

HEALTH INFRASTRUCTURE

Independent Audit No. 4 – Proponent review and response

SSD-11099584 The Sutherland Hospital Operating Theatre
Upgrade

16 October 2023

Declaration

This Proponent review and response has been prepared for NSW Health Infrastructure (HI) in response to an Independent Audit, including the recommendations and opportunities for improvement identified in the final Audit Report. The response to each of the audit findings is included as outlined in the *Independent Audit Post Approval Requirements (May 2020)*.

Declaration	
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Document Management, Tracking and Revision History

Version	Date	Author	Description	Reviewed by	Approved by
Draft	10 October 2023	Gavin Statham	Draft Independent Audit No. 4 – Proponent review and response	Scott McKnight	--
Draft	12 October 2023	Gavin Statham	Final Independent Audit No. 4 – Proponent review and response	Scott McKnight	--
Final	16 October 2023	Gavin Statham	Final Independent Audit No. 4 – Proponent review and response	Scott McKnight	--

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1. Introduction

1.1 Project Application Number and Project Name

SSD-11099584, The Sutherland Hospital Operating Theatre Upgrade

1.2 Site Address

The Sutherland Hospital, Kingsway and Kareena Road, Caringbah

Lot 1 DP119519, Lot 1 DP432283, and Lot 1 DP398975

1.3 Project Contact Details

Proponent	NSW Health Infrastructure
Client Representative	Scott McKnight – Project Director
Contractor	Hindmarsh Construction Australia Pty Ltd

1.4 Independent Auditor

NGH Pty Ltd

1.5 Independent Audit Date (site visit)

15 September 2023

2. Proponent response

The Independent Audit Report (IEA) No. 4 was prepared by Natascha Arens, from NGH. The Audit Report dated 9 October 2023 was received on 9 October 2023 for an audit site inspection completed on 15 September 2023. The audit report has been reviewed and the response to the audit findings are listed in the below table.

In relation to non-compliances, the response sets out the action and the completion timing. In relation to observations and opportunities identified for improvement, the actions are also set out or the reason for not implementing any measures in response.

Please note the below table contains a list of the 4 No. non-compliances as determined in Audit No. 4. A response is provided in relation to each NC and also in relation to the IEA identified opportunities for improvement.

Consent Condition No.	Requirement (exact wording from consent)	Evidence Collected	Independent Audit Findings and Recommendations	Compliance Status	Unique Identification on Noncompliance	Proponent Response & Timing
A2	<p>Terms of Consent</p> <p>The development may only be carried out: in compliance with the conditions of this consent;</p>	IEA Report No. 4	<p>The audit found that the project is largely being carried out in compliance with the conditions of consent.</p> <p>Three other non compliances were raised in this audit and therefore the project is not in compliance with all conditions of the consent.</p>	Non Compliant	NC6	This audit finding is noted. Refer below for respective Proponent Response for each NC item.
A23	<p>Access to Information</p> <p>At least 48 hours before the commencement of construction until the completion of all works under this consent, or such other time as agreed by the Planning Secretary, the Applicant must:</p> <p>(i)all current statutory approvals for the development;</p> <p>(ix) audit reports prepared as part of any independent audit of the development and the Applicant's response to the recommendations in any audit report;</p>	IEA Report No. 4	<p>In relation to all current approvals, there is no reference to Modification 1 on the project website. This was subsequently updated at the time of writing the fourth audit report.</p> <p>Reporting on the performance of the project is via the audit report.</p> <p>At the commencement of the document review for this fourth audit, Audit # 3 was available on the project website but the response document was not available. This was subsequently updated at the time of writing the fourth audit report.</p>	Non Compliant	NC7	<p>The naming of the IEAs and Proponent Review and Response documents on the website was not clear in that links to .pdfs were not labelled correctly.</p> <p>The links and names were subsequently updated at the time of writing the fourth audit report.</p> <p>No further action is required. The project website is now updated and error corrected.</p>
A30	<p>Revision of Strategies, Plans and Programs</p> <p>Within three months of:</p> <p>(c)the submission of an Independent Audit under condition C42 or C43;</p> <p>(d)the approval of any modification of the conditions of this consent; or</p> <p>the strategies, plans and programs required under this consent must be reviewed, and the Planning Secretary and the Certifier must be</p>	IEA Report No. 4	<p>The IEA #3 was finalised on the 14 April 2023, therefore this part of the condition was triggered in July 2023. There was no evidence provided that a review of plans or strategies occurred in this time frame in response to Audit #3.</p> <p>The approval to the modification was issued on the 8 June 2023, therefore notification should have been sent to Planning of the revision of strategies prior to 8 Sept 2023. This has not occurred.</p>	Non Compliant	NC8	<p>The notification to the Planning Secretary that a review of the plans and strategies was being undertaken, was not submitted after the lodgement of IEA3 and was an oversight.</p> <p>Action: A review of the plans and strategies will be undertaken and notified to the Planning Secretary on submission of IEA4. In future the project will provide notification to the Planning Secretary of the revision of strategies and such will be notified within 3 months of the submission of future IEAs.</p>

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						notified in writing that a review is being carried out.
C42 (c)	In accordance with the specific requirements in the Independent Audit Post Approval Requirements, the Applicant must: ... (c)make each Independent Audit Report and response to it publicly available within 60 days after submission to the Planning Secretary.	IEA Report No. 4	It was noted during the 4th audit that the response document to Audit #3 was not available on the project website. It is further noted that this has since been updated and provided on the website (refer also to A23 regarding issues with linked document naming)	Non Compliant	NC9	It is noted that this was subsequently updated during the preparation of the fourth audit report and it is now available. No further action is required

IEA Opportunities for Improvement

A23	As per Condition A23 above.	IEA Report No. 4	As identified within IEA Report (Oct-23) Section 3.16, it would be useful if the project news/updates were linked from the Health Infrastructure news website, to the Sutherland Hospital Operating Theatre Complex project website.	n/a	n/a	Within one (1) month of receipt of final Audit Report, Project Team to review with Health Infrastructure Communications Team opportunities e.g. <ul style="list-style-type: none"> Inclusion of a weblink to relevant Health Infrastructure Latest News; or helpful wayfinding commentary noting website Search function using keyword “Sutherland” for latest news updates.
A23	As per Condition A23 above.	IEA Report No. 4	As identified within IEA Report (Oct-23) Section 3.4 the non-conformance table, some of the links on the project website are incorrectly labelled and should be amended, specifically the link labelled Independent Audit Report #4 links to the proponents response to Audit #2. The link labelled Independent Audit Report #5, links to the proponents response to Audit #3.	n/a	n/a	Project Team to review with Health Infrastructure Communications Team with a view of updating relevant links to IEA Proponent Response Report labelling, per below examples, as noted by exception: <ul style="list-style-type: none"> Independent Audit Report updated to Independent Audit Report #1

Consent Condition No.	Requirement (exact wording from consent)	Evidence Collected	Independent Audit Findings and Recommendations	Compliance Status	Unique Identification on Noncompliance	Proponent Response & Timing
A23	As per Condition A23 above.	IEA Report No. 4	It is also noted that while there have been no complaints raised to date, the complaints register is dated May 2022. This should be updated monthly to reflect the current date.	n/a	n/a	<ul style="list-style-type: none"> Independent Audit Report #4 updated to Independent Audit Report – Proponent Response 2 Independent Audit Report #5 updated to Independent Audit Report – Proponent Response #3 <p>This was subsequently updated at the time of writing the fourth audit report.</p> <p>No further action is required.</p>
C9	<p>Implementation of Management Plans</p> <p>The Applicant must carry out the construction of the development in accordance with the most recent version of the approved CEMP (including Sub-Plans).</p>	IEA Report No. 4	It was noted during the site visit, that housekeeping and demarcation could be improved, with broken pallets also representing a potential safety hazard. Refer IEA Report (Oct-23) Appendix E for site photographs.	n/a	n/a	<p>Contractor to review and address housekeeping and demarcation of material and waste stockpiles as part of daily WHS reviews as identified within Appendix E. Photo evidence to be issued to the Project Team.</p> <p>It is noted that the Contractor reviewed and addressed the identified housekeeping issues, providing photographic evidence to the Project Team on 11 Oct-23. No further action is required.</p>