proper and efficient manner.  | -                  | -  | Not Triggered     |
| <b>Environmental Management Plan</b>            |   |                    |  |                   |
| E2  | Upon completion of remediation works, the Applicant must manage the site in accordance with the Environmental Management Plan approved by the Site Auditor (if any) under condition D20 and any on-going maintenance of remediation notice issued by EPA under the <i>Contaminated Land Management Act 1997</i> .   |                    |  | Not Triggered     |
| <b>Operational Noise Limits</b>                 |   |                    |  |                   |
| E3  | The Applicant must ensure that noise generated by operation of the development does not exceed the noise limits in Acoustics Report Ref: 44311-1, prepared by Stantec, dated 15.06.2021.  |                    |  | Not Triggered     |
| E4  | The Applicant must undertake short term noise monitoring in accordance with the <i>Noise Policy for Industry</i> where valid data is collected following the commencement of use of each stage of the development. The monitoring program must be carried out by an appropriately qualified person and a monitoring report must be submitted to the Planning Secretary within two months of commencement use of each stage of the development or other timeframe agreed to by the Planning Secretary to verify that operational noise levels do not exceed the recommended noise levels for mechanical plant and traffic noise identified in Acoustics Report Ref: 44311-1, prepared by Stantec and dated 15.06.2021. Should the noise monitoring program identify any exceedance of the recommended noise levels referred to above, the Applicant is required to implement appropriate noise attenuation measures so that operational noise levels do not exceed the recommended noise levels or provide attenuation measures at the affected noise sensitive receivers. |                    |  | Not Triggered     |
| <b>Unobstructed Driveways and Parking Areas</b> |   |                    |  |                   |
| E5  | All driveways, footways and parking areas must be unobstructed at all times. Driveways, footways and car spaces must not be used for the manufacture, storage or display of goods, materials, refuse, skips or any other equipment and must be used solely for vehicular and/or pedestrian access and for the parking of vehicles associated with the use of the premises.  | -                  | -  | Not Triggered     |
| <b>Ecologically Sustainable Development</b>     |   |                    |  |                   |
| E6  | Unless otherwise agreed by the Planning Secretary, within six months of commencement of operation the Certifier and Planning Secretary are to be provided with a report from the Applicant demonstrating that the project achieves the minimum number of ESD initiatives as required by condition B8 of this consent.   | -                  | -  | Not Triggered     |
| <b>Outdoor Lighting</b>                         |   |                    |  |                   |
| E7  | Notwithstanding condition D5, should outdoor lighting result in any residual impacts on the amenity of surrounding sensitive receivers, the Applicant must provide mitigation measures in consultation with affected landowners to reduce the impacts to an acceptable level.   | -                  | -  | Not Triggered     |
| <b>Landscaping</b>                              |   |                    |  |                   |
| E8  | The Applicant must maintain the landscaping and vegetation on the site in accordance with the approved Landscape Management Plan required by condition D21 for the duration of occupation of the development.   | -                  | -  | Not Triggered     |

| Unique ID                         | Compliance requirement  | Evidence collected | Independent Audit findings and recommendations | Compliance Status |
|-----------------------------------|---|--------------------|--|-------------------|
| Operational Waste Management Plan |   |                    |  |                   |
| E9                                | The Operational Waste Management Plan for the development must be implemented for the duration of the development and updated annually. | -                  | -  | Not Triggered     |

## **APPENDIX B – PLANNING SECRETARY AGREEMENT OF INDEPENDENT AUDITORS**



**Planning,  
Industry &  
Environment**

Ms Claire Muir  
1 Reserve Road  
ST LEONARDS NSW 2065

07/12/2021

Dear Ms Muir

**Children's Hospital Westmead - Multi-storey Carpark (SSD-10434896)  
Appointment of Independent Environmental Audit Team**

I refer to your request (SSD-10434896-PA-1) for the Planning Secretary's approval of a suitably qualified, experienced, and independent audit team to conduct an independent environmental audit of the Children's Hospital Westmead - Multi-storey Carpark development. Under Condition C40 of the Children's Hospital Westmead - Multi-storey Carpark Development Consent (SSD-10434896) an independent environmental audit is to be carried out in accordance with the *Independent Audit Post Approval Requirements* (2020).

The Department of Planning, Industry and Environment (Department) has reviewed the nominations and information you have provided against Condition C39 of the Development Consent and the *Independent Audit Post Approval Requirements* (2020), specifically section 3.1.

The Department is satisfied that Mr Nicholas Ballard and Mr Derek Low are certified with Exemplar Global as lead auditors in environmental management systems, and that all nominated persons are suitably experienced and qualified in state significant developments and have supplied declarations of independence.

Consequently, in accordance with Condition C39 of the Development Consent I can advise that the Planning Secretary endorses the following persons as the audit team in the following roles:

- Mr Nicholas Ballard, WolfPeak, as Auditor
- Mr Derek Low, WolfPeak, as Auditor
- Mr Brendan Shannon, WolfPeak, Senior Environmental Consultant

Notwithstanding the endorsement of the above listed audit team, each respective project approval or consent requires a request for the agreement to the auditor or audit team be submitted to the Department, for consideration of the Planning Secretary. Each request is reviewed and depending on the complexity of future projects, the suitability of a proposed auditor or audit team will be considered.

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The Department reserves the right to request an alternate auditor or experts for any future independent environmental audits under the Development Consent.

The independent environmental audit must satisfy Condition C42 of the Development Consent, and be conducted in accordance with the *Independent Audit Post Approval Requirements* (2020). Failure to do so may require revision and resubmission of the audit report.

Please ensure this correspondence is appended to the audit report.

If you wish to discuss the matter further, please contact Ania Dorocinska, Senior Compliance Officer on 02 9274 6225 or via [ania.dorocinska@planning.nsw.gov.au](mailto:ania.dorocinska@planning.nsw.gov.au).

Yours sincerely



Rob Sherry  
Team Leader Compliance - Government Projects  
Compliance

As nominee of the Secretary

## APPENDIX C – CONSULTATION RECORDS

## Derek Low

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**From:** Ania Dorocinska <Ania.Dorocinska@planning.nsw.gov.au>  
**Sent:** Wednesday, 12 October 2022 9:37 AM  
**To:** Derek Low  
**Subject:** RE: Children's Hospital Westmead MSCP - SSD-10434896 - Independent Audit No. 2

Good Morning Derek,

I am comfortable in the proposed approach in the carrying out of the audit for the Children's Hospital Westmead Multi storey carpark development.

Please ensure the audit is conducted in accordance with Condition C39 to C44 of the Development Consent SSD-10434896, which requires the audit to be carried out in accordance with the Independent Audit Post Approval Requirements. Consistent with Section 3.3 of those requirements, please ensure:

- The environmental performance of the development is assessed, including but not limited to actual versus predicted impacts
- A high level assessment of the environmental management plans is included
- Matters considered relevant to this audit:
  - Communication of compliance obligations to relevant contractors
  - Management of construction traffic and noise
  - Provision of required information on the project website
  - Ongoing consultation with affected community
  - Notification of incidents and non-compliances to the Department.

Thank you

Kind regards,

**Ania Dorocińska**  
Senior Compliance Officer – Government Projects

Planning & Assessments | Department of Planning and Environment  
M 0497 400 884 T 02 9274 6225 | [E ania.dorocinska@planning.nsw.gov.au](mailto:ania.dorocinska@planning.nsw.gov.au)  
4 Parramatta Square, 12 Darcy St, Parramatta, NSW 2150.  
[www.dpie.nsw.gov.au](http://www.dpie.nsw.gov.au)



*The Department of Planning and Environment acknowledges that it stands on Aboriginal land. We acknowledge the traditional custodians of the land and we show our respect for elders past, present and emerging through thoughtful and collaborative approaches to our work, seeking to demonstrate our ongoing commitment to providing places in which Aboriginal people are included socially, culturally and economically.*

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**From:** Derek Low <[dlow@wolfpeak.com.au](mailto:dlow@wolfpeak.com.au)>  
**Sent:** Monday, 10 October 2022 2:40 PM

**To:** DPE PSVC Compliance Mailbox <[compliance@planning.nsw.gov.au](mailto:compliance@planning.nsw.gov.au)>  
**Cc:** Tom Morgan (AU) <[thomas.b.morgan@pwc.com](mailto:thomas.b.morgan@pwc.com)>  
**Subject:** Children's Hospital Westmead MSCP - SSD-10434896 - Independent Audit No. 2

Good afternoon,

I am one of the Department of Planning and Environment (the Department) approved independent auditors on the Children's Hospital at Westmead Multi-storey Carpark project SSD-10434896 (the Project).

I am currently preparing to undertake the second independent audit of the Project. The audit is required to be conducted in accordance with SSD-10434896 conditions C39 to C44, and the Department's 2020 *Independent Audits Post Approval Requirements* (or IAPAR).

The Approval is available at the following link: <https://www.planningportal.nsw.gov.au/major-projects/projects/childrens-hospital-westmead-multi-storey-carpark>

The IAPAR is available at the following link: <https://www.planning.nsw.gov.au/-/media/Files/DPE/Other/Assess-and-regulate/About-Compliance/independent-audit-post-approval-requirements-2020-05-19.pdf>

The on-site component of the audit is planned to take place at the end of October 2022, with the report to be submitted to the Department two months afterwards. The audit pertains to post-approval requirements and compliance.

In accordance with Section 3.2 of the IAPAR, I am consulting with the Department on the scope of the audit and for confirmation as to whether other parties or agencies are to be consulted.

As you will see the required scope (outlined in Section 3.3 of the IAPAR) already covers an assessment of each relevant condition along with all post approval documents prepared to satisfy the conditions of Approval, including an assessment of the implementation of Environmental Management Plans and Sub-plans, complaints, incidents and so forth. These are included in the audit scope for this Project.

In providing input to the scope, I kindly request the Department confirm:

- If it has any key issues it would like examined, relating to post-approval requirements and compliance that are not already called up by the scope in Section 3.3 of the IAPAR, or
- If it recommends that other parties or agencies are to be consulted. If so I request that the Department identify those parties.

Please let me know if you have any questions. I look forward to hearing from you.

Kind regards,

**Derek Low | Principal**  
General Manager



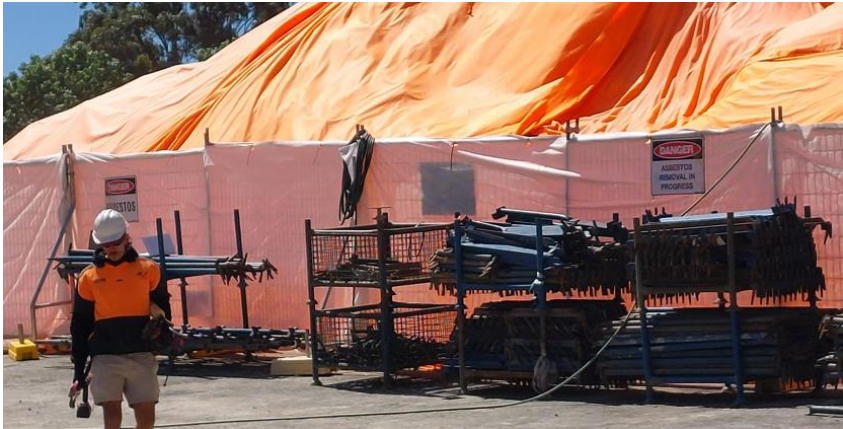

Proud sponsor of:





## APPENDIX D – SITE INSPECTION PHOTOGRAPHS




| N<br>o. | Comment  | Photograph   |
|---------|--|--|
| 1       | Remediation ongoing with structural works in foreground (clean area) |    |
| 2       | Structural works commencing in clean area.                           |   |
| 3       | Stabilised access  |  |

| N<br>o. | Comment                                    | Photograph  |
|---------|--|---|
| 4       | Covered stockpile and asbestos signage up. |   |
| 5       | Trees not protected. Refer Section 3.2.    |  |



| N<br>o. | Comment  | Photograph   |
|---------|--|--|
| 6       | Polypipe for water distribution across site (dust suppression and other uses). |    |
| 7       | Site notice erected in visible location.                                       |    |
| 8       | Tree protection in place on eastern boundary.                                  |  |
| 9       | Asbestos decontamination and waste collection.                                 |  |

| N<br>o. | Comment            | Photograph   |
|---------|--------------------|--|
| 10      | Stabilised access. |  |

## APPENDIX E – DECLARATION FORM



## Declaration of Independence - Auditor


|                                |   |
|--------------------------------|---|
| <b>Project Name:</b>           | The Children's Hospital at Westmead Multi-storey Carpark Project  |
| <b>Consent Number:</b>         | SSD-10434896  |
| <b>Description of Project:</b> | The proposed development includes the construction of a new multi-storey carpark (MSCP) at The Children's Hospital at Westmead, accommodating both staff and visitor car parking. The new MSCP will comprise 8 car parking storeys (equivalent to the height of 5 storeys of the hospital), totaling 1,003 car parking spaces. This will also involve realignment of Redbank Road with vehicular access connection to MSCP. |
| <b>Project Address:</b>        | Redbank Drive, Westmead   |
| <b>Proponent:</b>              | Health Infrastructure   |
| <b>Title of audit</b>          | Independent Audit No. 2   |
| <b>Date:</b>                   | 18/11/22  |

I declare that I have undertaken the Independent Audit and prepared the contents of the attached Independent Audit Report and to the best of my knowledge:

- the audit has been undertaken in accordance with relevant condition(s) of consent and the *Independent Audit Post Approval Requirements (Department 2020)*;
- the findings of the audit are reported truthfully, accurately and completely;
- I have exercised due diligence and professional judgement in conducting the audit;
- I have acted professionally, objectively and in an unbiased manner;
- I am not related to any proponent, owner or operator of the project neither as an employer, business partner, employee, or by sharing a common employer, having a contractual arrangement outside the audit, or by relationship as spouse, partner, sibling, parent, or child;
- I do not have any pecuniary interest in the audited project, including where there is a reasonable likelihood or expectation of financial gain or loss to me or spouse, partner, sibling, parent, or child;
- neither I nor my employer have provided consultancy services for the audited project that were subject to this audit except as otherwise declared to the Department prior to the audit; and
- I have not accepted, nor intend to accept any inducement, commission, gift or any other benefit (apart from payment for auditing services) from any proponent, owner or operator of the project, their employees or any interested party. I have not knowingly allowed, nor intend to allow my colleagues to do so.

**Notes:**

- Under section 10.6 of the *Environmental Planning and Assessment Act 1979* a person must not include false or misleading information (or provide information for inclusion in) in a report of monitoring data or an audit report produced to the Minister in connection with an audit if the person knows that the information is false or misleading in a material respect. The proponent of an approved project must not fail to include information in (or provide information for inclusion in) a report of monitoring data or an audit report produced to the Minister in connection with an audit if the person knows that the information is materially relevant to the monitoring or audit. The maximum penalty is, in the case of a corporation, \$1 million and for an individual, \$250,000; and
- The *Crimes Act 1900* contains other offences relating to false and misleading information: section 307B (giving false or misleading information – maximum penalty 2 years imprisonment or 200 penalty units, or both)

|                         |   |
|-------------------------|---|
| <b>Name of Auditor:</b> | Derek Low   |
| <b>Signature:</b>       |      |
| <b>Qualification:</b>   | Master of Environmental Engineering Management<br>Exemplar Global Auditor Number 114283 |
| <b>Company:</b>         | WolfPeak Pty Ltd  |